

## UNIVERSITY COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION

**Minutes of Meeting**

Thursday, November 14, 2019

**I. Consent Calendar**

**Action Taken:** The agenda was approved as noticed.

**II. Welcome, Logistics, and Introductions**

*Chair Dennis Ventry*

The Chair asked committee members to introduce themselves.

**III. Chair and Vice Chair Reports**

*Chair Dennis Ventry*

*Vice Chair Karen Ottemann*

The Chair thanked the committee members for being flexible and rearranging their schedules to accommodate the meeting. He explained that he had been on UCOLASC for approximately five years. He also mentioned that he came from the Humanities, and has been on the UC negotiating team – there are three faculty on the team, which is composed of five people. The negotiating team has the buy-in from all of the division senates, the systemwide Senate, and the ULs and the CDL. UCLASC is in the center of transformative agreements with publishers and it had a great Open Access Tipping Point workshop that brought together 16 institutions and international participants. Furthermore, Janet Napolitano mentioned OA as one of things of which she was most proud in her “farewell” newsletter to the UC community. The Regents have also listened to presentations about OA and are supportive.

Chair Ventry called members’ attention to the Office of Scholarly Communication (OSC) website. He noted that it is an impressive website which has been keeping pace with the developments surrounding OA and transformative agreements. OSC is looking for some feedback on its site. He encouraged members to take some time in the near future to look at their site and give OSC feedback.

**IV. UC Publishing and Data Infrastructure****A. CDL and UC Press Publishing Inventory**

*Erich van Rijn, UC Press*

Director van Rijn stated that UC Press has been collaborating with the CDL to do an inventory of all the publishing activity within the UC system. In May, SLASAC commissioned a study of all of the publishing activity going on the campuses. The goal is to get a better understanding of all of the publishing that is going on systemwide. He said there is a broad collection of publishing that is going on the campuses that is not managed by CDL or UC Press. The motivation is to understand the totality of what has been happening so that some recommendations can be made by May 2020. In 2007, SLASAC commissioned a landscape survey that recommended areas where the University could support publishing.

There is a lot of information to be gathered. The University is going to hire temporary staff to update the 2007 SLASIAC inventory and then coordinate with the librarians on the campuses to understand what new publishing projects have developed in the 12 years since that report was published. There is not an automated way to do this; this is a very people-intensive project. It is hard to put a finger on how many people are working on journals at any given moment. Data gathering is going to be very difficult; there is no common research management system. The team hopes to have some preliminary results by the end of January/early February, and have a fuller discussion about this around May.

The Committee asked Mr. van Rijn some questions and there was discussion.

## **B. Dryad Relaunch, Functionality, Uptake**

*John Chodacki, CDL*

CDL has multiple programs and Mr. Chodacki works on UC3: The UC Curation Center. The role of UC3 is to help researchers and the UC Libraries to manage, preserve, and provide access to digital asserts. In 2018, the UC3 team announced a partnership with Dryad, an existing cross-disciplinary data repository. Following community engagement and development, CDL and Dryad relaunched the Dryad data repository in September 2019. It is available for free to anyone at UC. Dryad is well integrated into publishing workflows; they have integrations with hundreds of journals.

Mr. Chodacki then showed some screen shots of the system with discussion of how to use it. There is the ability to make dataset private for peer review. It is a researcher-led initiative. Since the relaunch, Dryad has received an increase in submissions. Month over month it is publishing 20 percent more datasets than it was before.

## **V. Consultation with CoUL**

*Elizabeth Cowell, UCSC University Librarian and CoUL Chair*

### **A. Systemwide ILS Project**

This is a project that has been a long time coming. It is an integrated library system it manages all the physical and digital collections of the library. Faculty will engage with the discovery interface of it when they are looking for content. Until now, all of the UC libraries have had 10 independent ILSs, and that no longer makes sense – it would be better to have a single system for all 10 campuses. CoUL is moving forward with the project. It has been a sizable project but not very visible because the team is putting all the things in place behind the scenes to be able to launch. OP has approved a multi-year funding package of support. It will be implemented and launched to all of the campuses in August 2021.

CoUL Chair Cowell remarked that the new system will dramatically improve access to information. It will be acting as one big collection as it should. But it is a big change. Even though it will not happen until August 2021, CoUL is looking for UCOLASC's help in communicating about these changes well in advance.

The libraries have contributed over 60 staff to the project right now, and that will grow to 110 as UC moves into systems implementation. It is enormously exciting and is very forward-looking. CSU has already done it and UC has the opportunity to learn from their experience.

### C. SLASIAC Study Group

Provost Brown has charged SLASIAC to establish a study group to write a report on current and future UC Libraries collaborative efforts and opportunities for future shared work. The study group includes academic senate, library, finance, research and student representatives. Both CoUL Chair Cowell and Executive Director Waibel are on the group. The study group's report is due this spring to the President and Chancellors.

## VI. Reinvesting Publisher Funding

UC has not yet been able to strike a sustainable, transformative open access agreement with Elsevier. The 2018/2019 funds allocated for the Elsevier agreement were carried forward by the campus libraries and California Digital Library (CDL). The goal is to negotiate an agreement with Elsevier and reinvest any resulting savings into other open access activities. Some libraries are now at-risk of their 2018/2019 carry-forward and/or their 2019/2020 Elsevier allocation being swept back if unspent by the end of this fiscal year.

The committee voted unanimously that it would like to address a letter to the Council saying that it would like to make sure that the library funds are safeguarded for access backfill (if needed) and for Open Access. The Chair agreed to draft a letter and said he would circulate it for committee review and then would submit it to the Academic Council.

## VII. MIT Principles

*Rich Schneider (UCSF), Former Chair of UCOLASC*

*Günter Waibel, Associate Vice Provost and Executive Director*

MIT has issued a statement of principles of how they want to negotiate with publishers similar to the one that UCOLASC created last year that was unanimously endorsed by Council. MIT is asking that UC endorse the statement officially. The committee agreed that it is important to show solidarity; the committee voted in favor of the idea that CoUL make an endorsement of the MIT principles and post it on the OSC website.

## VIII. Project Transform Working Group (PTWG) Update

*Ivy Anderson, Associate Executive Director and Collection Development and Management Director, CDL*

*Kristin Antelman UCSB University Librarian*

*Sarah Houghton, Discovery and Delivery Director, CDL*

*Günter Waibel, Associate Vice Provost and Executive Director, CDL*

### A. Elsevier Updates

Associate Executive Director Anderson noted that UC and Elsevier have not returned to the negotiation table, though the UC negotiation team remains hard at work to determine a productive and sustainable path forward.

Part of the Project Transform Working Group's (PTWG) charge is to ensure UC faculty, students, staff, researchers and clinicians are aware of the various alternative access services available to them (in lieu of accessing resources through journal subscriptions). University Librarian Kristin Antelman gave the committee a brief alternative access update, as well as discussed the poll to assess alternative access services and impact on UC community members. Chair Ventry and Antelman will be sharing the draft poll with other academic senate committees, for their feedback and to raise awareness. The poll will be circulated in the new year. Committee members agreed that UCOLASC/COLASC and CoUL should collaborate on distributing the poll.

## B. Other Publisher Discussions and Developments

Associate Executive Director Anderson noted that while the focus of Project Transform was initially the negotiation with Elsevier for a transformative open access agreement, PTWG is now negotiating and collaborating with a much broader range of publishers, including university presses, societies, native-OA publishers and commercial scholarly publishers, while also still working towards an agreement with Elsevier.

UC has successfully secured a transformative OA agreement with Cambridge University Press, and soon – likely early in the New Year – the libraries will announce that UC has signed transformative agreements with additional publishers. Furthermore, UC continues to have productive negotiations with other, large publishers.

## C. PTWG Guidelines

PTWG and CoUL request UCOLASC’s input on guidelines for evaluating transformative open access agreements. Potential transformative agreements will likely vary, and these guidelines are an attempt to provide a reference point for why decisions are made. UCOLASC member feedback is requested by the end of the month.

## D. OA Tipping Point (OATIP) Workshop

The OATIP Workshop was co-sponsored by the UC Academic Senate and the UC Libraries. In addition to 16 universities and consortia, four European guests attended to discuss their prior, current and planned transformative work. Participating institutions brought two attendees each: a faculty member and a university librarian or library leader. The workshop went incredibly well. [Attendees co-authored and released a signed public affirmation](#), stating: “While our approaches and strategies may take different forms, we affirm the importance of using journal license negotiations to promote open access to our scholarship and to support sustainable business models, including the elimination of dual payments to publishers. We will advocate broadly, and work with our stakeholders both locally and in existing consortia, to advance these common goals.”

## IX. Campus Reports

Campus reports are going to be emailed

The committee adjourned at 3:33.

Minutes prepared by Fredye Harms, Committee Analyst

Attest: Dennis Ventry, Committee Chair