



OFFICE OF THE PROVOST AND EXECUTIVE VICE PRESIDENT --
ACADEMIC AFFAIRS

OFFICE OF THE PRESIDENT
1111 Franklin Street, 12th Floor
Oakland, California 94607-5200

March 5, 2009

**INTERIM LABORATORY DIRECTOR ALIVISATOS
VICE PRESIDENT – AGRICULTURE AND NATURAL RESOURCES DOOLEY
COUNCIL OF VICE CHANCELLORS – ACADEMIC AFFAIRS
VICE CHANCELLORS FOR RESEARCH
ACADEMIC COUNCIL CHAIR CROUGHAN**

Dear Colleagues:

**Re: Proposed Technical Revisions to Academic Personnel Policy 028 – Disclosure of
Financial Interest in Private Sponsors of Research**

Enclosed for systemwide review are proposed technical revisions to Academic Personnel Policy 028 – Disclosure of Financial Interest in Private Sponsors of Research.

APM - 028 implements the requirements of the state Political Reform Act of 1975 (Government Code Section 87100 et seq.), which requires state agencies to have conflict of interest codes and to institute financial interest reporting and disclosure procedures for designated officials. The Academic Decision Regulation (California Code of Regulations Section 18702.4(c)(2)) governs the applicability of the Political Reform Act to researchers; it requires them to report to campus officials financial interests in nongovernmental research sponsors, including investments, income, and gifts that may affect research decisions.

The proposed revisions reflect changes in the statute and regulations governing reporting of financial interests by researchers at California public universities, changes in job titles at the University of California, and non-substantive grammatical improvements. The revisions are technical only and do not constitute a comprehensive review of APM - 028.

This is a systemwide review of a proposed revised personnel policy, and employees should be afforded the opportunity to review and comment. The proposal is online at: <http://www.ucop.edu/acadadv/acadpers/apm/review.html>. Also enclosed is a model communication which can be used to inform non-exclusively represented employees affected by these proposed revisions. Please forward your comments to Principal Analyst Doris Lopez by June 5. Comments may be sent by e-mail if you wish to doris.lopez@ucop.edu. If you have any questions, please contact Principal Analyst Lopez at (510) 987-9450 or at doris.lopez@ucop.edu.

Sincerely,

A handwritten signature in black ink, appearing to read "L. H. Pitts".

Lawrence H. Pitts
Interim Provost and Executive Vice President
Academic Affairs

March 5, 2009

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Enclosures

cc: Vice President Beckwith
Acting Associate Vice President Cammidge
Vice Provosts for Academic Personnel
Executive Director Auriti
Executive Director Boland
Interim Executive Director Price
Executive Director Winnacker
Academic Personnel Directors
COI Coordinators
Director Frazier
Associate Director Lockwood
Coordinator Capell
Coordinator Landes
Coordinator Smith
Manager Donnelly
Manager Okada
HR Policies Analyst Bello
Principal Analyst Lopez