UNIVERSITY OF CALIFORNIA   ACADEMIC SENATE

UNIVERSITY COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION

Minutes of Meeting
Wednesday, May 20, 2020

I.   **Consent Calendar**
         The agenda was approved; the minutes were not available yet.

II.   **Chair Reports & Campus Reports**
        Chair Dennis Ventry

        The Chair moved directly into campus reports.

        UCB - The campus redrafted its committee description for the Berkeley division of the Academic Senate. The committee scheduled meetings for the summer in the event items emerge.

        UCD had a busy spring. The committee received letters from several humanities departments expressing concern over the library's physical collection and the reorganization of library staff. The member set up meetings with the departments and the University Librarian to ease concerns. There also remains some confusion as to accessing the physical collection during summer.

        UCI – (Alternate member) The alternate member is on the Board of Directors of the Free Journals Network, which provides free publishing and reading according to fair open access principles. The organization is considering ways to further increase access and to disseminate best practices.

        UCLA has been closed since March 19. Materials are not being checked out and special collections are on hold. The library has formulated plans for reopening. Students have been able to borrow laptops and iPads with hotspots. The library is preparing for the expected budget cuts, while also dealing with seismic retrofitting. The library is trying to launch a pilot program whereby students would help faculty upload their research to eScholarship.

        UC-Merced has had a busy semester. The local COLASC sent a memo to the White House's Office of Science and Technology Policy (OSTP) supporting its proposed zero-embargo policy. The member tried to get campus-wide support for the effort, which ultimately was not forthcoming. The member also reported that the library has been pressured to expand alongside the campus, but has not been provided sufficient funds to do so. The COLASC has been encouraging campus administration to account for library needs and resources for all future program proposals.

        UCR – The campus representative was not present.

        UCSD – The campus representative was not present.

        UCSF – Like other libraries, UCSF's shut down in mid-March. The Maker's Lab has remained open and is making face shields for medical staff. Most of the library staff work from home, while some serve as screeners for the hospital. Because it is a health sciences campus, UCSF is mostly reliant on journals rather than books. The University Librarian is planning to ship books rather than having curbside pickup. The COLASC sent a letter of support regarding the OSTP

zero-embargo policy, while numerous individual faculty wrote supportive letters of their own. The member reported that the committee will explore the interconnection between some specimen repositories that the University is developing.

UCSB – The campus representative was not present.

UCSC – Things have been relatively quiet. Like other campuses, faculty and students have had a hard time accessing library resources due to COVID-19. The member reported that the local committee on research is studying how to prepare for future emergencies (earthquakes, fires), while the library has developed its own guidelines. Finally, the member reported deep concern over expected budget cuts and their impact on the library.

III. Consultation with CDL
Executive Director Günter Waibel

A. CDL Budget Update
The OP budget for 20/21 (and by extension, CDL's) is unlikely to be finalized until the summer or early fall, once the state budget for UC is set. CDL, like other OP units, has reduced its budget already to meet required cuts that were identified in advance of the pandemic and ensuing fiscal crisis. OP has also recognized the importance of CDL to the campuses and to fulfilling the University's mission by, among other ways, funding the Open Access Policy and a project manager for the systemwide ILS project. In FY 2021-2022, the CDL licensed content budget will relocate to UCSD, though fiscal oversight will remain with CDL. The campuses and OP plan to draft an MOU to govern this transition.

B. White House OSTP Consultations
Several UC groups, departments and individuals have responded to the White House Office of Science and Technology Policy (OSTP) Request for Information on Public Access to Peer-Reviewed Scholarly Publications, Data and Code Resulting From Federally Funded Research, including campus libraries, CDL, UCOLASC, some local COLASCs, and UCOP. All public responses can be found here: https://www.whitehouse.gov/wp-content/uploads/2017/11/Open-Access-RFI-Comments-Reduced-5.pdf.

President Napolitano submitted a letter of support to accompany the statement written by UCOLASC and co-signed by Academic Council, which communicated the UC Academic Senate's support for a zero-embargo policy for author-accepted manuscripts.

C. Licensing Update
The committee received a confidential update on the state of the budget available for shared content licensing. Given the fiscal crisis brought about by the global COVID-19 pandemic, CDL is leading a systemwide contracts renegotiation effort, in partnership with campus collection officers, to request contract reductions given the unprecedented nature of the crisis. Despite significant budget challenges, UC is still laser focused on pursuing its open access goals.

IV. Consultation with CoUL
UCSC University Librarian and CoUL Chair Elizabeth Cowell

Ms. Cowell announced this would be her last UCOLASC meeting as chair of CoUL, and she thanked the committee for its hard work and collegiality. The 20/21 CoUL Chair will be University Librarian Haipeng Li of UC Merced. Ms. Cowell spoke about campus responses to

emergency planning, indicating that each campus library is responding differently during COVID-19 due to county restrictions.

A.   Systemwide Integrated Library System (SILS) Update

The SILS project is progressing smoothly, with systemwide implementation expected to occur at the end of July 2021, well in advance of the start of the 21-22 academic year.

V.   HathiTrust Emergency Temporary Access Service

Director Catherine Mitchell

When the UC Libraries closed their buildings in response to COVID-19 in March, bolstering digital access to collections became a high priority. HathiTrust Digital Library, of which the UC Libraries are founding partners, has launched the Emergency Temporary Access Service (ETAS) to provide eligible members, including the ten UC campuses, with lawful access to in-copyright digital items that correspond with physical volumes that are unavailable due to building closures. Through ETAS, UC faculty, students, researchers and staff have access to over 13 million digitized volumes, representing almost 40% of the UC physical collection, the bulk of which would otherwise be inaccessible during the pandemic.

VI.   National Emergency Library

Chair Dennis Ventry

The Internet Archives is a non-profit library founded in 1996, comprised of 1.5M books as well as movies, music, and other media. As of April 1, the Internet Archive loosened its lending policies for the short-term, and created the National Emergency Library (NEL). In so doing, it eliminated its waitlist to allow more than one user to access the same material at a time. Some portions of the library community have supported the NEL. Some author groups strongly oppose the NEL due to interpretation of fair use. Traditionally courts use four factors to identify fair use: (1) purpose and character of the use; (2) nature of the work used; (3) amounts and substantiality of the use; and (4) market effect. Whether or not the temporary change that Internet Archive put in place is a violation of fair use is debatable.

VII.   New Publishing Models Supported by CDL

Director Catherine Mitchell

CDL is developing exciting new publishing models within the eScholarship publishing program, the open access publishing platform for researchers at all UC campuses. Currently, the platform holds over 220K items, all of which are open access and which have enjoyed over 70M views. CDL publishes 85 UC-affiliated journals in eScholarship. CDL's new projects include: (i) efforts by researchers at the UC Davis Medical Center to create a field guide for the proper use of ultrasound equipment with videos being converted to animated gifs organized by anatomical category and published in real time. CDL has advanced this break-through project with the help of the Manifold team, a Mellon-funded project; and (ii) a partnership with EarthArXiv to support and host its pre-print service, a model that is playing an increasingly important role in the quick and reliable dissemination of scholarly communication prior to publication.

VIII.   Project Transform Working Group (PTWG) Update

University Librarian Jeff MacKie-Mason
Associate Executive Director Ivy Anderson

Mr. MacKie-Mason shared exciting news about UC's negotiations with Springer/ Nature, namely that the two organizations forged a transformative open-access agreement.

There are four recent open access agreements in various stages of implementation: Cambridge University Press, ACM, PLoS, and JMIR. PTWG is working on assessing the implementation of these agreements and indicators of success. UC is also working very actively with these publishers on the workflow design associated with each of the agreements.

Ms. Anderson discussed the guidelines that PTWG has developed for evaluating transformative agreements. The libraries are also considering how to strategically target new publishers for transformative partnerships, and Ms. Anderson shared a matrix describing the criteria that go into the decision-making.

The team is looking at ways to improve faculty outreach. As of now it uses three methods: (i) through libraries, (ii) through publishers, and (iii) through faculty champions, including members of UCOLASC and the local COLASCs. The team is looking for ideas on how to engage faculty to receive more input. This will be brought back to UCOLASC for discussion in Fall 2020.

IX.   The FAIR Island Project
Director John Chodacki

The FAIR Island Project is a CDL collaboration with the University of California Gump Station, located on Moorea in French Polynesia, and Tetiaroa Society, which operates a newly established field station on Tetiaroa, not far from Moorea. The project aims to leverage existing data management strategies and identifiers to establish the necessary policies and technical infrastructure to create an environment where all data and knowledge collected is curated and made openly available.

X.   Draft Research Data Policy
Agnes Balla, Research Policy Analysis and Coordination (RPAC)

Ms. Balla returned to discuss the systemwide research data policy, which RPAC has been working on in collaboration with representatives from UCSD, UCLA, UCB and OGC. She asked UCOLASC for additional feedback before the draft goes out for systemwide review, and invited members to email any questions or suggestions.

The committee adjourned at 3:05.

Meeting minutes prepared by Fredye Harms, Committee Analyst.
Attest, Dennis Ventry, UCOLASC Chair