UNIVERSITY OF CALIFORNIA ACADEMIC SENATE
UNIVERSITY COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION (UCOLASC)

Wednesday, May 31, 2023
Meeting Minutes

Present: John Hildebrand (Chair), Maria DePrano (Vice Chair), Christine Rosen (Berkeley), Cecilia Guilivi (Davis), Robert Steele (Irvine), Susan Laxton (Riverside), Mark Hanna (San Diego), Soo-Jeong Lee (San Francisco), Abe Stone (Santa Cruz), Hannah Dolan (Undergraduate Student representative),Günter Waibel (Associate Vice Provost & Executive Director, CDL), Danielle Watters Westbrook (CDL) Catherine Mitchell (CDL), Miranda Bennett (CDL), Kristen Antelman (Chair, CoUL), Mary-Michelle Moore (President, LAUC), Susan Cochran (Chair, Academic Council), Lorelei Tanji (CoUL, Irvine), Matthew Willmott (CDL), Agnes Balla (RPAC), Maryann Martone (San Diego), Rich Schneider (San Francisco), Lin Li (Irvine), Stefani Leto (Analyst).

I.   **Consent Calendar**

UCOLASC voted to approve the minutes of February 15, 2023 and the May 31, 2023 agenda.

II.   **Chair's Announcements**

Chair Hildebrand thanked the committee for their support of the letter expressing concern regarding efforts by most publishers to reclaim author's copyrights to works and authorizing the Project Transform Negotiating Team (PTNT) and the Project Transform Working Group (PTWG) to "prioritize the issue of author rights and act on [UCOLASC's] behalf when you negotiate with publishers."

He noted growing UC interest in AI's effects and influences on education in the future, both systemwide and at the divisional level. He encouraged members to discuss the issue at their local levels and bring those discussions to UCOLASC in the future. Provost Newman plans a conference on the topic; UCOLASC should help lead scholarly communication thought on it as they did with open access publishing.

III.   **CDL Update**

AVP Waibel announced that in May the Regents approved a budget that includes CDL funding via a set-aside. This funding is for systemwide mission-critical needs. The CDL is hoping to fund RLFs through similar mechanism. The budget provides needed stability for the CDL.

He noted that the CDL has consulted OA and Open Science given the increased attention and increasingly complex research environment in national and international arenas. The Japanese delegation at the G7 summit wanted to know how the UC is pursuing open access

and open science. A statement in support of open science and public access to research was issued at the summit.

AVP Waibel also gave a talk about the DMPTool, a free service of the CDL helping researchers and institutions create high-quality data management plans that meet funder requirements, to a committee of government agencies working on implementing white house directions for OA. The tool is used by 350 institutions nationally, including all R1 campuses in the US.

Next, the CDL will present at the Berlin OA conference. This is an opportunity to talk about progress and have access to an international community of those negotiating with publishers. Topics for the conference include rights retention for authors, including the letter from UCOLASC, a poster presented by Rich Schneider, and at least one other panel populated with UC representatives.

IV.   RLF Operations Funding Project

Lorelei Tanji told UCOLASC that the first phase of the Regional Library Facilities Operations Funding Project is done. Across two phases of work. the project will address RLF operational funding model challenges; the current model was established in the 1990's and does not reflect expanding costs and increased service needs. UCLA and UC Berkeley received permanent funds to manage the RLFs thirty years ago, but as the operational expenses have grown over time, the campus hosts are now further supplementing the budget. The RLFs are a trusted, cost-effective systemwide approach to provide access to physical collections at 75 percent less cost and 65 percent less space than a campus library. It provides archival services for print collections for the entire university system.

Phase Two of the project will consider all of the cost savings and efficiencies made possible by the RLFs and will attempt to build an equitable funding model that maintains the mission-aligned services of the RLFs.

Questions and discussion:
To ensure the preservation of JSTOR print journals, JSTOR and UC came to an agreement where the RLFs were paid to create a single complete physical journal run of UC-licensed JSTOR titles for archival purposes. The project went through preservation audits and functions as a dim archive for the rare instance when physical copies need to be accessed. One member asked how other universities are funding their shared collections. Universities have explored the use of partnerships to create "distributed storage," so fewer institutions must hold each copy. The RLFs have been leaders in various national partnership strategies that leverages the experience of the RLFs and reaches beyond the UC to expand access within and without the UC community.

The current operations funding agreement needs revisiting and funding models will be explored in Phase Two. While there are various funding models that Phase 2 will consider,

the RLFs and project team hopes that the systemwide value of these storage and preservation services will be recognized and funded through an off the top set aside.

V. COUL Update

UC libraries have reached a higher level of engagement with President Drake, Provost Newman, and Vice Provost Hayes. The administration recognizes and encourages more systemwide work. The libraries are challenged to be involved with key UC issues, like AI, affordable course materials. Administration has encouraged the library to take advantage of the new Sacramento center building for engaging with legislature and staff to promote OA, show its benefit to the state, affordable education, and speeding student progress toward degree.

The PTWG is at a strategic junction as advisors to CoUL as it works to advance OA within the UC. Negotiators have achieved deals with all major publishers and are thinking strategically about the current quick moving landscape. PTWG is considering how to deal with the "long tail" of smaller publishers, disciplinary balance, and how to support OA monographs.

CoUL supports tools and platforms to support open science, some already in place at the CDL, while others are emergent.

➤ The CDL has had informal discussions about using the Sacramento center to highlight the achievements of the library and PTWG.
➤ Discussion of data storage revealed that the UC libraries are not able to solely support data storage needs, as it is an unfunded mandate.
➤ UCOLASC would like to put open education/task force on future agendas.

VI. Office of Scholarly Communications (OSC) updates; DEI Resource Endorsement

OSC has created a user-friendly "cheat sheet" for open-access publishing, building on one first prepared by the UCLA COLASC. This cheat sheet or decision tree is intended to help authors who have not yet published an article make choices to ensure that their article will be made available open access, via the journal or via deposit in an open access repository. The resource is intended to provide context to help faculty authors navigate the different pathways to open access available to them as members of the UC community, including transformative agreements, open access policies, no-cost open access journals, and campus OA funds. Committee members gave feedback on enhancements to the draft resource, and agreed that as the publishing landscape evolves, updated versions will need to emerge. The draft version will be circulated for additional comments so that a final version can be established and circulated over the summer.

"Diversity, Equity, and Inclusion in Scholarly Communication" resource endorsement

At their November meeting, UCOLASC discussed a DEI resource in development by the OSC. The resource has now been completed and launched on the OSC website. The committee was asked to endorse the resource and did so unanimously.

Action: UCOLASC will write a letter to Council conveying their endorsement of the resource and requesting that the resource be shared with UCAP, UCAADE, and the Vice Provost for Academic Personnel and Programs.

VII. Consultation with Senate Leadership

Chair Cochran shared that the Regents Academic and Student Affairs Committee provisionally approved increases in Professional Degree Supplemental Tuition (PDST), but they expressed displeasure with the lack of student diversity in many of the programs. The Education Abroad program has had a robust post-pandemic recovery in student numbers. The program is considering offering a freshman year abroad. The UC in 2050 workgroup anticipates fundamental change at the UC where the university will reach students through such means as satellite campuses and research parks, as well as remote classes. The proposal does not address workforce needs or how faculty would interact at satellite locations. Pressure to offer fully remote degrees continues unabated.

Developments from the labor agreement with graduate students continue. Graduate admission is expected to contract next year but exact percentages are not known.

Chair Cochran noted that the Provost would like students to engage with the university through multiple modalities emerging organically at the departmental level. Deans would negotiate between departments. No special funding is anticipated. The responsibility for modality is a right delegated to the Senate but the Regents have raised issues of delegated authority so the Senate's delegated rights may be under review.

➤ UCOLASC asked about motivations for increasing interest in online education. There are hopes that it will increase access and funds to the UC. The Senate has focused on any likelihood that quality will decrease and will continue to consult.
➤ It was noted that UC online has not functioned as hoped. In addition the online community college CalBright has conferred 200 degrees but spent $1B.
➤ UCOLASC discussed the OA publishers overreach of copyright with Chair Cochran. She suggested asking Council to discuss and endorse the letter written in support of Project Transform negotiators. The letter should go to Council and provide a recommended pathway for authors to avoid losing rights. In addition, UCOLASC highlighted the DEI resource and asked Chair Cochran for suggestions to publicize it. She noted that more than one committee would be interested in the resource. Chair Hildebrand noted that UCOLASC would like to be part of any AI congress assembled by the Provost.

VIII. eScholarship presentations to campus COLASCS

The entire editorial board of the Elseview journals NeuroImage and NeuroImage:Reports journal resigned citing excessively high processing charges (APC fees) and plan to start a new journal published by MIT press. The new journal hopes to have APC charges that are half of those Elsevier charged. A number of editorial boards are reconsidering their relationships to fees, both for traditionally published and open access journals.

Editorial boards are seeking sustainable models for OA publishing, since diamond access publishing is not free. The board of *Combinatorial Theory*, a new OA journal, has reached out to open access community investment program managed by Lyrasis, matching a consortium of potential funding sources. They also hope to foreground otherwise invisible labor of faculty in publishing and editing journals. The journal is doing well. Other open access journals have been launched, and editorial boards continue to resign en masse from varying publications.

Escholarship wants to engage the Senate when talking about these publishing options and would welcome thoughts about launching a conversation about this in the fall.
  ➢ Discussion included how eScholarship at the CDL supports new journals. They can provide much of what other academic presses can provide.
  ➢ Identifying targetable journals is very difficult and resource-intensive; it would be better to make the program more visible so that interested parties will come to the CDL. One member suggested targeting the highest APC fee journals.
  ➢ The CDL would like to visit campus COLASCs and wishes to know if UCOLASC supports this idea. Committee leadership supports this idea, and suggests divisional Council meetings as well for presentations.

IX.   Project Transform

The PTNT has established or continued relationships with open access journals. In addition, the UC is now supporting and participating in some newer open access business models, such as the MIT Direct to Open and the Central European Press & Liverpool University Press whereby a library or other institution provides financial support in return for some benefit and the funding goes to support OA publishing. The UC system has acquired new content such as Ebook frontlists from Project MUSE, Oxford and UC Press, as well as the Kanopy video streaming service.

Negotiations continue with Wiley and Oxford publishers, including the Statewide California Electronic Library Consortium (SCELC) as a negotiation partner.

The letter from UCOLASC regarding publisher copyright overreach has already proved valuable in negotiations. The PTNT has already used it in negotiations and plans to continue to do so. The letter clarifies how faculty principles support this effort, lending credence and heft to PTNT's work, and providing a framework to develop shared approaches among other institutions.

A current negotiation with Taylor and Francis is a one year, ten campus traditional agreement. PTNT would like to create a transformative agreement, but the publisher has a

large and diverse portfolio. The working group is providing data analysis of the portfolio. A large amount of use is focused on smaller numbers of journals, as well as UC authors publishing primarily in a smaller subset of the journals. This negotiation represents a chance to support the humanities and social sciences.

➢ A member asked what the publisher's pushback could be. If publishers want to retain rights to commercialize works, then republication could be difficult for authors. Publishers would lose some control over work that they had previously claimed.

X.   Project LEND Update

Project LEND is a research project focused on expanding lawful use of licensed digitized content. It is supported by a $1.1M grant from the Mellon Group.

The research team has performed interviews to discover patterns of use and future hopes for ebooks and plans to work with focus groups. Current and future use of digital books will be the focus of the research. Project Lend will update UCOLASC on the process and asks for input from the committee.

➢ UCOLASC urged the research group to leverage campus committees rather than using mass emails.

XI.   Campus Updates

UC Berkeley: Members of the community are still angry about the closure of the anthropology library. There is currently no plan for a "hub" library.

UC Davis: The head Librarian/Vice Provost will be retiring. The library is physically moving books and plans a book giveaway of some to create more space.

UC San Diego: Conversations have been taking place on campus about Project LEND and OA agreements. The campus five-year plan is concluding with the implementation of physical changes to the library as well as changes in hiring and DEI policies.

UC Merced: OA ambassadors have presented to eight groups, including a graduate student group. The hope is for a three-year cycle to get to all departments. The discretionary funds part of the collections budget is very small.

UC Riverside: The campus' $350k share of the RLF that has been paid for by UCLA and UC Berkeley is half of the library's discretionary funds. Retaining staff without allowing remote work has been difficult.

UC San Francisco: The campus library is in its second year of a planning cycle. Ongoing initiatives include innovative technologies and librarians as educators. Open access efforts

at UCSF has been very active. About 50 percent published open access. The campus committee hopes to create a writing center and has obtained Chancellor's Fund and will hire a specialist to conduct a feasibility study and develop a business plan for ongoing funding.

UC Santa Cruz: Many new positions have been hired for the library. The library committee will have a tour of the new digital scholars commons at the science library.

VII. **Higher Education Leadership Initiative for Open Scholarship (HELIOS) request for feedback**

HELIOS is a project of the National Academy of Sciences that has cohort of colleges and universities working to advance open scholarship on their own campuses and across higher education. Maryanne Martone represents UCOP on behalf of the Academic Senate. AVP Waibel is a library representative, and other campuses also have sent representatives.

Representatives to the project are preparing a survey to the UC community to reveal a high-level overview of services supporting OA scholarship. UCOLASC discussed ways to create an effective survey to capture the multitude of experiences across the university. The committee will respond to an updated version of the survey via email.

The committee adjourned at 3:43 pm
Minutes prepared by Stefani Leto, Committee Analyst
Attest: John Hildebrand, Committee Chair