

UNIVERSITY OF CALIFORNIA **ACADEMIC SENATE**
UNIVERSITY COMMITTEE ON PLANNING AND BUDGET

Minutes of Videoconference Meeting

July 10, 2018

I. Consent Calendar

- Approval of UCPB July 10, 2018 agenda
- Approval of UCPB June 5, 2018 minutes

ACTION: UCPB approved the consent calendar.

II. Announcements

- *Joshua Schimel, UCPB Chair*

UC Press: At the June Academic Council meeting, the Editorial Committee chair discussed his committee's concerns about a Huron Consulting proposal to transition UC Press operations and budget from UCOP to UCLA or to an independent non-profit 501c3 Foundation, potentially as soon as 2020. The Committee believes that moving the Press could damage its functionality and reputation. Later in the meeting, the Provost emphasized that UC Press had been given no directive either to move or to move by a specific date.

Faculty Salaries: Council endorsed a UCFW proposal for continuing over the next two years the President's three-year plan to reduce the 8.4% faculty salary gap. The proposal to fully address the remaining gap would increase the published salary scales by 8.2% in both 2019-20 and 2020-21.

Shared Governance Concerns: Council [endorsed a UCPB letter](#) requesting more Senate involvement in the process of evaluating potential changes to systemwide academic programs housed at UCOP. Council asked the President to include the Senate in foundational decisions about the direction of systemwide academic programs. It also requested a systemwide Senate review of the work products of the Advisory Committees charged by the President with considering the Huron Consulting Group's recommendations concerning UC Health and DANR.

- It was noted that the 4% salary increase for 2018-19 is only "on paper" for clinical Health Sciences faculty in non-ladder-rank series who are not on the traditional scales, but supported by clinical income or research grants. Those faculty will have to petition external funders for pay increases.

III. Consultation with Academic Senate Leadership

- *Shane White, Academic Senate Chair*
- *Robert May, Academic Senate Vice Chair*

UC Recruit: In June, Council discussed concerns from UC Math faculty that UCOP's decision to run all UC faculty recruitments through UC Recruit forces math departments to abandon their standard recruitment platform *mathjobs.org*. Council acknowledged that UC Recruit helps the

University gather systemwide data on searches and diversity, but encouraged UCOP to identify a means of importing data from *mathjobs* into UC Recruit.

Faculty Salaries: Council endorsed a [UCFW proposal](#) for implementing years two and three of the faculty salary plan. The plan has been sent to the administration to ensure it is included in early 2019-20 budget development planning and projections.

Transfer Task Force: Council [endorsed](#) the report of the Transfer Task Force and its five recommendations, including the creation of a systemwide transfer admission guarantee for students who complete coursework in a UC Transfer Pathway with major preparation GPA and overall GPA above some minimum to be determined; and a pilot program for Associate of Science degrees in Chemistry and Physics. A Joint Transfer Work group chaired by incoming Academic Senate Chair May will monitor and guide the implementation of these initiatives.

Barriers to Online Education: Council discussed UCEP's investigation into barriers to cross-campus enrollment in online courses and [endorsed](#) its recommendation that campuses implement a student-friendly petition process to address cases in which a UC student has been disallowed from enrolling in an online course offered on another UC campus.

Gold Book Task Force: Council [endorsed](#) the report of the UCFW Public Safety Task Force recommending changes to the UC Police Policies and Administrative Procedures manual (the "Gold Book") to align policing practices across campuses with best practices appropriate to a university environment.

Proposed Health Sciences Committee: Council discussed a request from health sciences faculty leaders to establish a new standing committee dedicated to addressing the needs of the health sciences faculty, particularly factors contributing to their low morale. Council felt that the issues could likely be addressed effectively through the Senate's existing committee structure.

IV. Compendium Reviews

1. Pre-proposal for a School of Public Health (SPH) at UC San Diego

Lead reviewer Cathryn Carson reported that UCSD is proposing to combine existing Health Sciences faculty, staff, and space into a new School of Public Health. UCSD's modest growth plan for the School includes no requests for additional state funding or faculty FTEs. It describes two financial plans for the School – one involving a potential private gift of \$25 million, and a second without the gift.

Professor Carson noted that the pre-proposal provides appropriate and adequate detail for this review stage; however, the final proposal should address and clarify several issues, including the costs that higher level units outside the SPH will carry, such as those required to support faculty start-ups and facilities maintenance. In addition, the pre-proposal anticipates a total philanthropic need of \$100 million to establish an endowment and construct a building, but does not detail a specific fundraising plan. The final proposal should address the extent to which the development office will make the SPH a fundraising priority and how the SPH may draw on additional resources and revenue generation possibilities. In addition, it will be important for the UCSD SPH to receive the support of other UC Schools of Public Health and to coordinate philanthropic

efforts with them. Finally, there is a potential concern about the feasibility of the target opening date of 2019-20, and the final proposal should provide a clear rationale for that timeline.

ACTION: UCPB will send a letter summarizing comments.

2. Proposed Master of Bioprocess Engineering at UCB

Lead reviewer Mukesh Singhal reported that UCB's proposed Master of Bioprocess Engineering (MBE) self-supporting graduate and professional degree program (SSGPDP) will be administered by the Department of Chemical and Biomolecular Engineering. It is planned as a 9-month, 24-unit, on-campus program with a capstone project. Market research projects strong demand, and UCB anticipates an initial enrollment of 30 students in fall 2019, with 15 percent annual enrollment increases to a maximum of 50 by 2024. Tuition and fees will total about \$57,000 in the first year and increase 5% annually. The program projects increasing surpluses rising to \$1.2 million annually by year five. The plan relies on existing classroom space and facilities at LBNL.

UCPB members observed that given the unusually large income (in excess of immediate program needs) relative to the number of students enrolled in the program, UCB may need to provide more justification for the 5% annual tuition increase. The large surplus also raised more general questions about the nature of SSGPDPs and their role in the University, and UCPB members noted that the Senate may want to consider guidelines for the amount of uncommitted surplus funds a program can plan on generating from student fees. UCPB identified other details in the proposal that raised questions about the MBE's trajectory to self-supporting status; specifically, it was unclear that program revenue would fully cover the faculty resources needed to deliver the curriculum to MBE students. Indeed, the high projected income may reflect a failure to fully account for the full cost. The proposal should clarify whether and how revenues will support the program's full curricular range.

ACTION: UCPB will forward the report and comments to CCGA.

V. Consultation with UCOP

- *David Alcocer, Associate Vice President and Director, Operating Budget*

2018-19 Budget: The final 2018-19 state budget provides UC with \$98.1 million in new ongoing funding, including a base budget adjustment of 2.7% and \$5 million to fund enrollment growth. Although the Governor was unwilling to endorse additional permanent funding increases supported by the Legislature, his final budget contained \$248 million in one-time funding, including \$105 million for general university needs, which UC will use to buy-out a planned in-state tuition increase, fund current over-enrollment, and support new CA resident enrollment growth in 2018-19. The budget also includes \$35 million in one-time funding for deferred maintenance, \$40 million for graduate medical education programs (reversing the Proposition 56 swap) and approximately \$100 million in earmarks benefiting individual campuses and research initiatives identified as priorities for the Legislature.

The University appreciates the additional one-time funding, but also emphasizes that it needs continuing revenue streams to support new enrollments and other cost increases. Campuses will make individual decisions based on careful planning and may identify opportunities for one-time

investments, but they may not feel confident about hiring new faculty without permanent funding streams to support them. UCOP will begin work on a multi-year proposal for full funding of the University that encompasses the first term of the new Governor.

AVP Alcocer noted that UC allocates most one-time funds to campuses through the rebenching formula as though they are permanent funds. Deferred maintenance funds are an exception. UC is considering a different methodology for distributing a portion of the \$105 million one-time general needs funds to address 2017-18 levels of over-enrollment and to support the tuition buy-out. The new methodology will help ensure that campuses are no worse off, but it will not signal a permanent change to the rebenching philosophy.

The Budget Act requires UC to submit a report to the Legislature outlining the financial and demographic implications of reducing nonresident enrollment to no more than 10% at each campus over the next ten years. The State wants to use the data to inform discussions about how much funding UC would need to “buy out” nonresident enrollment slots for CA residents.

UCOP and the campuses are exploring opportunities for improving undergraduate degree completion that do not involve taking up a seat in a UC campus classroom or that require construction of new capital facilities; for example, online education, study abroad, summer session, and internships.

- UCPB members expressed hope that UC might forging a funding agreement with the incoming Governor that includes sufficient budgetary support for the University’s full undergraduate, graduate education and research missions.

VI. ANR Advisory Committee

Professor Mary Gauvain joined UCPB to discuss her role as the faculty representative on a President’s Advisory Committee that is considering options from Huron Consulting for the relocation of the Division of Agriculture and Natural Resources (ANR).

Chair Schimel noted that UCPB and the larger Senate are concerned about the lack of Senate involvement in the process of evaluating the reorganization of academic programs housed in UCOP, and the speed with which the processes are moving forward. He noted that the UCPB Task Force on Agriculture and Natural Resources has been considering ANR’s mission and role within the broader University, including its isolation relative to some of the campuses. He asked Professor Gauvain for her perspectives on the Advisory Committee and where it is going.

Professor Gauvain noted that the Advisory Committee has been asked to make recommendations concerning the oversight of ANR and its location, its administrative and governance structures, funding model, and budgetary review process. UCOP has extended the deadline for the Committee to complete its work from November to March. Advisory Committee members have expressed support for increasing oversight of ANR, and the Committee is discussing a proposal to administer ANR as a systemwide program within the UCOP Academic Affairs division, with oversight provided by a Governance Board on behalf of the Provost. The Committee is also discussing the extent to which Agricultural Extension Station funding dollars now limited to a handful of campuses might be spread to other campuses, and the need to increase transparency

and accountability around the ANR budget, given that ANR's stakeholders include the entire University.

- UCPB members expressed support for shifting ANR administrative and oversight structures to ensure that ANR is more grounded in the academic mission of the University. Members also expressed support for giving UCPB and its ANR Task Force a continuing consultative role in discussions about ANR's direction.

VII. UCPB Priorities for 2018-19

It was noted that the UCR Graduate Council will be conducting a five-year review of all local self-supporting programs next year with input from the Committee on Planning and Budget. UCPB members suggested that UCPB monitor the review, and work with CCGA to develop guidelines for reviews on other campuses. Members also suggested that UCPB monitor the progress of UCOP restructuring options, and discuss potential solutions to the faculty housing crisis.

Meeting adjourned at 1:00 pm

Minutes Prepared by Michael LaBriola, Principal Committee Analyst

Attest: Joshua Schimel