

## **UNIVERSITY COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION**

### **2021-22 ANNUAL REPORT**

#### **TO THE ASSEMBLY OF THE ACADEMIC SENATE:**

According to Senate Bylaw 185, the University Committee on Library and Scholarly Communication (UCOLASC) shall:

1. Advise the President concerning the administration of the libraries of the University in accordance with the Standing Orders of The Regents and issues related to innovations in forms of scholarly communication.
2. Perform such other appropriate duties as may be committed to the Academic Senate by proper authority.

UCOLASC met three times in 2021-2022 via videoconference.

#### **Consultation with the California Digital Library (CDL)**

UCOLASC met with representatives from the California Digital Library at each meeting. CDL provided regular updates on their collections budget. A UCOLASC letter endorsing permanent funding for the CDL was approved by Academic Council and sent to the Provost in April 2022. The Office of the President has pledged to find an ongoing source of funding.

CDL's ongoing negotiations with licensed content providers and efforts to contain costs were shared with UCOLASC. This year, UC and the Publications Division of the American Chemical Society (ACS) entered into the first-ever California-wide transformative open access agreement in partnership with the California State Universities and the 25 institutions represented by the Statewide California Electronic Library Consortium (SCELC). The University and SAGE Publishing, one of the largest publishers of UC research in the social sciences and humanities, came to a two-year agreement to fund open access for UC researchers.

The UC's eScholarship Publishing program provides comprehensive publication services for UC-affiliated researchers. UC's open access repository and scholarly publishing platform receives more requests for new journals and participation than budgeted staff can meet. AVP Waibel and Director Catherine Mitchell announced a staffing reorganization to assign a new staff position to increase eScholarship capacity.

CDL, as part of the Office of the President delegation, will participate in the National Academies of Science Open Science Community of Practice, which will elevate development of open publishing incentives.

#### **UCOLASC received updates on four active OSC working groups:**

### Open Access Book Publishing

OSC is finalizing an OA Books FAQ that will reside on the OSC website and campus scholarly communication websites will link to it, making it a central resource for UC scholars. UCOLASC was asked to give feedback on the draft FAQ.

### OSC DEI Working Group

UCOLASC agreed to work with the OSC to create a Diversity, Equity, and Inclusion in Scholarly Publishing resource for the OSC website. The Working Group created drafts of a landing page. Continued work will develop specific recommendations for faculty and others to address DEI issues within scholarly communication, create a more diverse and inclusive publishing environment, and enrich the scholarly record. OSC will share the completed draft resource with UCOLASC for additional comments before posting to the OSC site.

### Emeriti Works Archiving Project

The Emeriti working group focuses on helping scholars upload large collections of their own academic work to eScholarship. The Working Group is finalizing tools, including a systemwide self-help resource, publisher contact information, templates for requesting rights reversion, and instructions that guide users to ease the process.

### Open Access/Creative Commons

The working group seeks to optimize the use of Creative Commons reuse licenses for articles deposited in eScholarship under the UC Open Access policies. They proposed a revision to vendor workflows to facilitate author selection of licenses. UCOLASC reviewed and provided feedback.

## **Project Transform**

Project Transform is charged to negotiate and implement a set of transformative agreements with publishers of scholarly journals. The goal of these transformative agreements is to convert subscription spending into open access publishing spending. UCOLASC discussed Project Transform's progress at each meeting.

By summer 2022, UC had established 16 transformative agreements, with just over 50 percent of all UC articles eligible for open access publishing with financial support from the UC libraries. Among these agreements is one with the American Chemical Society, representing the first California-wide transformative open access agreement. This agreement was carried out in partnership with the California State Universities (23 campuses) and the Statewide California Electronic Library Consortium (SCELC) (representing 25 institutions).

## **Council of University Librarians (CoUL)**

UCOLASC met with the Council of University Librarians at each meeting. UC libraries continue expansion of access to books online, exploring Controlled Digital Lending (CoDiLe), as well as other means to expand access, following the success of HathiTrust's emergency digital access (ETAS) during pandemic-related shutdowns. Libraries are working to advance affordable course materials systemwide.

UC libraries returned to fully open status, although a growing number of students appear to expect ongoing hybrid access library resources. Staff vacancies, due both to pandemic issues and to hybrid and remote preferences for work, impact the libraries' functioning. Campus libraries reported pressure to reframe library space as study/learning spaces rather than scholarly or archival spaces.

The UC Libraries work collectively to advance print collection management locally, systemwide and with external partners, such as the Western Regional Storage Trust and HathiTrust. Together, the libraries preserve and ensure short- and long-term access to journals, books, and many other types of physical collections.

### **Dryad Partnership**

UCOLASC discussed the University's continued partnership with Dryad, which has been named a Generalist Repository Ecosystem Initiative (GREI) repository in a new NIH initiative, which supports and advances multidisciplinary data repositories. Dryad stores data not stored in discipline-specific repositories; through CDL's co-development partnership, the Dryad service is free-of-charge for UC faculty, students, researchers and personnel. A navigation tool to guide authors through the open access publishing process is also under development.

### **Campus Reports**

UCOLASC set aside a portion of each meeting for updates from members about issues under discussion on campuses and local library committees. These briefings touched on a wide array of topics, including library budget concerns, effects of COVID-19 surges on reopening efforts as libraries transitioned to fully open status, efforts to increase acceptance of open publishing on campuses, unique challenges facing humanities researchers in open-access publishing, concerns regarding increasing prices for electronic books, and efforts to ensure that library committees are consulted in campus academic and budget planning.

### **Endorsements and Letters of Support**

UCOLASC drafted and unanimously approved a statement for OSC's publisher workflows demonstrating support for open access publishing.

UCOLASC wrote a letter endorsing permanent funding for the CDL. This was approved by Academic Council and sent to the Provost in April 2022.

UCOLASC provided an open-access publishing FAQ to UCAP for campus merit and advancement use.

*Acknowledgements:* UCOLASC expresses thanks to Academic Council Chair Robert Horwitz, Vice Chair Susan Cochran, and Senate Executive Director Hilary Baxter. Thanks also to the consultants who provided expertise and contributed much valuable time helping UCOLASC fulfil its mission: CoUL President Erik Mitchell; LAUC President Rachel Green; members of COUL; UC Berkeley University Librarian and Chief Digital Scholarship Officer Jeffrey MacKie-Mason; CDL Associate Vice Provost and Executive Director Günter Waibel; CDL Director, Shared Collections Program Ellen Finnie; CDL Director, University of California Curation Center John Chodacki; CDL Director

of Publishing, Archives, and Digitization Program Catherine Mitchell; CDL Research Data Specialist & Product Manager Daniella Lowenberg; CDL Systemwide Library Planning Analyst Danielle Watters Westbrook.

Respectfully submitted:

Derjung Mimi Tarn, Chair (UCSF)	Susan Laxton (UCR)
John Hildebrand, Vice Chair (UCLA)	Mark Hanna (UCSD)
Christine Rosen (UCB, Fall)	Charles Hart (UCSF)
Ellen Simms (UCB, Spring)	Karen Lunsford (UCSB)
Eric Rauchway (UCD)	Abraham Stone (UCSC)
Bryan Reynolds (UCI)	Robert Horwitz, <i>ex officio</i>
Robert Zeithammer (UCLA)	Susan Cochran, <i>ex officio</i>
Maria DePrano (UCM)	Stefani Leto, Committee Analyst