

**UNIVERSITY COMMITTEE ON
LIBRARY AND SCHOLARLY COMMUNICATION**

2018-19 ANNUAL REPORT

TO THE ASSEMBLY OF THE ACADEMIC SENATE:

According to Senate Bylaw 185, the University Committee on Library and Scholarly Communication (UCOLASC) shall:

1. Advise the President concerning the administration of the libraries of the University in accordance with the Standing Orders of The Regents and issues related to innovations in forms of scholarly communication. (Am 9 May 2003; Am 9 May 2007)
2. Perform such other appropriate duties as may be committed to the Academic Senate by proper authority.

Principles of Scholarly Communication Document

In 2017-18, UCOLASC developed a declaration of rights and principles in consultation with several different groups – both within UC and at outside institutions - to guide UC in its journal license negotiations with publishers. He consulted multiple stakeholders including faculty, librarians, and administrators, and tried to address the needs of different constituencies. He presented and discussed the Principles document with other Senate committees, and the response was favorable. UCORP, UCAF, UCAP, UCORP, UCFW, UCACC, CCGA, and UCPB all endorsed the Principles, which were subsequently endorsed by Academic Council as a whole in 2018-19.

Transformative Publisher Negotiations

In 2018/19, the Academic Senate and UC Libraries partnered to pursue transformative open access agreements for systemwide journal contracts. The UCOLASC Chair and Vice Chair were invited by the Council of University Librarians (CoUL) to join a strategy and negotiation task force focused on developing sustainable and scalable transformative open access agreements, where subscription payments are converted into open access publishing payments and the widest possible access to scholarly content is secured. The Chair and Vice Chair were also members of the UC negotiation team for the Elsevier contract. UCOLASC and the Academic Senate vetted the task force's goals and participated in several major negotiation decisions, including the decision to reject Elsevier's winter 2019 counter-proposal as well as its informal spring 2019 proposal (both UC decisions received UCOLASC's unanimous support). UCOLASC discussed potential agreement terms, alternative access mechanisms, outreach and communication. UCOLASC and local COLASCs also partnered with the libraries throughout the year to carry out broader faculty engagement and consultation.

The faculty-library partnership that drives UC's principled open access stance has been applauded by the global open access community and is now being replicated at other institutions. UCOLASC will continue to play a key leadership role in major publisher negotiations for transformative open access, and the Chair and Vice Chair will continue to represent faculty on UC's negotiation team.

Consultation with the California Digital Library

Representatives from the California Digital Library (CDL) met with UCOLASC throughout 2018/19 to discuss a variety of topics. CDL gave regular updates on systemwide licensed content negotiations and successful efforts to reduce or contain licensed content costs. The committee also discussed the libraries

ongoing work with HathiTrust, a collaboration of top-tier research universities to archive and share digitized book collections. UC is a founding member and the UC Libraries are actively engaged in HathiTrust governance. In addition to providing access to more than 17 million resources (6.5 million of them in the public domain), HathiTrust also enables computational analysis of its corpus.

CDL received a budget augmentation to cover half of the systems cost and one FTE to support implementation of UC's open access policies; CDL has committed existing funds to cover the remaining systems costs as well as one existing developer FTE to advance this important effort.

CDL's open access publishing and repository platform, eScholarship, now holds over 200K publications, including 81 open access journals. In collaboration with UC Press and the Collaborative Knowledge Foundation, CDL co-developed Editoria, a monograph workflow tool for book production, and hopes to introduce an open access monograph publishing service through eScholarship in 2019/20. CDL is strategizing how to carry out potential platform and service upgrades so that eScholarship can provide a more competitive open access publishing alternative to commercial publishers.

In 2018, CDL and the Dryad Digital Repository announced their partnership to develop an open, community-supported data curation and publishing service. With funding from an IMLS National Infrastructure grant, CDL and Dryad facilitated discussions and workshops to engage community members and determine the services and features most needed. Throughout the year, CDL representatives kept UCOLASC apprised of these activities and engaged the committee for further feedback. The soon to be relaunched Dryad repository will utilize the front-end architecture of CDL's Dash.

Systemwide ILS (SILS) Project Update

Currently, each campus has its own distinct integrated library system (ILS) and a series of systems and services connect the distributed catalogs. The UC Libraries Systemwide ILS Project will move the libraries from ten independent ILSs to a single, shared state-of-the-art system. UC is not the first to attempt such a migration; over 50 library consortia from across North America have already migrated to a shared ILS solution. A systemwide ILS will bring about many advantages for the university; discovery of print and electronic collections will be more efficient and accessible, and the interface will be more user friendly. A shared solution will also better enable the institution to leverage data in support of decision-making.

With unanimous support from UCOLASC and other systemwide committees and administrative groups, the libraries successfully secured UCOP funding support for the systemwide ILS project through the Major Projects & Initiatives (MPI) process. The CoUL Chair will continue to engage UCOLASC on this topic, particularly once implementation begins (which likely will not occur until 2020-21).

Office of Scholarly Communication

In 2018/19, the UC Office of Scholarly Communication focused its activity primarily in two areas of interest to UCOLASC:

- Conducting a survey of the use of Current Research Information Systems (CRIS) across the UC system.
- Creating a toolkit to support journal editors and publishers and the organizations or libraries that are working with them to transition subscription journals to open access.

In both cases, OSC worked closely with UCOLASC to gauge faculty interest in and needs related to this work. The CRIS survey seeded a larger discussion within UCACC and Academic Council about the data privacy and policy implications for faculty of CRIS implementations at UC. This discussion spawned a Senate report outlining faculty concerns and a specific set of requests to the Provost, including an expansion of the CRIS survey to gather more information systemwide. The OSC working group that developed the journal "flipping" toolkit (now available on the OSC website) consulted closely with faculty throughout the

process, to identify how best to frame the issues and give academic editors (and those working with them) the information they need to make informed choices about transitioning their journals to OA.

Campus Reports

UCOLASC devoted part of each regular meeting to member reports about issues facing divisional Senate library committees. In these discussions, divisional representatives noted ongoing library budget and space issues on their respective campuses in the context of rising enrollments and changing needs.

Endorsements and Letters of Support

UCOLASC voted unanimously (12-0) to endorse a statement asking all UC stakeholders to stand firm in their support of our negotiating position with Elsevier and in their commitment to open access publishing. This was subsequently endorsed by Academic Council, which then issued a similar statement.

UCOLASC voted unanimously (12-0) to endorse co-sponsoring an “Open Access Tipping Point Event” in Washington, DC with the CDL. This was endorsed by Academic Council.

UCOLASC provided feedback on the “Proposed Revised Presidential Policy on Copyright Ownership.”

UCOLASC provided a statement to Academic Council on “Support for a Working Group/Task Force on Academic Freedom for Non-Senate Academic Employees.”

UCOLASC sent the *Rights and Principles for Transforming Scholarly Communication* to Academic Council. Council endorsed the UCOLASC Principles.

UCOLASC sent a statement to Academic Council on “Faculty consultation regarding library space and removal of print materials.”

UCOLASC collaborated with UCACC to develop a report on Research Information Management Systems (RIMS), which was endorsed by Academic Council and sent to President Napolitano.

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Respectfully submitted:

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