



*Mary Gilly*  
*Telephone: (510) 987-0711*  
*Fax: (510) 763-0309*  
*Email: mary.gilly@ucop.edu*

*Chair of the Assembly of the Academic Senate*  
*Faculty Representative to the Regents*  
*University of California*  
*1111 Franklin Street, 12th Floor*  
*Oakland, California 94607-5200*

March 9, 2015

**AIMÉE DORR**  
**PROVOST AND EXECUTIVE VICE PRESIDENT**  
**UNIVERSITY OF CALIFORNIA**

**Re: Open Access Policy Implementation and Funding**

Dear Aimée,

At its February 25 meeting, the Academic Council reviewed a six-month implementation review report for the [Open Access Policy](#) adopted by the Senate in 2013. A letter to Council from the University Committee on Library and Scholarly Communication (UCOLASC) notes that the roll-out of the policy on the three pilot campuses has been successful, but that continued implementation requires sustained funding from UCOP. Council voted unanimously to issue a statement to you in support of continued funding for implementation.

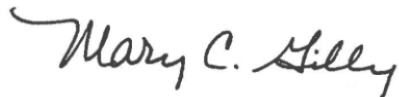
The Senate appreciates your past [written assurances](#) that the administration will provide the California Digital Library (CDL) with the support and resources necessary to establish and maintain the infrastructure to implement the policy, including an harvesting tool that automatically collects information about faculty-authored articles and facilitates their deposit into eScholarship, UC's open access repository.

In January, UCLA began using the new harvesting tool, which will be implemented at UCSF and UCI later this month and at other campuses later in 2015. The tool makes it easy for faculty to "claim" and deposit their publications, provides faculty with a comprehensive list of publications with accurate citations, saves money and staff resources, and promotes compliance with the Open Access policy. UCOLASC and Council recently received from CDL the promising news that there was a dramatic increase in the UCLA deposit of previously published papers into eScholarship following the launch of the harvester on that campus.

Our understanding is that UCOP has provided the CDL with a second year of funding to support the further development of the harvesting tool and other aspects of implementation, but that funding beyond fall 2015 is now in question. CDL estimates that \$380,000 in ongoing annual funding for the harvesting tool will be needed to support the Open Access policy.

Quite simply, we fear that the Open Access initiative will lose momentum and eventually fail without a sustained institutional commitment from UCOP. This commitment takes on added importance in the context of a potential new Presidential Policy on Open Access that would expand the policy to all UC employees. A clear and sustained commitment to open access will also help demonstrate to politicians the extent of UC's commitment to transparency, and research in the public interest. Council urges UCOP to work with the CDL to provide them with the necessary resources to support continued implementation.

Sincerely,

A handwritten signature in black ink that reads "Mary C. Gilly". The signature is written in a cursive, flowing style.

Mary Gilly, Chair  
Academic Council

Encl.

Cc: Academic Council  
UCOLASC Chair Manduchi  
Vice Provost Carlson  
Executive Director Farley  
Executive Director Baxter  
Senate Executive Directors