UNIVERSITY OF CALIFORNIA ACADEMIC SENATE
UNIVERSITY COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION (UCOLASC)

Minutes of Meeting

Friday May 10, 2024

Maria DePrano (Chair), Mark Hanna (Vice Chair), Naoki Saito (Davis), Mike Fortun (Irvine), Kathrin Plath (Los Angeles), Christopher Ojeda (Merced), Curt Burgess (Riverside), Laurence Armi (San Diego), Jeffrey Gelfand (San Francisco), Sven Speiker (Santa Barbara), Jeff Erbig (Santa Cruz), Catherine Mitchell (CDL), Gunter Waibel (CDL), John Chodacki (Director, UC Curation Center, CDL), Chris Shaffer (CoUL Chair and University Librarian, UCSF), Danielle Kane (LAUC President), Danielle Watters Westbrook (CDL), Rice Majors (Project LEND PI, Associate University Librarian, UC Davis), , Rich Schneider (PTNT Member), Jessica Nusbaum (PTWG Communications, Director of Communications & Marketing, UCD Library), James Steintrager (Chair, Academic Council), Steven Cheung (Vice Chair, Academic Council), Adrian Turner (Senior Product Manager, Publishing, Archives, & Digitization, CDL), Miranda Bennett (Director of Shared Collections, CDL), Mat Willmott (Asst Director for OA Agreements, Shared Collections, CDL), Stefani Leto (Analyst)

I.   Consent Calendar, Chair's Announcements

Chair DePrano informed the committee that Council approved UCOLASC's February memo requesting co-sponsoring the Right to Deposit event. In addition, she presented and Council approved the memo endorsing the Project Transform negotiating team's to negotiating position with Taylor and Francis as well as negotiations regarding the right to fair use exception for text and data mining with artificial intelligence.

UCOLASC also sent a memo responding to the Proposed Regents Policy on Discretionary Statements. The memo was not unanimously approved by members, but the policy as presented will cover libraries, so it was important to respond to it.

Action: UCOLASC approved the May 10, 2024 agenda and the minutes of February 16, 2024.

II.   CDL Update

CDL Update

Günter Waibel, AVP & Executive Director, CDL, thanked the committee for memos supporting the Project Transform negotiating team and fair use rights for text and data mining (TDM) as well as artificial intelligence (AI) in licensed content contracts. He noted that in addition to support, the statements add to the authority of the negotiating teams as they can demonstrate through formal documentation that they channel the will of the faculty.

The Provost's AI congress in February featured experts on AI with varying viewpoints. AVP Waibel was there to inform the wider community that publishers are attempting to constrain fair use research rights by preventing the use of TDM and AI.

The Right to Deposit webinar, organized by the UC and the nonprofit Authors Alliance, attracted 350 attendees. The event showcased that while White House OSTP policy requires immediate repository deposit of research articles, agency implementation plans do not advance a uniform legal framework for how authors actually retain the right to deposit. As a result, federal grantees may receive conflicting messages: their federal grants ask them to deposit their article, while publishers may present authors with contracts that do not support this. The event argued that this level of confusion and legal uncertainty is unnecessary. A pre-existing federal regulation called the federal purpose license can uniformly allow all federal grantees to deposit their articles, regardless of any subsequent publisher intervention. Many major US library consortia agree, and have endorsed a statement advocating for the use of the federal purpose license in agency public access plans. For UC authors our open access policies ensure that they have a right to deposit their authors accepted manuscript in a eScholarship as well as a federal repository.

The UC's commitment to Diamond Open Access was confirmed in April in a statement by representatives from the Big Ten Academic Alliance Libraries (BTAA Libraries), California Digital Library (CDL), and Lyrasis. The impetus for this statement was the first Global Summit on Diamond Open Access in Toluca, Mexico in October 2023, attended by all three organizations. That summit elucidated the strong commitment to Diamond Open Access in other parts of the world and highlighted a real need for this pathway to open access to be better coordinated within the US.

> ➤ Discussion about deposit and humanities authors not funded by federal agencies – faculty in these fields still consider open access a live issue.

III. Office of Scholarly Communication Update

An open access publishing decision tree, intended to help faculty navigate the complicated open access publishing environment, has been completed by a UC Office of Scholarly Communication (OSC) working group. The resource, which has gone through multiple revisions based on extensive feedback, provides a graphical representation of "routes" faculty can take to ensure their research is openly available. A text-based companion document is being developed this summer to ensure the accessibility of this information for all UC authors. The working group intends to distribute these materials to faculty systemwide in the fall.

OSC's DEI in Scholarly Communication resource, endorsed by Academic Council and UCOLASC, provides information about the topic as well as suggesting actions that stakeholders can take to positively impact DEI in academic publishing. A new training module has been developed for editors hoping to move their publications toward greater inclusivity. This fully scripted slide deck provides helps editors familiarize themselves with these issues and offers suggestions for increasing equity within their publications. The webinar format provides such information, followed by an opportunity for editors to ask questions and share their own best practices. eScholarship is requiring one editorial board member from each of its 92 journals to attend the webinar. Faculty members affiliated with any other journals may use this resource to help engage fellow editors in a discussion increasing equity and representation within their publication.

> ➢ It was noted that the OA decision tree has empowered faculty to present as open access ambassadors to their departments and colleagues.

IV.   UC3 CDL Digital Curation

The CDL has had a data management tool, DMPTool, for several years, guiding authors through the planning and execution of data management plans which can vary. A CDL effort to make data more active, that is, shareable, has led to a machine actionable data management and sharing approach. The project will enhance compliance as data sharing is increasingly mandated. Pilot programs at the UC involve use cases so that institutions and researchers can interact with the data management. Integration of varying data information created from DMPs will be through DMP-ID, an identifier assigned to each DMP so that people or machines can identify the kind of information. The team is working with a variety of institutions on the pilot programs. Out of 200 interested institutions, the CDL has funded a group for whom the team is doing site visits or at least virtual site visits so they can do pilot use cases. Varying campuses have different focuses, from secure data to ways to interact with administrative officers and sponsored project offices. The documents and ideas can be updated, reflecting the living nature of research.

V.   Consultation with Senate Leadership

Chair Steintrager noted that the Regents will likely address their policy on discretionary statements at the next meeting and noted that UCOLASC's reminder that it would apply to libraries was valuable. He reaffirmed Council's support of the initiatives UCOLASC brought forward for endorsement.

Guidance for academic continuity in the face of likely strikes has been released by the Provost's office. The strike has been a fast-moving situation. Orders to allow fully-remote instruction as a result of strike disruptions were arrived at without robust Senate consultation. Questions about the best learning structure for the students on campuses moving to remote were not carefully addressed.

There is no appetite in the state for a new campus so any new fully online options would be connected to an existing campus. The online education task force has heard about impacts of fully-online degrees on libraries as infrastructure. It would welcome a statement from UCOLASC about potential impacts on UC libraries. It was also suggested that CoUL (the Council of University Librarians) might be the more appropriate bureaucratic body to send a letter addressing the impact of fully online instruction options on libraries and library budgets.

> ➢ A committee member asked if leadership had any updates on policies about cybersecurity and compliance activity. The policy letter is being analyzed by UCACC.

VI.   Project Transform Update

The Project Transform team has proceeded with negotiations with a number of publishers. Chair DePrano was useful in negotiating with Taylor and Francis. The team is now gearing up for upcoming negotiations. Springer Nature has been a good development partner for OA; it has helped with the agreement.

For the launch of a Systemwide OA Fund, the team pursued wide-ranging stakeholder consultation to inform their negotiations.

VII.   CoUL Update

Chris Shaffer, CoUL Chair and University Librarian, UCSF, noted that UCOP leadership met with CoUL. CoUL expressed appreciation for the inclusion of libraries in the Academic Council's formal response to the proposed Regents Policy on discretionary statements. The support of the Academic Senate is useful for many library priorities.

The libraries plan a new push to support faculty using OA to publish monographs. The project will include funding to make up gaps as well as increased messaging around these opportunities.

VIII.   Project LEND (UC Libraries-led project)

Rice Majors, Project LEND PI and UC Davis Associate Librarian, reported on the second year of the Library Expansion of Networked Delivery) LEND project. The project took lessons from the emergency expanded access to digitized books during the pandemic to envision a continuing effort to expand access to digital books.

The project looks at four areas: user requirements, legal frameworks, technology requirements, and collection scope for UC holdings. Many users at the University find digital access to materials helps them in their research and work. Funding from the Mellon Foundation has allowed continuing research on the impact and future scope of Project Lend. Bringing together experts in law, technology, and user experience, the three areas of research should lead to continued collaboration with institutions outside of the UC and eventually to a national service. A white paper, an internal report for the UC community, a webinar for the library community and finally a report to the Mellon Foundation will be completed this year.

IX.   Publishing, Archives, and Digitization Program, CDL

Adrian Turner briefed UCOLASC members on the Building a National Finding Aid Network (NAFAN - https://ucopedu.atlassian.net/wiki/spaces/NAFAN/) R&D project. The aim of the project is to create inclusive, comprehensive, and persistent access to finding aids for researchers working with archival materials; many archives' finding aids are still not openly discoverable on the web. State/regional finding aid networks have made inroads towards aggregating finding aids, but these networks are siloed and many are at-risk. The California Digital Library (CDL) coordinated the project, in collaboration with Chain Bridge Group, OCLC, Shift Collective, and the University of Virginia Library (UVA), and in close partnership with statewide/regional finding aid aggregators and LYRASIS (ArchivesSpace). The project spanned from 2020-2023, with IMLS funding.

Project members worked on researching user and archives needs in relation to finding aid aggregation, assessed and prototyped potential technical systems, and engaged with users, aggregators, institutions, and other stakeholders to support the work. They also identified strategies for sustaining the network and conducted market research testing.

Five reports comprise the research findings, including: results of a pop-up survey on aggregator websites, interviews with users, results from archivist focus groups, and Encoded Archival Description (EAD) analysis. A dashboard with a summary of the EAD data, and

quantitative data analysis projects are also outgrowths of the project is currently available. One special area of interest is community-based archives, which support local communities with collecting, preserving, and making accessible their records outside of what are considered mainstream archival holdings. The project aims to create low-barrier submission pathways for any archive -- particularly small and under-resourced archives -- to share their finding aids within a national-level aggregation.

The pop-up survey revealed a wide range of user professions, from independent researcher through K-12 educators, artists, students, genealogists, archival librarians, academic researchers and retired people. The broader research findings -- as well as findings from a community-based archive workshop -- underscored the value that finding aid aggregation can offer to researchers and archives, while also highlighting the need for a more inclusive and supportive network for contributing archives. The project envisions a membership model predicated on the ability to pay, assuming that there will be member institutions that have stable funding for the project from (which a reserve of funds can be redirected back to under-resourced institutions). Market research has revealed a high level of enthusiasm for the project, with archives that have regional or state aggregation already pleased to have a national approach.

A final report is forthcoming this summer, summarizing recommendations for the network, and proposed next phases. Over the next five years, the project envisions a "ramp-up" phase, during which they will identify a home for the service and establish a meaningful coalition of stakeholders. A grant supported marketing campaign will follow, during which membership fee tiers will be established and the network design will be refined. Finally, with additional grant support, the network will be launched, and charter memberships will increase institutions' commitments to the national network. Once launched, the network would be supported through the community membership model, with an associated community-led governance model.

> ➢ UCOLASC members expressed great enthusiasm for the project.

X.   COLASC Open Access Ambassador Work

In previous meetings, UCOLASC members asked about OA effects on citation and readership. Jessica Nusbaum, Director of Communications & Marketing, UCD Library. provided an overview of research supporting the many benefits to publishing open access. A 2021 review metanalysis of 20 years of OA research found that there was a citation advantage overall despite some variation by field. Key takeaways were that OA papers attract more citations as well as reach a broader audience, both within and without academia, and worldwide. Open access books follow similar trends, with twice the number of downloads and citations than non-OA books. Citation diversity, referring to a wide range of scholars, institutions, disciplines, and geographic areas, is higher for OA publications, including books.

However, there is an ongoing danger in not having more representation of humanities OA research as rebuttal of the perceptual framework for STEM overrepresentation.

XI.   UC Systemwide Review

Chair DePrano presented the background on the Academic Senate Statement on UC Quality and directed members to a draft response. Committee members reviewed the statement and will offer edits to the draft for Chair DePrano to finalize and send to Academic Council.

X.   Campus Updates

Davis - Will Garrity, deputy university librarian and the library's chief operating officer, who has served as interim university librarian, has been selected as the Davis University Librarian and Vice Provost of Digital Scholarship. Michael Ladisch, Scholarly Communication Officer and an expert on Open Access Publishing, continues to visit various departments and schools to present the current OAP status and encourage faculty members to do OAP.

Irvine – The encampment set up by protesters against the war in Gaza remains up on campus. The divisional Senate is discussing separating the joint library/CS committee.

Los Angeles – The new University librarian, late of special collections, has been having a series of meeting with stakeholders across campus to evaluate how well the library system is working.

Merced - The UC Merced Library recently won a NEH grant that would allow it to build the Sierra Nevada-Central Valley Archives. However, due to the rising cost of construction, the Library will require additional funds to complete this project. It is currently looking into potential sources of funding as well as investigating how it can complete the project in stages given the current funding.

Riverside – A leak in the roof of the Orbach Library destroyed hundreds of physical books. Those destroyed had digital backups, but damage to the building itself was extensive. After administrators were toured through the damaged areas, they approved funding for the replacement roof.

San Diego – The campus library committee continues to have concerns with open access issues. The University Librarian has liased with the radiology dept at the School of Medicine to inform them about current OA publishing opportunities. The meeting was productive.

San Francisco – Faculty have been welcomed into the writing center for tours of the library as part of the in person Senate meeting schedule.

Santa Cruz – The COLASC solicited and received feedback from the Disabilities and Chronic Illness Faculty Networking Group to assess ways that UCSC Libraries can go beyond ADA compliance and ensure equitable access. UCSC's University Librarian is scheduled to report back on the implementation of some of the networking group's recommendations in an upcoming meeting this spring quarter. Likewise, we met with Rich Schneider and Catherine Mitchell to provide feedback on the pathways to open access guide reviewed by UCOLASC during the 5/10 meeting.

Attest, Maria DePrano, Chair
Minutes Prepared by Stefani Leto, Committee Analyst
Meeting adjourned at 3:23