

Minutes of Meeting  
June 25, 2014

**I. Consent Calendar**

1. Approval of June agenda
2. Approval of May 28, 2014 and June 4, 2014 Academic Council Minutes
3. Approval of Master's of Applied Economics at UCLA

**Action: Council approved the consent calendar.**

**Action: Chair Jacob raised an item of new business, the appointment of Senate representatives to a new systemwide Sexual Violence and Sexual Assault Response Task Force. Council agreed to discuss the item at the end of the meeting.**

**II. Senate Officer's Announcements**

- o *Bill Jacob, Senate Chair*
- o *Mary Gilly, Senate Vice Chair*
- o *Martha Winnacker, Senate Executive Director*

Executive Director Search. A Council search committee is conducting interviews for the new systemwide Senate Executive Director. Council may be asked to meet by teleconference to approve the recommendation of the search committee per [Senate Bylaw 16 if that recommendation is ready before the scheduled July 23 meeting..](#)

Recruitment Process for DANR Vice President: In July, the Regents are expected to extend the temporary appointment of the current vice president for agriculture and natural resources for one year. The Senate will request a seat on the search committee for a permanent vice president. We expect a search to begin in the fall.

Regents Task Force on Divestment in Fossil Fuel: Vice Chair Gilly is serving on a new Task Force empanelled by the Regents to study issues around socially responsible investing, initiated in response to student concerns about UC's investments in fossil fuel companies. The Task Force will define and quantify UC's fossil fuel-related investment portfolio; develop a UC policy on sustainable investing; develop a plan to implement the policy; and identify investment opportunities that meet the theme of sustainability.

Academic Planning Council: All [proposed revisions](#) to the Compendium will go forward except those related to Research Units. The Academic Planning Council is working to clarify the revisions in that section to address the [Senate's concerns](#).

APM Revisions Extended Timeline: Vice Provost Carlson has agreed to extend the Systemwide Review period for [proposed revisions](#) to APM Section 133-17-g-j; Section 210-1-c & d; Section 220-18-b; and Section 760-30-a. The new review deadline is December 15.

### **III. Systemwide Research Funding**

**Issue:** Chair Jacob has drafted a letter addressing a number of issues related to the systemwide research budget. It expresses concern that the research budget has been cut disproportionately to other general cuts for UCOP and asks UCOP to restore support for systemwide research. It also asks UCOP to make a strategic, ongoing commitment to fund systemwide faculty-led research at predictable levels, in a process that involves robust consultation with faculty to ensure research priorities are grounded in principles.

**Discussion:** A Council member noted that institutional support for systemwide research enables collaboration and research that would be unlikely to develop on a single campus and that such support helps differentiate the UC mission from the other segments. A Council member noted that the letter should recommend an appropriate amount to spend on systemwide research—perhaps some fixed percentage of the UCOP budget or the UC overall research budget, and that the charge of the Portfolio Review Group should be modified to include a focus on overall strategic policy objectives related to systemwide research. It was noted that in 2006, the Senate [expressed support](#) for an MRU funding structure that increases flexibility to allow for new research opportunities to emerge on a competitive basis. It was also noted that some centrally funded research initiatives carry political mandates and cannot be terminated or reduced without consent from the legislature. Members commented that the policy should seed funding for projects that can then secure outside funding as well as longer term funding for important projects that are unlikely to be eligible for external funding.

**Action:** Chair Jacob will revise the letter, circulate it to Council for comment, and send the final to the President.

### **IV. CA Higher Education Budget Innovative Grant Program**

The Governor's budget includes \$50 million to promote innovative models of higher education at the campus level that result in more bachelor's degrees, improved four-year completion rates, and more effective transfers between the community colleges and four-year universities. The state Assembly and Senate both objected to the program, but it remains in the final budget. The Department of Finance will oversee the program, and a Grants Committee that includes political appointees and one member of each segment's governing board will make decisions about awards. Individual grant proposals are due by January, and it will be important for the Senate to participate in strategic planning as a framework for proposal development.

### **V. ILTI and the Future of Online Education**

**Issue:** The state expects UC to carve out \$10 million for a second year of support to the Innovative Learning Technology Initiative. UCOP will release an RFP in the fall for faculty-developed online courses.

**Discussion:** It was noted that UCOP left it to the campuses to define policy for intellectual property issues applicable to ILTI courses; as a result, campuses are now using different models, and on some campuses, there are still unresolved issues related to faculty oversight, copyright, and quality control. There may be a need for systemwide guidance in some policy areas to help

campuses that are struggling with individual interpretations. It was also noted that the faculty may view copyright issues very differently from the administration. The Senate should be engaged in ongoing dialogue about these issues.

## **VI. Consultation with Senior Managers**

- *Janet Napolitano, President*
- *Aimée Dorr, Provost and Executive Vice President*
- *Nathan Brostrom, Executive Vice President Business Operations*
- *Patrick Lenz, Vice President Budget and Capital Resources*

President Napolitano: President Napolitano reported that she will be rescinding a UC policy (“Guideline 13”) barring the University from investing directly in companies that commercialize research and technology originating at UC. She is also announcing the formation of an Innovation Council—a group of business executives, venture capitalists, and technologists who will advise UC about how best to support technology commercialization.

On Tuesday, the UC Global Food Initiative kicks off with events in Berkeley, Sacramento, and Los Angeles. The initiative seeks to leverage UC’s resources and research expertise on a wide range of food issues, including agriculture, drought management, health, and economics. UC Davis Professor Rachael Goodhue represents the Senate on the Initiative oversight committee. The President is also asking the Senate to send a representative to a new systemwide task force that will examine UC’s efforts to prevent and respond to sexual assault, violence, and harassment on campuses.

President Napolitano noted that the University is making significant progress to bend the cost curve in ways that do not impact excellence, but UC also needs to examine the revenue curve. The University can no longer count on the three-legged stool of state support, federal grants, and tuition to support all its needs. It must think creatively about alternative revenue sources that can help sustain excellence in the midst of a paradigm shift in public higher education. The President is considering, among other ideas, the expansion of certificate programs and online learning, a new fee structure for summer sessions, and outside investments to support the research enterprise. UC is also creating its own venture capital fund to invest in campus-originated research; this concept has already been successfully piloted by QB3 at UCSF. The fund will be managed by the CIO and revenue will be invested in education, research, and faculty support. The revenue goal for these endeavors is at least several hundred million dollars—the gap between what UC needs and the revenue available from the Governor’s multi-year funding proposal, a moderate tuition plan, and new efficiencies.

In July, UCOP will present the Regents with a revised version of the Transfer Action Team report that adjusts timelines and answers some of the specific questions raised in May. The report asks the Senate and administration to work together to examine how to simplify transfer requirements, streamline the process of granting credit, and align requirements across UC campuses.

Vice President Lenz: Despite UC’s efforts and the legislature’s support for additional revenue for UC, state budget deliberations did not result in a general fund increase for UC over what the governor proposed in his original budget, although the final negotiated deal provides UC with an

additional \$50 million in one-time funding for deferred maintenance. The budget process is not over, however; UC hopes to convince the Governor that there are better uses for the \$50 million being directed to the Innovative Grants Program, and is asking him to provide additional enrollment-based funding that will allow UC to fund enrollment growth on some campuses and address existing unfunded enrollment. The budget also asks UC to submit an annual sustainability report. UC intends to write this report based on what it needs to build the academic infrastructure and hire new faculty to sustain excellence. UC is also urging the state to treat UC and CSU equitably with regard to pension funding and enrollment growth.

Provost Dorr: Yvette Gullatt has been appointed vice provost for educational partnerships. Vice President for Research and Graduate Studies Beckwith and Interim UCOE Director Williams will be returning to their faculty positions at Berkeley and Davis respectively, effective July 1. The Provost is recruiting a diversity and inclusion officer for UCOP and will be announcing a new interim director for the UC Observatories. All of UCOP is going through a strategic alignment analysis to determine the best model for its functions, and the Provost's portion of this analysis is just beginning. Among the areas to be addressed are the most effective ways to manage the functions now housed in the Office of Research and Graduate Studies. She will not begin a search for a new Vice President until the alignment process is complete and the position can be redefined. The President is interested in expanding that position to include technology transfer. The faculty will be key in the effort to define the position.

The Provost has combined UCOE and ILTI into a single organization, and is making plans for an additional \$10 million budget carve-out for online education next year. UCOP will be offering another RFP for course development this fall. Last year's ILTI money was used to fund 39 systemwide online and hybrid courses and make progress on a cross-campus online enrollment "hub." UCOP also directed a portion of the funding to campuses to develop local online courses and upgrade online infrastructures. There is still work to be done to ensure that UC students who take online courses offered by other UC campuses receive general education or major credit at their campus.

Discussion: A Council member noted that certificate programs have an educational role, but research universities do not traditionally specialize in certificates and campus Extension programs already offer many certificate programs. A member expressed concern that UC will lose credibility by increasing its involvement in certificates. It was also noted that much of the scholarship that is central to the UC mission does not lead to technology transfer. Research, as in education more broadly, includes areas that have an easily quantifiable market value; however, not everything that can be measured has value and not everything that has value can be measured. UC's contributions to the state are broad in scope and only some generate revenue. It was noted that the University should think carefully about what areas of educational value would not be funded by a venture capital model, and about how to increase support for UC's research mission more broadly. It was noted that the faculty support the university budget by generating \$5 billion in grants, and that neither certificate programs nor technology transfer revenues are likely to generate what the university needs to fill the funding gap. It was noted that summer session plays an important role for some students in degree completion, and UC should be careful not to price its own students out of those opportunities. It was also acknowledged that the president has to at least explore the viability of different revenue avenues.

A member noted that some campus patent offices are too small to be effective. The university might consider consolidating the individual campus patent offices and use outside counsel for certain technology transfer cases that require specific legal expertise. Another member expressed concern that the sexual violence and harassment prevention task force as originally constituted included no faculty and no representatives from several campuses.

## **VII. Executive Session**

*Notes were not taken for this portion of the meeting.*

## **VIII. Hispanic Serving Institutions (HSIs) & Tribal Colleges and Universities (TCUs) Initiative - Draft Proposal**

- *Emily Roxworthy, Chair, University Committee on Affirmative Action and Diversity*

**Issue:** Council reviewed a proposal intended to increase the representation of Chicano/Latinos, American Indians, and Alaska Natives in UC graduate programs.

Chair Roxworthy noted that the proposed program was originally developed by former University Committee on Affirmative Action and Diversity (UCAAD) Chair Manuela Martins-Green after Regent Fred Ruiz visited that committee and encouraged UCAAD to consider best practices for increasing faculty diversity. It has been refined over the past year under the leadership of Director of Graduate Studies Pamela Jennings. The program is modeled on the existing UC [program](#) targeting students at the nation's Historically Black Colleges and Universities (HBCUs), which has already brought 13 doctoral students to UC. Similarly, the HSI-TCU Initiative targets students enrolled at the 35 California HSIs, as well as students enrolled in TCUs outside of California, by providing grants for qualified students to pursue summer research programs at UC campuses. The hope is that many of the students will eventually matriculate into UC Ph.D. programs and that a substantial number of them will eventually pursue academic careers at UC. The proposed program also aligns with the goals of the Transfer Action Team (TAT) by incentivizing the creation of research opportunities for transfer students and additional outreach to California community colleges. The program could help the UCOP focus on a strategic set of low-transfer CCCs for additional outreach, as there is significant overlap between California's two-year HSIs and the low transfer community colleges identified by the Transfer Action Team. (Twenty-eight of the two-year HSIs in California currently provide less than 1% of transfer students to UC.) It was noted that CCGA has gone on record as supporting the initiative.

**Motion:** A motion was made and seconded to endorse the HSI-TCU Initiative.

**Action:** The motion passed unanimously.

## **IX. UC International Engagement Vision Statement**

- *Richard Kern, Chair, University Committee on International Education (UCIE)*

**Issue:** UCIE has revised its UC International Engagement Vision Statement in response to the feedback it received from Council on May 28. Chair Kern noted UCIE is asking Council to adopt the statement as Senate policy and forward it to President Napolitano. The goal of the Statement

is to provide the administration with a clear, value-based framework for thinking about international engagement and developing new international initiatives.

It was noted that UCIE will be proposing an expansion of its charge to encompass a broader range of issues related to international students and international education.

**Motion: A motion was made and seconded to endorse UCIE’s International Engagement Vision Statement and forward it to the president.**

**Action: The motion passed unanimously.**

## **X. CCGA Issues**

- *Donald Mastronarde, CCGA Chair*

### **1. CCGA Handbook Revision**

CCGA has revised its [Handbook](#) to make it clearer, more consistent, and more reflective of current practice. The revisions include additions and clarifications made to the description of the required components of graduate degree program proposals in Appendix B.

### **2. Proposed Humanities Research Statement**

**Issue:** CCGA has drafted a statement about the importance of basic humanities research to the University’s mission. CCGA is concerned that some state officials and members of the public are uninformed about the value of humanities research. CCGA has also noted that UC communications materials tend to emphasize applied medical and technology research, rather than basic research generally, and humanities research in particular.

**Discussion:** Council members noted that it is important for the Senate to communicate the importance of humanities research to the President, and important for the President to have language on hand to help her defend the humanities; however, they agreed that the statement is inadequate as written and should be strengthened and expanded. It was suggested that CCGA review statement from the American Council on Learned Societies as a model. It was noted that it would be useful for the document to highlight UC-specific examples of important humanities research, rather than a defensive stance.

**Action: CCGA will revise its Humanities Research statement.**

### **3. Proposed Amendment to Senate Regulation 682**

**Issue:** CCGA is proposing a change to a provision in [SR 682](#) specifying the interval between the filing of advancement to candidacy for a Master’s degree and the conferral of the degree. The revision would allow individual graduate divisions to decide the timeframe for advancement to candidacy.

**Action: The Senate office will circulate the proposed amendment to the divisions for review prior to submitting it to the Assembly as proposed legislation.**

#### **4. Proposed Amendment to Senate Bylaw 125.B.7**

**Issue:** CCGA is proposing an amendment to [SB 125.B.7](#) that would reduce from 60 days to 30 days the interval within which Council can give final approval of a new degree title without referring it to the Assembly.

A member noted that the existing Assembly approval mechanism ensures a broader public discussion about proposals.

**Action:** Council agreed to send the proposed amendment to the Assembly as proposed legislation.

#### **XI. UCRJ Review of Bylaw 55**

The UC Committee on Rules and Jurisdiction (UCR&J) has responded to Chair Jacob's request for a ruling on whether [Senate Bylaw 55](#) allows departments to take advisory votes from non-Senate faculty in appointment and promotion cases of Senate members. UCR&J responded by requesting detailed factual information about how departments use advisory voting by non-Senate members. Chair Jacob found that UCR&J misinterpreted Council's request and has drafted a letter to UCR&J clarifying the original request—to determine whether existing bylaws prohibit a department from collecting and tabulating advisory votes if the department's Senate members approve of the advisory vote, and if the votes are tabulated and reported to CAPs separately from the Senate votes. A Council member commented that one could interpret paragraph B.8 of Bylaw 55 as allowing departments broad latitude to establish voting rights and procedures.

**Action:** Council voted to send the letter to UCR&J.

#### **XII. New Business**

Council members discussed the appointment of a Senate representative or representatives to the President's Sexual Violence and Sexual Assault Response Task Force. Members expressed concern that academics as well as several campuses have been excluded from the task force roster. It was noted that faculty are sometimes the first to hear about incidents of this nature. It was noted that the scope of the task force membership should ideally be expanded to include counselors, women's center representatives, and others.

**Action:** Council members agreed to send forward the names of UCAAD Chair Roxworthy and a UC Santa Barbara faculty member for membership on Task Force. UCAAD Vice Chair David Lopez-Carr, who is from Santa Barbara, was proposed if he is willing to serve.

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Meeting adjourned at 4:30 pm

Attest: Bill Jacob, Academic Council Chair

Minutes prepared by Michael LaBriola, Principal Committee Analyst