

# UNIVERSITY COMMITTEE ON PLANNING & BUDGET

## ANNUAL REPORT 2000-2001

### TO THE ASSEMBLY OF THE ACADEMIC SENATE:

The University Committee on Planning and Budget met eleven times during the 2000-2001 academic year. The major issues considered and actions taken by the committee in the course of the year's session are herein noted.

**Budget.** The committee met regularly with UCOP consultants on budget and finance issues, keeping updated on the state budget process and receiving economic reports and forecasts. Of primary concern was the impact of the economic downturn on UC's budget and ways to recoup the terms of the partnership agreement with state government. UCPB also tracked the legislature's interest in changes in eligibility criteria, DAP and ELC, admissions initiatives, and the correlative impact of their interest on UC planning and budgetary concerns.

**Endowment Spending.** Since the adoption of the current expenditure policy, UCPB has offered annual recommendations on the pay out rate of the General Endowment Pool. In its October meeting UCPB reviewed endowment expenditures, and added its support to the efforts of the Senate to raise the rate 10 base points for this year. UCPB has in the past urged raising the rate in one move to the level of 4.75, but agreed with a 10 base point raise of endowment expenditure as a step towards achieving a desired rate of 4.75 as soon as possible.

**State Supported Summer Instruction.** UCPB considered and offered recommendations on questions of funding, faculty, fees, and enrollment growth related to the planning and implementation of state supported summer instruction. Based on a subcommittee's report, UCPB supported uniform fees with some initial flexibility for nonresident fees, and recommended improved information and outreach to students on all aspects of summer instruction. The committee monitored the planning and inauguration of the 2001 terms at UC Berkeley, UCLA and UC Santa Barbara. It also made recommendations on funding strategies and on gathering and assessing outcome information from those campuses, which can be used in the 2002 and possible 2003 launches at other campuses.

**Enrollment.** UCPB regularly monitored enrollment growth, mindful of both graduate and undergraduate issues and goals. This is a significant, at-hand planning issue, about which UCPB will maintain a rigorous dialogue, most immediately in connection with state supported summer instruction and graduate enrollment and recruitment. The committee was kept apprised of ongoing discussion on enrollment issues in other systemwide bodies.

## **Academic Initiatives**

*Global Film School* -- As part of a broad Senate review, UCPB studied the UCLA Global Film School proposal in subcommittee and full committee. Based on several strong concerns, UCPB did not support the proposal. In particular, the committee saw the terms of the proposal as ceding too much control over revenue, quality, and reputation to the business partners of the plan, while UC would be lending the UC name to the venture. The UCPB recommendation also noted that a certificate program is a gray area that may not clearly be subject to Senate oversight.

*Master Plan Review* -- In response to a report on the current four year UCOP review of the master plan for education, the committee discussed long term budgetary implications and challenges to UC's position as the primary state institution for research and doctoral programs. Related UC issues were: CSU's proposal for an Ed.D.; professional training the possibility of expanding the range of UC graduate programs; joint doctoral programs; and the overall process of redefining differences between UC and CSU.

*CAL ISI* -- The committee forwarded a recommendation for continued funding for California Institutes for Science and Innovation.

## **Research Related Activities and Reviews**

*Multi-campus Research Units* -- UCPB participated in reviews, was regularly updated on the funding and review status of existing MRUs, and was apprised of research on the history of MRU funding and the development of a funding database by a joint workgroup. Members advised on improving budget strategies for MRUs, and on the review process. General recommendations from the committee were that MRUs more aggressively seek extramural funding, institute more interaction with other MRUs, and develop better use of electronic media as a way to bring content and site into competitive re-evaluation. UCPB participated in the following MRU review processes:

- Comparative Fifteen-year reviews of Toxic Substances Research and Teaching program (TSR&TP), University of California Energy Institute (UCEI), and the Cancer Research Coordinating Committee (CRCC).
- Quinquennial Review of the California Space Institute.
- Site selection for UC ACCORD.
- Proposals for branches of the Institute of Geophysics and Planetary Physics (IGPP) at UCI and at UCB.

The committee also received reports on funding for the following Multi-campus Research Programs: UC Institute of Labor and Employment (ILE); UC Natural Reserve System; Coastal and Environmental Quality Initiative.

*Research Initiatives* -- UCPB reviewed and prioritized proposals for research initiatives to be included in the 2002 –2003 budget. The committee submitted its recommendations and rationales to the Vice Provost of Research, endorsing continued funding for Invasive Species Research; Phase II Environment and Energy Initiative; and Graduate Student Support in Engineering, Computer Sciences and related disciplines. The committee also sent the following additional initiatives forward to the subcommittee on Research

Initiatives: CIRSI; SAFER Cal; Social Inequality/ Social Development in California; Cal COOS; and Women’s Health.

**Proposals for New Schools and Programs.**

*UCR School of Law and UCI School of Law* -- Although these proposals were reviewed separately, their proximity to each other both in time of submission and geographic location, led to obvious connections and questions. UCPB felt that the administration should take on the fundamental role of determining the need for another UC law school, and should weigh the advisability of these proposals in terms of how they would affect the quality of existing schools and their budgetary impacts on the campuses proposing them. Regarding the UCI proposal, UCPB recommended a national rather than local perspective on student recruitment, and expressed concerns about structure and faculty coverage for an interdisciplinary curriculum, and faculty-student ratio. Similarly, in regard to the UCR proposal, excessive “localism” was seen as a concern, as well as the initial plan to place the law school away from the campus. UCPB’s concerns about each proposal were satisfactorily met via revisions, and each plan garnered the support of the Committee.

*UCSD School of Management* -- The committee made initial recommendations on costs of ancillary operations and students concerns. Later in the year, the committee heard a report from faculty at UCI on the proposed school’s potential negative impact on UCI’s Graduate School of Management. UCPB has recommended that the Senate take these concerns of competition with both UCLA and UCI under advisement, and that the UCSD proposal be revised to specifically address the similarities between UCI’s GSM and UCSD’s proposed program to mitigate possible problems. Aside from these concerns about impacts on other UC Management Schools, the proposal was strongly supported by UCPB.

*UCPB engaged in initial discussion of the following Preliminary Proposals in Five-Year Perspectives:*

UCD Graduate School of the Environment	UCI School of Design
UCI School of Information and Computer Science	UCI School of Public Health
UCSF School of Advanced Health Studies	UCD School of Education
UCSD School of Pharmacology (change of name only)	

**Library Report**

*California Digital Library* -- The mission of the CDL is to develop a shared library content among the campuses through purchase and creation. UCPB considered these areas of concern: the structure of funding for the CDL; the determination of campus contributions; licensing; ownership; reading and archiving rights; content; and the challenges of sustainability and costs. UCPB appointed a committee member to be on the task force for exploring sustainable sources of income for the CDL, and will continue its oversight of this significant and developing project.

*General library issues* – In response to concern about the proper delivery of funds for libraries, UCPB requested a report from all campuses on library-allocated funds.

Additionally, the committee was apprised of library storage issues, improvement plans, cataloging changes, and changes in database management and integration.

**Interaction with Campus Committees.** The committee heard divisional concerns focusing on growth, resources, and capital projects needed to meet space demands. UCPB considered how the systemwide Senate could be more helpful to the campuses in the areas of capital investment, the balance of private and state funds, and how UCPB might affect allocations across campuses. Members discussed the need for models of shared governance on budgetary and planning matters; in a related action, the committee recommended improving information sharing on budget and expenditures between campus CPBs and UCPB.

**Faculty Issues.** The committee reviewed a number of issues relating to faculty activities, compensation, and welfare. Based on a subcommittee report, UCPB generally approved proposed changes to AP 025 (Conflict of commitment and Outside Interest Activities of Faculty Members). Also considered in this year's session were: UCFW's proposed Principles on Parking; faculty participation rates in and compensation for summer session instruction; half-time and part time appointments; faculty recruitment and retention; phased retirement; and overload pay issues. The committee will be completing its review and revision of University Copyright Policy in the coming year.

**Proposed Business School Salary Scales.** The Deans of the UC business schools submitted a proposal to raise their pay scale, which met with a divided response among the Senate members. As part of a broadened Senate review, UCPB considered the proposal and responses to it, and offered its recommendations that a revised proposal answer concerns about the magnitude of raises, the role of CAPs, and demonstration of an equitable transition between the old scale and the new. UCPB will continue to advise on this issue in the coming year at the request of the Council.

**Liaison Activities.** In addition to the Chair's service on Academic Council, UCPB is represented via the Chair, Vice Chair, or volunteer members on a wide variety of committees and task forces, including the UC Merced Task Force, the Council on Research, the Executive Budget Committee, and the Academic Planning Council. These liaisons allowed the Committee to stay apprised of and be involved in the decision making of these bodies.

**Review of UCPB Bylaw.** UCPB reviewed the Committee's bylaws and recommended changes in the language making it consistent with the charge of the committee, changing the term of service from three to two years, and structuring a more fluid rotation of members representing the campus committees.

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