

**Minutes of Meeting
January 14, 2008**

I. Consent Calendar

- Minutes of Meeting of December 10, 2007
- Response to International Education Review

ACTION: The consent calendar was approved as noticed.

II. Chair's Announcements

Jose Wudka, UCORP Chair

Academic Council:

President Dynes discussed the proposed PUC environmental program clearing house, and indicated that all California institutions of higher learning have been invited to participate in the project. The state budget deficit is expected to have ramifications throughout the system: labor contracts, health care provision, chancellor and faculty salary increases, endowment management—all must be evaluated carefully. Modest increases in federal research funding trail inflation. The proposed guidelines on vendor regulations were not supported by the Council because respondents argued that current regulations were adequate, that the proposal was too narrowly focused, and that some of the terms were unclear.

Academic Planning Council:

This group is convened by the Provost. Much of the teleconference was dedicated to discussion of strategic publishing initiatives and led by Catherine Candee, of the California Digital Library (CDL). The focus was on open source publishing versus journal publishing. Concerns centered on duplicative efforts, loss of prestige to authors, cost, and copyright waivers.

Academic Assembly:

The Assembly will meet on January 30, 2008; Chair Wudka will be in attendance.

Academic Council Special Committee on Lab Issues (ACSCOLD):

See Item VIII below.

III. Indirect Cost Recovery

ISSUE: The committee must finalize the work group charge.

DISCUSSION: Members were concerned that the draft charge was too limited in its focus. In addition to clarifying the processes underlying ICR rates, collection, and disbursement, the report must indicate the implications to the research enterprise and the university writ large of under recovery and diminishing returns; the impacts on FTE allocation, teaching loads, and the like must be made clear. Further, publishing/disseminating the findings of the group should be included in the charge as a specific action.

ACTION: These additions to the work group charge will be made and shared with the UCPB members for final approval.

IV. DANR Review Protocol and Panel Membership

ISSUE: The Division of Agriculture and Natural Resources (DANR) is preparing to undergo a comprehensive academic and business review. The model protocol for the review is that used for the California Institutes for Science and Innovation (Cal ISIs). The committee is asked to make amendments to the template protocol and to suggest membership for the review panel.

DISCUSSION: Members agreed that the protocol should specify and require quantitative metrics; the provision of anecdotes is insufficient. Members also indicated that California's urban areas should be in the scope of DANR's reach and activities therein should be evaluated. Longitudinal quantitative analysis should be a key ingredient; at least 5 years of publications, patents, etc. should be evaluated to provide a comprehensive picture. Measuring DANR against a "yardstick" institution (such as Texas A&M) would be useful. The value added of DANR to UC should be explicit.

For the panel, members discussed the possibility of co-chairs: one external to UC and California, one internal. Panelist independence was cited as a concern: prominent agriculturalists are few; conflict of interest should not be limited to financial considerations; the size of the panel, given DANR's breadth, could be problematic.

ACTION: Members will further discuss this item with their campus committees and send additional suggestions to Chair Wudka, Vice Chair Carey, and analyst Feer for integration and transmittal.

V. Consultation with the Office of the President

Steven Beckwith, Vice President for Research and Graduate Studies

Ellen Auriti, Executive Director for Research Policy and Legislation

Vice President Beckwith presented an overview of the management challenges facing the newly conceived office of research and graduate studies. For research, the dilemma is which functions to keep centralized and which to devolve to the campuses. For graduate studies, since the office is entirely new, its size and scope have yet to be determined. Nevertheless, VP Beckwith anticipates that the structure of the office will flow from its goals, which necessitates a clear strategic plan. Most likely, a matrix management structure will be introduced to replace the siloed structure of the status quo. Finally, VP Beckwith iterated his belief that good work is more important than efficiency.

DISCUSSION: Members queried whether VP Beckwith would continue the MRU restructuring program initiated under his predecessor. VP Beckwith indicated that he would, but that he is still learning the nuances involved in the issue. Members also asked how the vice president would address issues confronting graduate studies, such as faculty competitiveness, stipends, and interdisciplinarity. VP Beckwith responded that, generally, the office will try to improve its public face, both with the legislature and the public to foster a greater sense of ownership and investment in the University. Particular mention was made of "viral" advertising and joining the "punditocracy"—that is, of aligning outreach with 21st Century media. Lastly, members asked how the office of research interacts with and differs from DANR. VP Beckwith stated that DANR

occupies a parallel vice presidency and that close coordination would be key in future cooperative efforts. VP Beckwith differentiated between stewarding natural resources (DANR) and researching the impacts of various intentionally introduced stimuli on natural resources (Office of Research).

Executive Director Auriti updated the committee on several issues:

- PUC: A workshop was recently held in San Francisco to vet the idea. The proposal should go forward to the PUC directorate at the end of February. UC's environmental initiative did not survive the latest round of budget cuts. The PUC proposal is to be paid for by user fees.
- IRBs: A forum to discuss IRBs and attendant concerns is slated to occur at Davis on February 7. Faculty are welcome, but the event is targeted to staff. This is a pilot program, and future iterations may address HIPAA, exempt research, and federal and state human subject protection regulations.
- R-87: Guidelines for implementing the new Regental policy on tobacco company-funded research are available from the Office of Research.
- NIH: 1) The NIH is developing a policy on open access publication. 2) The NIH has also expanded its policy requiring the registration of clinical trials. This effort is designed to avoid the non-publication of non-satisfying results. The registry may be added to IRB checklists, although there are concerns about the adequacy of IRB software for supporting this task. More information may be found at www.clinicaltrials.gov.
- Export control: A federal advisory committee's report on the fundamental research exception to deemed export controls was issued in December 2007 and is going to the Commerce Department for evaluation. Most of the recommendations appear sound and should not present new difficulties for UC.
- Earmarks: The group responsible for the development and implementation of UC's new guidelines on non-competitive funding awards has concluded its work. The guidelines are functioning well.

VI. Arts, Humanities, and Social Sciences MRUs

Dante Noto, Director

ISSUE: Director Noto presented slides and an overview of several smaller MRUs in the arts, humanities, and social sciences (see Distribution 2).

DISCUSSION: Members lauded the work done by these lesser known MRUs, but had concerns about the practicality of forcing them to become financially independent. Unfortunately, much of their fate remains unknown due to the still-evolving MRU restructuring effort.

VII. Systemwide Review Items

- Regents' Task Forces on Diversity Reports

Discussion: Members recognized the importance of these reports, but were wary of a lack of clarity in the recommendations: Will this lead to mandatory training akin to the sexual harassment prevention training? Despite the number of recommendations, a clear roadmap of action is not apparent. Nevertheless, addressing the issues raised by the reports is important for the University's

continued recruitment and retention of top faculty and graduate students by reinforcing a positive environment for all.

Action: Professor Lane (UCLA) will draft the committee's response reflecting these concerns and plaudits.

- Proposed State Bill on Animal Researcher Protection

(With Ellen Auriti, Executive Director for Research Legislation and Policy)

DISCUSSION: At present, this is a draft bill only. Members raised several concerns about the proposal, including whether it was sufficiently preventative given its pre-emptive nature, whether it crossed UC's jurisdictional threshold in such matters, and whether it could violate free speech. Executive Director Auriti also noted her office's concerns of redundancy with recent federal legislation and of the maelstrom of publicity that such legislation would incite.

ACTION: Chair Wudka will draft the committee's negative response to the proposed legislation and ask that the Senate investigate this issue more comprehensively.

VIII. Lab Issues

Jose Wudka, UCORP Chair

John Birely, Associate Vice President for Laboratory Programs

- Pension Transfer from UCRP to LLNS

Chair Wudka summarized the transfer of assets from UCRP to LLNS, indicating that other Senate bodies foresaw no ill-effects to UCRP or to participants in LLNS.

- "Pits" Resolution

ISSUE: A resolution calling for the reassessment of UC's participation in managing the DOE national labs should they increase the production of nuclear detonation devices ("pits") will come before the Assembly at its January 30 meeting. Chair Wudka seeks the committee's input to help guide his vote.

DISCUSSION: Members were unclear on the implications of reassessing the University's participation. AVP Birely indicated that this could be much ado about nothing since "pit" production already occurs and any increases would be 1) on Presidential order and 2) long-term concerns. (See Distribution 1.)

ACTION: Members will send further comment to Chair Wudka prior to the Assembly meeting.

IX. New Business and Planning

ISSUE: Possible IRB accreditation.

DISCUSSION: Professor Lane of UCLA shared that his campus administration, without Senate consultation, recently invited an outside organization to review and "accredit" its IRBs. Although UCLA has yet to mandate accrediting its IRBs, the possibility that it may someday do so is troubling for many reasons, including: voluntary compliance with the reviewers' recommendations will be difficult, and mandatory compliance could be impossible; and no one is certain who has final authority over IRBs. Members also noted that demotion of the Senate to a reactive body is troubling across the campuses. Finally, members inquired whether there had been any follow-up to the IRB report issued last

year by UCORP. (*Note: This discussion preceded Executive Director Auriti's presentation in Item V.)

ACTION: Professor Lane will send to Chair Wudka the specifics of the UCLA IRB accreditation pilot for inclusion in a communication to the Academic Council and possibly the Council on Research (COR) or the Council of Vice Chancellors for Research (COVCR) indicating UCORP's unease with this endeavor.

Adjournment: 3:55 p.m.

Distributions:

1. Backgrounder on the Programmatic Environmental Impact Statement for Nuclear Weapons Complex Transformation
2. Slides: Arts, Humanities, and Social Science MRUs

Appendix:

UCORP 2007-08 Attendance Record

Prepared by: Kenneth Feer, Committee Analyst

Attest: Jose Wudka, UCORP Chair

University Committee on Research Policy
Attendance 2007-2008
Key: X = In attendance; Abs = Absent; Alt =Alternate attended

		10/15/07	11/5/07	12/10/07	1/14/08	2/11/08	3/10/08	4/14/08	5/5/08	6/9/08	7/14/08
Members:			Canceled								
Jose Wudka, Chair		X		X	X						
James Carey, Vice Chair		X		X	X						
Helen Halpin	Berkeley	Abs		Abs	Abs						
Robert Berman	Davis (Oct only)	X	n/a								
Jon Ramsey	Davis (Nov-)	N/A		X	Abs						
Moyra Smith	Irvine	X		X	Abs						
Timothy Lane	Los Angeles	Alt		X	X						
David Noelle	Merced	X		X	X						
John "Chris" Laursen	Riverside	Abs		X	X						
Theodore Groves	San Diego	X		X	X						
Jean-Francois Pittet	San Francisco	X		X	X						
TBD	Santa Barbara	N/A									
Jorge Hankamer	Santa Cruz	Abs		X	X						
Sally Mouakkad	UG Student Representative	X		Abs	X						
Patrick Robinson	Grad Student Representative	N/A		Abs	X						
Michael T. Brown	Senate Chair	X		X	Abs						
Mary Croughan	Senate Vice Chair	X		X	Abs						
Kenneth Feer	Committee Analyst	X		X	X						
Alternates											
Hans Schollhammer	Los Angeles	X									
David Mills	Davis										
Consultants, Guests:											
Steven Beckwith	Vice President, Research and Grad Studies				X						
Lawrence Coleman	Vice Provost, Research	X		X	n/a						
Ellen Auriti	Exec. Dir., Research Policy and Legislation	X		X	X						
Cathie Magowan	Dir. Sci. and Tech Programs (MRU)	Abs		X	Abs						
Dante Noto	Dir. Hum Arts Soc Sci Programs (MRU)	X		Abs	X						
Maria Bertero Barcelo	Exec. Dir., Systemwide Academic Senate	X		Abs	Abs						
John Birely	Vice President, Lab Management	Abs		Abs	X						
Wendy Streitz	Dir., Policy, Analysis, and Campus Services (OTT)			X							