

## UNIVERSITY COMMITTEE ON RESEARCH POLICY

Minutes of Meeting  
March 12, 2012**I. Chair's Announcements**

Chair Crawford updated the committee on several items of interest:

- The Academic Council met on February X, and referred several items to the standing committees and divisions for comment (see Items II and VI below). How to move forward with a Health Sciences Total Remuneration Study remains under discussion due to the difficulty of acquiring comparable data. State Senate Bill 259, which would allow for the unionization of graduate student researchers is moving through the legislature. The faculty have voted to memorialize the Regents and convey their support for Proposition 30. The UCORP-drafted University Research Mission Statement is still undergoing internal administrative review.
- The Academic Council Special Committee on Lab Issues (ACSCOLI) will meet next week. Its agenda will focus on lab fee usage, overall coordination of research, and the development of a second campus for the Lawrence Berkeley National Laboratory.  
**Discussion:** Members asked if lab morale was still under active evaluation, and Chair Crawford indicated that no new information had been provided recently. Council Vice Chair Powell noted that retiree satisfaction and the impact of federal budget cuts would also be discussed.
- The Committee on Academic Graduate Student Support (CAGSS) continues to review data; it will issue findings and recommendations soon.
- The Technology Transfer Advisory Committee, TTAC, has representation from UCORP, and a new volunteer is needed.  
**Action:** Mike Cleary (UCM) will attend this quarter's meeting, and Frank Wuertwein (UCSD) will attend subsequently.
- The Academic Council's Special Committee on Agriculture and Natural Resources (ACSCANR) represents a significant step forward in engagement with the Division of Agriculture and Natural Resources.
- The process of establishing new funding benchmarks for the allocation of state funds to the campuses, "rebenching", proceeds apace; members will be well-served to stay abreast of the issue.

**II. Open Access**

*Chris Kelty, Chair, University Committee on Library and Scholarly Communication (UCOLASC)*

**Issue:** Chair Kelty summarized his committee's proposal to encourage UC faculty to utilize open access repositories, like the California Digital Library (CDL), UScholarship, and PubMed, preferentially over fee-for-access publishers. The University Librarians are active supporters, and this effort is part of a larger national trend moving away from subscription-based models.

**Discussion:** Members asked about the intended scope of the policy, and Chair Kelty noted that the draft language was general to allow for flexibility, but that creative works were excluded. Members also asked how the policy would be enforced. Chair Kelty indicated that the target subscription rate had yet to be determined, and that the framers hoped that incentives would suffice to yield compliance, thus obviating the need for active enforcement. Members had several technical questions about per page fees and comparable cost estimates for administration of the OA repository hosting expenses, and encouraged Chair Kelty to develop a more comprehensive proposal since the idea does have merit.

### **III. Consultation with the Office of the President – Office of Research and Graduate Studies (ORGS)**

*Steve Beckwith, Vice President*

*Jenny Gautier, Deputy to the Vice President*

*Mary Croughan, Executive Director, Research Grant Program Office (RGPO)*

*Wendy Streitz, Executive Director, Research Policy Analysis and Coordination (RPAC)*

- **Lab Fee Competition:** Director Croughan reported that the review teams had been populated, and that the program had a 10-1 over-subscription rate this year. Council Vice Chair Powell is on the final selection committee.
- **Task Force on Principles, Process, and Assessment of UC Systemwide Research Investments (PPA):** Deputy Gautier reminded members that the latest revisions were circulated late last week in advance of this week's upcoming videoconference.
- **University of California Observatories:** Vice President Beckwith reported that recent discussions have been productive on many fronts, but perhaps most importantly by refocusing the discussion to moving forward to achieve shared goals. At the Provost's request, developing and implementing a new governance structure for UCO will be fast-tracked. As part of that process, the funding mechanism and its justification will be scrutinized.  
**Discussion:** Members inquired how the proposed advisory board would interact with current advisory board. Vice President Beckwith noted that the current board provides advice on scientific priorities, not management, so he sees little interaction between them. Members also asked how the proposed board preserves shared governance. Vice President Beckwith indicated that the structure of the new group was informed by the requirements of transparent reporting lines, emphasizing that management decisions required perspectives beyond those of scientists. Further, observatory best practices will be considered, as well as the original recommendations of the external reviewers. Members encouraged Vice President Beckwith to reconsider the proposed membership of the advisory committee by including more faculty voices.
- **Indirect Cost Waivers:** Director Streitz reminded members that this effort is being driven by the Working Smarter Initiative. Vice President Beckwith added that the goal is to recover some of the \$500M/yr left on the table by waiving indirect costs. The draft recommendations include devolving waiver approval authority to the campuses from ORGS; promulgating the University position that full cost recovery is the norm; expanding direct cost options; exploring negotiating systemwide rates for certain items; and continuing to partner with umbrella organizations like the Council on Governmental Relations (COGR) to end differential reimbursement rates.  
**Discussion:** Members noted that compliance requirements added further costs to research administration.
- Vice President Beckwith reminded members that he was available to visit campus CORs to discuss any items of joint interest. He noted that he recently met with the UCSF COR, and everyone involved felt the meeting was informative and helpful.

### **IV. University of California Observatories**

*Note: Item occurred in executive session; other than action items, no notes were taken.*

### **V. Review of the Center for Information Technology Research in the Interest of Society (CITRIS)**

**Issue:** Chair Crawford reminded members of the unresolved questions surrounding the Cal ISI review process, as well as the goals of the review before the committee today. The former includes the lengthy time frame for these reviews, while the latter includes the scope of the review questions and the relevancy of the review protocol.

**Discussion:** Members noted an improvement in the inclusion of quantitative metrics, but still would like to see more of them. Members also noted that the review response should be tempered by the goal of the institute, not just the expectation of UCORP. Chair Crawford encouraged members to think broadly about the Cal ISI program and its business model.

**Action:** Analyst Feer will develop a committee response framework for population by members.

## **VI. Systemwide Review Items**

### 1. APM 430 (Visiting Scholars):

**Discussion:** Members sought clarification of the proposal's impacts to research and what faculty would be required to do. Others suggested that the proposal is designed to close a loophole, not create new policy. Members agreed that currently, a “soft” policy is implemented differently across the campuses. Considerations of insurance indemnification and research facilitation underlie the proposal, but need to be specified in the proposal.

**Action:** Analyst Feer will draft the committee's response and circulate it electronically for approval.

### 2. BOARS Proposal for Major-Based Transfer Admission:

**Action:** The committee elected not to opine on this item.

### 3. Faculty Salary Task Force:

**Discussion:** Members observed that the intent of the proposal is to raise the salary floor over time by continually raising the minimum, and that the proposal requires additional state funds to be implemented.

**Action:** The committee elected not to opine on this item.

## **VII. Campus Updates**

Berkeley: Berkeley recently completed their internal grant allocation process.

Davis: (absent).

Irvine: Irvine discussed both the UCO review and the CalIT2 review, noting the different perspectives that seem to dominate different campuses.

Los Angeles: Los Angeles also discussed the UCO review, as well as the future of ORU administration on the campus.

Merced: No report.

Riverside: Riverside is restructuring their meetings and schedule to more closely parallel the UCORP models. They also discussed the UCO review, with many noting it is a shared resource.

San Diego: San Diego similarly discussed the UCO and CalIT2 reviews.

San Francisco: San Francisco is revising its IRB processes, and met with Vice President Beckwith for over two hours.

Santa Barbara: Santa Barbara discussed the UCO review, too. Local seismic retrofitting is a campus-wide concern.

Santa Cruz: Santa Cruz also discussed the UCO review, as well as their over-subscription for COR grants.

## **VIII. New Business**

None.

Meeting adjourned at 3:10. Minutes prepared by Kenneth Feer, Senior Policy Analyst.

Attest: John Crawford, UCORP Chair