

UNIVERSITY OF CALIFORNIA

ACADEMIC SENATE

UNIVERSITY COMMITTEE ON PLANNING AND BUDGET

MINUTES OF MEETING

TUESDAY, JUNE 7, 2005

UCOP ROOM 12322

I. Chair's Report

- Michael Parrish, UCPB Chair
- Cal Moore
- Chris Newfield

UCPB Member Moore updated the committee on the May 11, 2005 Assembly meeting, including the Assembly's approval of the Resolution on Research Funding Sources, adoption of changes to the Senate Bylaws and Regulations, and the Assembly's conditional approval of the establishment of the UC Merced division to take effect when the Academic Council certifies that there is an adequate funding plan for the division.

UCPB Member Newfield provided for the committee a brief overview of items covered at the May 18, 2005 Council meeting, including: the editorial direction and funding concerns of the UC Press; Council's approval of the Compendium committees' 15-year review of IGPP and ITS; Council's recommendations re: Assembly Bill 992; the University budget; President Dynes' report re: the Science and Mathematics Initiative, Los Alamos and Bechtel, the University's long-range development process and the anti-growth movements faced by some campuses; the BOARS Resolution on the National Merit Scholarship Program; and discussion of two proposals concerning graduate student support submitted by UCSB divisional chair Yuen and CCGA chair Williams.

II. Consent Calendar

- A. Minutes of the April 12, 2005 UCPB meeting
- B. UCAP Proposal to Modify APM 220-18, Criteria for Advancement to Step VI: committee members noted that UCAP has done a great job in distinguishing Step VI from above scale, and they look forward to divisional committees on academic personnel taking the new APM language to heart
- C. UC Draft Policy on Human Subject Injury and Draft Guidelines on Implementation: one member noted that Section IV of the draft policy should be clarified and rewritten because as currently written, the policy appears to discourage multi-university research collaborations.
- D. Technical Revision of APM 190, Appendix F – Policy on the Use of Non-19900 Fund Sources to Support Ladder-Rank Faculty

ACTION: UCPB unanimously approved the consent calendar with additional comments from committee members, noted above. Chair Parrish will draft letters to the Academic Council reflecting UCPB's discussion of items B and C.

III. Consultation with UCOP – Budget Update: Governor’s May Revision and May Regents Meeting

- Larry Hershman, Vice President, Budget

May Revision: The governor’s budget proposals are currently in flux due to ongoing budget negotiations with legislators. Another uncertainty is whether the governor’s proposals for the November special election will influence budget negotiations with interested parties.

University Budget: The University budget was unanimously approved in committee with both houses including appropriations for student academic preparation, the labor centers, and the full capital budget. The Science and Math Initiative has gained full funding support from the governor, who has proposed the allocation of \$750,000 for core infrastructure (staff and program support, not buildings) at six campuses (\$125,000 each, which the campuses must match), and funding to pay for student loan forgiveness (\$20,000 per student participant). The University is also studying its marginal cost formula and reevaluating its faculty salary and building maintenance components.

2006-07 Budget: The University is determining its priorities for the 2006-07 budget, which could include, in no particular order, issues such as the student-faculty ratio, faculty salaries, student financial aid, and graduate student support. The Compact allows for student fee increases, which combined with other state funding will be used to help pay for faculty and staff salary increases and benefits.

Capital Outlay: The Compact commits the state to allocate \$345 million per year for UC capital projects. The source of that funding, whether it is from GO-bonds or lease-revenue bonds, is currently unclear. However funding for building maintenance is promised in the current budget but will only cover core instruction and research buildings and not deferred maintenance.

IV. Graduate Student Support

- Larry Hershman, Vice President, Budget
- Linda Guerra, Acting Asst. Vice President, Academic Strategic Planning & Analysis

ISSUE: Council has requested that UCPB conduct a cost analysis and feasibility review of two proposals introduced to Council last month from UCSB divisional chair Walter Yuen and CCGA chair Quentin Williams, concerning strategies for increased graduate student support.

DISCUSSION: Vice Chair Glantz voiced his concern for the downward incentive structure to hire graduate students, which exists right now in the University’s high student fee climate. Members agreed that the two proposals currently on the table do not entirely solve the University’s problems with graduate student support, and members recognized the need for concrete, feasible options which work within both the Compact and specific budget projections for the 2006-07 University budget. Realizing that UCPB does not have the time or the expertise to develop such options, committee members proposed that UCPB should recommend to Council that it request the administration to offer such proposals for faculty approval which address the myriad problems surrounding graduate student funding and support. Chair Parrish agreed to write a letter to Council to this effect, and also to raise other related issues including the

importance of graduate student support for the University's research enterprise, graduate students' contribution to the quality of the undergraduate experience, and also to emphasize the urgency of the issue in terms of reduction of overall quality at the University of California.

ACTION: Chair Parrish will draft for UCPB's approval a letter to Council recommending that the Office of the President develop a series of proposals for presentation to the Senate, which for purposes of the 2006-07 University budget, increase present financial aid levels for graduate students and also address the anticipated impact of increased financial aid for graduate students on the University's existing instructional and research programs.

V. Graduate Student Funding at UCSF

- Stan Glantz, Vice Chair

UPDATE: UCSF dean of graduate affairs, Cliff Attkisson, was scheduled to attend the meeting to discuss with UCPB the current difficult state of graduate student funding at UCSF. Due to a family emergency, Dean Attkisson was unable to attend the meeting and the committee agreed to postpone the discussion to UCPB's 2005-06 agenda.

VI. Consultation with UCOP – Division of Business and Finance

- Joseph P. Mullinix, Senior Vice President

ISSUE: UCPB had requested Senior Vice President Mullinix's consultation with the committee concerning the University's current debt capacity and its implications on student and faculty housing, and the University's overall financial outlook.

REPORT: Senior Vice President Mullinix reported on the University's past and current debt levels and its impact on building projects and future credit. He also updated the committee on the University's planning efforts concerning overall debt capacity and what the Office of the President is doing to address these issues.

DISCUSSION: UCPB members discussed the content of Senior Vice President Mullinix's presentation, focusing on strategies for the University to meet its capital needs in the face of its projected debt capacity.

VII. Consultation with UCOP – Office of Research

- Cathie Magowan, Director – Science and Technology Research
- Dante Noto, Director – Arts, Humanities and Social Science Research

A. Institute on Global Conflict and Cooperation (IGCC)

ISSUE: Council has requested that UCPB inquire into the current funding difficulties facing IGCC and provide a recommendation to Council for consideration at its June 22, 2005 meeting.

REPORT: Director Noto reported that the administration is leading negotiations with Laboratory Management to restore \$400,000 to IGCC to return the program to its full funding level. Laboratory Management had recently directed the cut to IGCC's budget unilaterally and without Senate consultation.

DISCUSSION: UCPB members expressed strong displeasure for the decision-making process leading to an enormous cut in IGCC's budget, a decision which should have been vetted through the Senate because it affects an MRU program under

the purview of the Senate and UCPB. Members questioned the future of Senate involvement in all academic research programs and new proposals affiliated with the national laboratories, especially due to the University's uncertain role in the laboratory contracts currently out for bid. Members also pondered the consequences of the University losing the Los Alamos contract, what contingency plans are being made, and what will happen to IGCC, for example.

ACTION: Chair Parrish will draft a letter for the committee's review and eventual submission to Council re: the restoration of funding for the Institute of Global Conflict and Cooperation, and other related issues reflected in the discussion, above.

B. California Space Institute (Cal Space)

ISSUE: UCPB requested an update from the Office of Research (OR) regarding the decision to disestablish the Cal Space MRU, and what steps are being taken to implement the disestablishment.

REPORT: Director Magowan reported that OR agreed with the Senate and UCPB's recommendation to disestablish Cal Space. Plans are in motion to remove the Cal Space headquarters from its host campus, and return all Cal Space money to the Office of the President (OP) on July 1, 2005. According to the budget office, OP may use the money for a separate research endeavor unrelated to space research if the following three conditions are met: (1) the money is not included as a line item in the budget; (2) approval of President Dynes; and (3) no constituency is troubled by the decision. Director Magowan said that conditions 2 and 3 are still pending, but condition 1 is satisfied. The four Cal Space Centers of Excellence will remain open for one year to determine their future, and each will receive \$80,000 of OP money plus \$40,000 in Space Grant money, which the campuses must match, totaling \$240,000 per Center.

DISCUSSION: UCPB members heavily questioned OR's decision to seek substantial input from Cal Space stakeholders regarding the future direction of \$900,000 of disestablished Cal Space money that will be returned to OP in July. Members expressed disappointment that OR is not following the principles outlined in the UCPB/Academic Council MRU Report concerning recycling of MRU funds. Members repeated the assertion that money from disestablished MRUs should be recompeted to all available competitors and not left in the hands of the interested few.

ACTION: Chair Parrish will include UCPB's sentiment regarding the future of Cal Space disestablishment funding in the joint UCPB/UCORP statement to Council on recycling of MRU funding (see agenda item VIII, below).

C. Institute of Geophysics and Planetary Physics (IGPP):

ISSUE: UCPB requested an update from the Office of Research (OR) on the future of the IGPP program following its recently concluded 15-year review. UCPB expressed concern in its 15-year "review of the review" letter over IGPP's use of FTE appointments, and its apparent reluctance to keep FTE commitments temporary – another concept supported in the UCPB/Academic Council MRU Report adopted by Council last year.

REPORT: As a result of the 15-year review of IGPP and the program's uncertain future, Director Magowan reported that OR believes the time is ripe for a new systemwide director of IGPP and is therefore looking for a transitional systemwide director and new host campus for IGPP for the next three years.

DISCUSSION: Chair Parrish raised the issue of faculty FTEs involved with IGPP and its host campus, and Director Magowan reported that a total of 13 FTEs are at Los Angeles alone, and 5 are at Riverside. Some sites are looking into spreading the FTEs around, while remaining IGPP sites are exploring other options for their FTEs. Members emphasized the need for the OR to closely monitor this issue, and follow the FTE-related recommendations contained in the UCPB/Academic Council MRU Report and also expressed in UCPB's comments regarding the 15-year review of IGPP. Members wish to see strong OR policy stating that once an FTE retires, the money is returned to OR. In addition, members requested increased tracking of the use of extramural funds at IGPP and other MRU programs.

ACTION: Chair Parrish will emphasize UCPB's comments on the 15-year review of IGPP in the joint UCPB/UCORP statement to Council on recycling of MRU funding (see agenda item VIII, below).

VIII. Statement on Recycling of MRU Funds

ISSUE: Council has requested that UCPB and UCORP draft a policy statement on the recycling of MRU funds, which will be brought before Council at its July 27, 2005 meeting. The issue arose at the May 18 Council discussion regarding the Compendium committees' reports on the 15-year reviews of the ITS and IGPP MRU programs, and the Council's conclusion that "there is a strong need for the Council to produce a formal statement of the Senate's belief that MRU funds should be regularly re-circulated in order to promote fairness and foster new research initiatives."

DISCUSSION: UCPB members agreed that Council's charge to produce a statement on the recycling of MRU funds was more than adequately addressed in its report submitted and approved by Council last year, entitled "Restructuring the MRU Review Process." Committee members added that the MRU Report's statement on returning FTE funding to OP could be emphasized further, and the concerns expressed in today's discussions regarding Cal Space and IGPP could also be included in the joint UCPB/UCORP statement to Council as an example of how the MRU principles should be implemented.

ACTION: Chair Parrish will draft a statement for the review of UCPB and UCORP re: the recycling of MRU funds, for eventual submission to Council at its July 27, 2005 meeting.

IX. UCPB Draft Report on Budget Cuts Affecting Campus Mental Health Services

ISSUE: The final UCPB draft report on budget cuts affecting campus mental health services has been completed, and is before the committee for approval.

DISCUSSION: UCPB members were very pleased with the content of the draft report and wished for the report to go forward to Council as written and without a formal recommendation of the committee.

ACTION: UCPB unanimously approved the draft Report on Budget Cuts Affecting Campus Mental Health Services, as written. Chair Parrish will present the report at the June 22, 2005 Council meeting.

X. UCPB Planning: End of 2004-05; Issues for 2005-06

DISCUSSION: Members listed the following issues to trail and/or pursue for next year: the Cal ISI budget; follow-up on UCPB's proposal for a steering committee on graduate student support; strategies for UC budget independence; increase UCPB's proactive measures; track progress of the President's long-range planning task force and how it addresses UCPB's Resolution on Maintaining the Public Status of the University; and strengthening the faculty voice in state legislative matters.