

**Minutes of Meeting  
March 10, 2008**

**I. Consent Calendar**

**ACTION:** The consent calendar was approved as noticed.

**II. Chair's Announcements**

*Jose Wudka, UCORP Chair*

Academic Council Update:

- A UC-wide School of Global Health is in development (see e-distribution on committee website). The proposal, to be released in May or June, suggests having a dean on one campus, with 5-6 satellite centers of expertise. Initially, it would be for MAs only.
- The Assembly resolution on limiting UC's involvement in the DOE national labs has been sent to the Regents for discussion.
- Last week was "UC Day" in Sacramento. Both SMG and several Regents are lobbying for better funding for the University.
- The presidential search is moving forward, and the search committee is pleased to report that previous fears seem to have been proven unfounded.
- During consultation with senior managers, it was noted that: undergraduate applications are increasing, underrepresented minority applications specifically are increasing, as well as applications to Merced; the California Institute for Climate Studies (CICS) is moving forward (see agenda Information Item C); budget pushback is supported by many members of the legislature; while there are no enrollment caps, applicants are not guaranteed their campus of choice and over-enrollment will not be funded; there is no new money for the projected growth in schools for nursing and public health; OP restructuring is moving forward, and detailed data will be presented to the Regents at both their March and May meetings; the funding source for faculty salary increases is still unclear, and may remain so until October.

Council on Research:

Chair Wudka participated in the COR meeting via phone, and he highlighted two items: Vice President Beckwith is continuing to learn the scope of his office and its budgetary operations and WASC recently issued a report which is critical of the University (see the Academic Council's response online [here](#) and WASC's follow-up statement to the President [here](#).) Additionally, see Item III below.

**III. Department of Defense (DOD) National Security Science and Engineering Faculty (NSSEF) Fellowships**

*Jose Wudka, UCORP Chair*

**ISSUE:** The Fellowship announcement stated that having a security clearance was required for fellows, even though all data were to be unclassified. While it was thought that the purpose of the program was to increase the pool of qualified non-DOD program

reviewers, UC had three concerns: the potential for interference in publication and data access seemed high; the process of acquiring security clearances was opaque; and the UC policy governing clearances and work-force training programs is dated. Only a few UC campuses had applicants, and only 10 fellowships will be awarded.

**ACTION:** The committee will address the issue with Vice President Beckwith during the OP consultation (Item V below).

#### **IV. Strategic Publishing Initiatives**

*Catherine Candee, Executive Director of Strategic Publishing and Broadcast Initiatives*

**ISSUE:** Director Candee presented an overview of her office and current initiatives (see e-distribution on committee website). The goal is to find common themes and leverage UC's scale, for example, to support new growth in emerging and underfunded areas such as the humanities. Further, attaining tenure and promotion recognition for publication in non-traditional media is being explored. One success is the "conference in a box" recently piloted.

**DISCUSSION:** Members raised concerns regarding the efficiency of duplicating others' efforts, such as the Public Library of Science (PLOS), and the efficacy of others' business models. Members were also concerned about balancing costs and quality. Further, new media must be universally accepted, not just in one discipline or at one university. Members noted that current cultures in disciplines, fields, and even departments present significant obstacles, yet the impetus is clear and these efforts dovetail with many other changes currently occurring.

#### **V. Consultation with the Office of the President**

*Steven Beckwith, Vice President for Research and Graduate Studies*

Vice President Beckwith updated the committee on several issues:

- **DOD NSSEF Fellowships:** UC's policy may not need any revisions as there is a clear break between the classified information related to the security clearance, on the one hand, and the research to be conducted, on the other. Also, partial funding may be available for non-citizens, and UC has a long-standing tradition of allowing limited exceptions to UC policy for "workforce training". Further, as only 10 fellowships will be awarded nationally per year, the likelihood that UC will reach a critical mass is low. Finally, UC has drafted a letter to append to applications clarifying its position regarding the citizenship question.
- **CICS:** The PUC will hold a final vote next week, and it may issue an RFP for a host. The current controversy centers on balancing PUC sponsorship of a program which is only vaguely defined versus higher education's concern over micromanagement.
- **Technology Transfer:** The Office of Technology Transfer has been subsumed by the Office of Research. Further updates will be provided to inform the committee of subsequent fiscal concerns and proprietary interest issues.
- **Office of Research Organization:** As part of its internal restructuring efforts, OP has unified related activities, causing the Office of Research to grow in the short term. However, as some of these programs have not previously been reviewed or audited, VP Beckwith's office will review them to evaluate their management, scientific impact, and organizational placement.

## **VI. Compliance and Audit Procedures and Policies**

*Sheryl Vacca, Senior Vice President and Chief Compliance and Audit Officer*

*Patrick Schlesinger, Director of Research Compliance*

**ISSUE:** In light of recent fiscal and regulatory issues that confronted the University, the Regents established the Office of Compliance and Audit five months ago. The structure of the office is still being fleshed out (see e-distribution on committee website), but it is anticipated that each campus will have a chief compliance officer and that the unit's organization chart will include many dotted lines to facilitate communication, cooperation, and transparency. The unit will report on its progress at the July Regents' meeting; any feedback is welcome.

**DISCUSSION:** Members asked whether having a single clearinghouse for all compliance issues might create logjams and delays, rather than streamlining processes. SVP Vacca indicated her belief that by sharing best practices and establishing clear guidelines, information would pass smoothly and quickly. SVP Vacca also noted that consolidating learning management systems would further enhance the timeliness of her office's work. Members also wondered if having another layer of audit oversight might overwhelm faculty and if having vice chancellors wear another hat might overwhelm them and devolve to mere lip-service. Finally, members noted that medical centers typically have had their own compliance team and that unifying campus efforts would be beneficial. SVP Vacca noted the concerns and stressed that improved communication should allow these difficulties to be overcome.

## **VII. International Strategy Development and Research Opportunities**

*Gretchen Kalonji, Director of International Strategy Development*

**ISSUE:** Director Kalonji outlined her office's current efforts to improve UC's profile abroad, focusing on programs in China, India, Canada, and Mexico, as well as a developing program in Africa (see Distribution 1 and e-distribution on committee website.)

**DISCUSSION:** Members noted that, in some cases, joint research opportunities are unilaterally funded by UC and that the lack of articulation agreements with international partners is harmful to both UC and reciprocity students. Director Kalonji indicated that such negotiations require both time and investment and that such steps are under way. Members asked why the strategy has focused on developing countries so far. Director Kalonji observed that her office was charged at its outset with establishing only a handful of programs to increase UC's profile in areas where it was not widely known, but she added that a new program in France is under investigation. Other complications to realizing UC's international strategy include a lack of institutional funding both here and abroad, slow progress on NRT, and the difficulty some encounter in securing visas. Finally, it appeared to many members that the flow of information is one-way: UC is teaching, not learning.

## **VIII. IRBs and Accreditation**

Item not addressed.

## **IX. Systemwide Review Items**

- UC Information Technology Guidance Committee (ITGC) report, “Creating a UC Cyberinfrastructure”  
**DISCUSSION:** Members were unclear on whether the goal of the report was to create a corporate IT-support model, but were glad to see better leveraging of UC’s scale as well as inclusion of funding for better grid capabilities.  
**ACTION:** The committee will revisit this item at its April meeting.
- Code of Conduct for Health Sciences  
**DISCUSSION:** Members noted that although the proposed code is now shorter, it may be too short, and it still contains some troubling passages.  
**ACTION:** Irvine Representative Smith will draft a response for consideration by the committee at its April meeting.
- Amendments to Senate Bylaw 140 - Committee on Affirmative Action and Diversity  
**ACTION:** The committee elected not to opine on this item.
- BOARS Revised Proposal to Reform UC’s Freshman Eligibility Policy  
**ACTION:** The committee elected not to opine on this item.

**X. Member Business and Planning**

- DANR Review Protocol:  
**ISSUE:** Chair Wudka stated that the Academic Council had some concerns regarding UCORP’s proposed executive committee to coordinate the review. The Council was also critical of some of the phrasing in the OP-supplied protocol proposal, noting that its language should be value-neutral.  
**DISCUSSION:** Members wanted to reiterate the committee’s call for quantitative data as well as their belief that the proposed executive committee would not create silos among the review team.  
**ACTION:** Chair Wudka and Vice Chair Carey will draft and then circulate to the committee an additional statement on the upcoming DANR review.

Adjournment: 4:00 p.m.

Distribution:

1. “International Strategy Development at the University of California”, by Prof. Gretchen Kalonji, Jan 7, 2008.

Appendix:

UCORP 2007-08 Attendance Record

Prepared by Kenneth Feer, Senior Analyst

Attest: Jose Wudka, UCORP Chair