UNIVERSITY OF CALIFORNIA ACADEMIC SENATE
UNIVERSITY COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION

Minutes of Teleconference Meeting – June 17, 2008

Attending: Ben Crow, chair (UCSC); Laurence Armi (UCSD); David Crohn (UCR); Donna Hunter (UCSC); Claudia Rapp (UCLA); Richard Schneider (UCSF); Lizhi Sun (UCI); Phillip Walker (UCSB); Elaine Tennant (member at-large, UCB); Catherine Candee (Director of Publishing and Strategic Initiatives, California Digital Library); Robert Heyer-Gray (LAUC); Gary Lawrence (Director, Systemwide Library Planning), Tom Leonard (UL Convenor, UCB); Michael LaBriola (Committee Analyst)

I.   Chair's Announcements – *Ben Crow*

Chair Crow reported that UCOLASC does not yet have a chair for 2008-09, but Professor Richard Schneider has been invited to serve as the committee vice chair.

II.   Consent Calendar

➢ UCOLASC minutes of April 18, 2008

ACTION: UCOLASC approved the consent calendar with minor changes to the minutes

III.   Campus Reports

Berkeley. University Librarian's Convenor Tom Leonard reported for Berkeley. The UCB Library is expecting substantial cuts due to the budget crisis, and the critical question for the UC library system as a whole in the short term is whether funding will be available for Tier One purchases – i.e., subscriptions and licenses that the system buys collectively. On the other hand, building projects at Berkeley are going well. The new East Asian library is open, and by fall 2008, the Bancroft Library will re-open after a seismic retrofit.

Irvine. The representative noted that UCI has no stand-alone Senate library committee. Instead, the Council on Research, Computing, and Libraries discusses library issues. The next-generation version of Melvyl has been introduced as a pilot project and is working well.

Los Angeles. UCLA is also successfully implementing the next-generation Melvyl pilot project. The next challenge is to introduce it to students and encourage more usage.

Riverside. The UCR Senate has proposed combining the Committee on Library and Scholarly Communication with the Committee on Academic Computing and Information Technology and the new UC Riverside chancellor begins work in July.

San Diego. At its June 3 meeting, the Library Committee reviewed systemwide data on library and scholarly communication infrastructure funding as it relates to faculty FTE, which UCOLASC discussed in April. The committee also saw a demonstration of the next generation Melvyl project and discussed new policies regarding library fines and extended library hours. Implementation of the NIH open access policy is proceeding well and faculty are being encouraged to comply. The committee would like the University to pressure other federal funding agencies, particularly the NSF, to implement open access as achieved at NIH.

<u>Santa Barbara</u>. The Library Committee sent UCOP's library expenditure data to the EVC, noting that UCSB spends less money per FTE compared to other UC campuses. An official response is still pending, but there is a question about how meaningful the statistics are in the context of other campuses' unique library cost factors. The representative asked UCOLASC to pursue historical expenditure data for the campuses. Gary Lawrence noted that historical standard statistical reports on UC libraries are available on the Systemwide Library Planning website. http://www.slp.ucop.edu/stats/

<u>Santa Cruz</u>. The Library Committee attempted to add scholarly communication to its charge, but the COC denied the request due to what it termed a lack of clarity about the meaning and scope of scholarly communication. The Committee is concerned that the library is regarded as an academic support unit on par with the chancellor's office. Although this status places the library in a good budget position compared to non-academic units, the committee thinks the library should be in a category linked to research and teaching.

<u>San Francisco</u>. Two library spaces were established at the new Mission Bay campus, but now plans are to remove one of those spaces for classrooms. The Committee is concerned about how this will affect access to library resources as the campus grows.

IV. Consultation with UCOP – Editorial Board for OSC Website – *Gary Lawrence, Director, Systemwide Library Planning*

ISSUE: Director Lawrence reported that the joint editorial board for the Reshaping Scholarly Communications Website met last week. As agreed in April, the board will oversee the development and maintenance of the site and will be jointly accountable to UCOLASC and the Scholarly Communications Officers (SCOs). Currently, the board consists of two SCOs – Margaret Philips (UCB) and Brad Eden (UCSB) – and two UCOLASC representatives – Claudia Rapp (UCLA) and Phillip Walker (UCSC), with staff support from OSC.

The board had a productive initial discussion that centered on the development of an editorial philosophy for the site. The board believes the site should focus on three general areas: 1) providing timely, authoritative news and information about current scholarly communication issues – e.g., the Harvard resolution and NIH policy; 2) Identifying services, with the help of UCOLASC, which directly address faculty interests and concerns – e.g., updating and redesigning the publisher and journal profile pages; and 3) Including content that promotes more understanding of the big issues – e.g., open access and copyright management. The two UCOLASC representatives will need to be replaced as they term off the committee in August.

DISCUSSION: It was noted that an updated website with more information and multiple points of view will help UC move forward with open access discussions. Chair Crow asked members interested in serving on the board to communicate to him or the new chair.

V. Consultation with UCOP - Proposed Open Access Town Hall Meetings – *Catherine Candee, Executive Director, Strategic Publishing & Broadcast Initiatives*

REPORT: The OSC and SLASIAC are organizing a series of Town Hall meetings for fall 2008 to discuss the future of open access, scholarly communication, and other initiatives around journal publishing. Director Candee suggested that the meetings focus on the idea of the University as Publisher. Last year's open access proposal failed in part because some faculty perceived it as placing the impetus on them. Instead, the focus should be on what the University

can, and should, do for faculty. It is particularly important to emphasize how UC's publishing services provide support for faculty research and teaching, and that open access is one strategy within a larger goal – a sustainable scholarly publishing system that can adequately support those activities. The meetings should explore how UC can take a more active role in strengthening and extending the scholarly communication system in support of research and teaching, by, for example, establishing an open access policy and extending UC Press and CDL's publishing services. She noted that the authors of the SLASIAC report, [Publishing Needs and Opportunities at UC](#), sensed that faculty generally want a broader role for the University. She said the goal for the Town Hall meetings is to encourage a broad discussion, not to introduce a specific proposal.

DISCUSSION: After the passage of the Harvard resolution and the NIH policy, there may be a more receptive environment for open access, but UC should articulate its goals so that faculty understand them as an attempt to help faculty meet their publishing objectives rather than an attempt to interfere into copyright and publishing freedoms. It was noted that the phrase "University as publisher" might concern some faculty or lead them to perceive UC as overstepping its role. Also, the University's role as publisher is more important to humanities and social sciences faculty than to faculty in the hard sciences, who have different needs and where a different approach may be more successful. One member said it is a great idea to broaden the conversation to include different scholarly communications issues and new publication avenues, but many other factors come into play in the sciences that make open access a different and more difficult problem. Many science faculty feel allegiance to specific journals and do not want to jeopardize a system that has helped them achieve success. On the other hand, open access may benefit science faculty more in terms of research dissemination. It was noted that open access benefits not only the public, but also individual researchers who want to disseminate their scholarship as well as academics with less access to publications.

Some members thought it would be important for the Town Hall meetings to attract prominent speakers and influential attendees. Others felt that content, more than big names, would help secure faculty interest and support. The purpose of the effort should also be more clearly defined, as some faculty will be looking for a specific proposal. Will the meetings be more informational or will they propose a specific action or present a revised open access policy that builds on the past effort? Alternatives to the Town Hall format were also noted, including a series of lunchtime seminars or having each campus to send a team of librarians to brief faculty at department meetings.

ACTION: UCOLASC will put the Town Hall meeting on its first agenda next year to discuss further planning and progress.

VI.   Open Access Goals and Strategies

ISSUE: At the April meeting, there was a suggestion for committee volunteers to draft a resolution articulating a basic set of principles about Open Access for Academic Council's ultimate discussion and endorsement. David Crohn and Richard Schneider developed a draft that makes three points: UC's obligation to taxpayers to make available the results of their scholarly activities to the public; the lack of economic sustainability within the current system, which negatively impacts UC libraries and limits their ability to purchase materials; and the positive impact of open access on the intellectual property rights of faculty and on the dissemination of their scholarly work. The draft also attempts a concise definition of "open access."

DISCUSSION: It was noted that most people do not fully understand the meaning of "open access," so it will be important to arrive at a clear, consensus definition. There was a suggestion to append the following statement to the draft preceding the definition: "The goal of open access is to broaden public access to the scholarly work of UC researchers. UC faculty are funded with public money, so the public, not just publishers and journal subscribers, should have the right to access the research they fund." It was noted that some readers will not have the background knowledge and context to allow them to appreciate such a short statement, which is also specific to UC as a public University, but the statement can at least be forwarded to next year's committee as an example of a concise statement.

ACTION: UCOLASC approved the draft as a working document to forward to the committee for next year.

Members thanked Chair Crow for his service as chair.

Minutes prepared by: Michael LaBriola
Attest: Ben Crow

Distributions:
1. Open Access Principles draft 1
2. Open Access Principles draft 2