

COORDINATING COMMITTEE ON GRADUATE AFFAIRS

MINUTES OF MEETING – NOVEMBER 9, 2004

*Approved December 7, 2004***I. Chair's Announcements – *Quentin Williams***Committee Business

- The new CCGA Vice Chair is Professor Duncan Lindsey of UCLA.
- A UCSD member is in the process of being confirmed.

Conference Room Wireless Internet Access

UCOP conference rooms should have wireless internet access by June 2005.

External Reviewer Honorarium Increase

The Academic Council (AC) has raised the honorarium paid to external program proposal reviewers from \$150 to \$250.

Academic Council Update

- The AC has requested feedback on how the 3% increase (per the Compact) in funding for the University of California (UC) should be deployed. AC recommends that the 3% should go towards faculty/staff merit and cost of living increases, and graduate student support. These priorities are unranked.
- The topics for the meeting between the AC and the Executive Vice Chancellors (EVC's) this year are interdisciplinary issues and improvements in graduate education.
- Academic Planning Council (APC): Chair Williams mentioned that Marcie Greenwood/Office of the Provost is updating the "2001 Report of the Commission on Growth and Support of Graduate Education". This document will be forwarded to CCGA when it is completed.

II. Announcements from the President's Office, Academic InitiativesApplied Doctorates

Consensus from continued discussion with AC Chair Blumenthal and Vice Chair Brunk is that any decision/resolution on this issue must be informed by further data. Of particular concern are additional doctorates within the allied health sciences field. There is evidence from a recent health sciences enrollment report that there will be an increase in enrollments in medical schools (medicine, dentistry, nursing). Julius Zelmanowitz is recommending that a "phase II" of the enrollment report be completed, which would deal with such fields as physical therapy, occupational therapy, and audiology. Such a report would objectively inform UC on legitimate state need for such applied doctorates (rather than 'demand' or 'perceived demand').

UC San Diego (UCSD) Proposal

As background to the issue of applied doctorates, Julius Zelmanowitz noted that a couple of years ago UCSD introduced a proposal that would allow CSU's to offer doctorates (without the partnership of a UC campus) when certain conditions applied. UCSD submitted a proposal that would allow the proposing institution the "right of first refusal". In other words, if UCSD

declined to enter into a joint-doctorate with San Diego State University (SDSU), it would give SDSU the right to propose a SDSU doctorate, but with UCSD in a supervisory role. This model was proposed by then UCSD Chancellor Dynes and was intended as a systemwide-proposal, however it was rejected by then UC President Atkinson.

Audiology Program at CSU Sacramento

Professor Schumm reported that he discovered that the Masters program in Audiology at CSU Sacramento was closed down due to the lack of partnership opportunities with an UC institution on a doctorate program. Karen Merritt noted that UCD had in its five-year plan a proposal to develop a joint-doctorate in Audiology with a CSU Sacramento, which did not come to fruition. However, the relevant accrediting association has set a date substantially in the future for requiring a doctorate, so it is curious as to why a program would be shut down now on that account.

ACTION: The Office of Academic Initiatives will look into this matter further and report back to the committee at the December meeting.

Tom Campbell

Tom Campbell, who is the Dean of the Haas School of Business at UC Berkeley (UCB), has been named the new Director of Finance for Governor Schwarzenegger's administration. The committee discussed the potential conflict of interest issue, as he is on leave from the Deanship and therefore subject to conflict of interest disclosure.

III. Announcements from the Council of Graduate Deans

Money Issues

- UC is currently \$1.5 billion below the funding expectations of 2000-2001.
- Mandatory fee increases: Undergraduate fees will increase by 8%; graduate fees will increase by 10%.
- Out-of-State fee increases: Non-resident tuition fees for graduate students will not go up; undergraduate non-resident tuition fees will increase by 5%.

Enrollment Issues

- International graduate student enrollments down by 900 students UC-wide
- Issues affecting international students: (1) Homeland security; and (2) faculty's desire to control costs.
- Return-to-aid: There is a 50% return-to-aid for graduate students (historically at about 33%) on new money. However, this return-to-aid funding does not cover the real increase in costs. It is also being allocated as instructional funding largely, thereby going for teaching assistantships rather than fellowships.

NRC Ranking Study

This decade assessment of graduate programs was supposed to be completed in 2003, however it has been delayed due to funding issues. \$5 million is necessary to fund it, but they currently only have \$1.5 million. Therefore, whether the survey will be conducted remains unclear. The study is being designed differently than past studies. For example, they are including a survey of students who are post-candidacy. Their methodology suggests that they are not only trying to

assess graduate program excellence, but also how graduate students are treated within these programs. Among faculty, there is also concern regarding the taxonomy of the study. In particular, there is the question of which areas will be judged by the program and how do faculty within certain disciplines fit into those areas. At this point, it is not clear how the study will allocate faculty time over different programs. There is also an emphasis on obtaining objective and quantitative data. They are trying to finish the study in 2005, however there are many issues that still need to be worked out.

SEVIS Issues

The Department of Homeland Security has decreased the backlog that has caused the delays in visa processing (primarily for foreigners who have visas, but who go out of the country, and then want to re-enter the country). Those graduate students who have been working with “sensitive technology” have been particularly affected by these delays. The data from the Department of Homeland Security shows that whereas the typical delays were previously a number of months, they are now only a few days in duration.

Comparability of UC Graduate Support

There was a study done in October 2004 by the Office of the Associate Vice President, Student Academic Services and Student Financial Support, on the comparability of UC graduate Support (“Findings from the Graduate Student Support Survey: Trends in the Comparability of Graduate Student Stipends, 2001 and 2004”). It showed that UC graduate support is about \$2,000 below that of the typical graduate support of UC competitors. This report is being sent to the committee members on the CCGA list-serv.

Completion Poll

Not ready for distribution at this point.

Graduate Education Funding Models

ISSUE/DISCUSSION: There is report currently in draft form that examines the types of possible funding models for graduate education. Chair Williams explained that at present, UC does not have a clear and coherent formula/model on how to fund graduate education into the future (as opposed to undergraduate funding). Traditionally, UC has relied on return to aid funding, which can fluctuate somewhat. Therefore, on a systemwide level, return to aid funding is not really a predictable amount of money that is returned to graduate funding.

- **% of graduate students as part of total student enrollment:** The percentage of graduate students as part of overall student enrollment has reached very low levels. It was noted that 20% is the traditional target (14% in Letters & Science; 6% in professional schools). In 2004, 17% of the total UC-wide student enrollments were graduate students.
- **Research costs:** There is no allocation for research. It is assumed that research just happens as a byproduct of the general education of graduate students. It was suggested that the Academic Senate look into the actual cost of graduate research.
- **Strategic Plan:** CCGA should draw-up a simple yet strategic plan that isolates seminal and systemwide issues that CCGA can address (in conjunction with the Office of the Provost and the Council of Graduate Deans). UCPB should also be involved in this effort. Historically, UC has lost the inertia in graduate education from the 1970’s. With the loss of international graduate students, UC must now protect its out-of-state graduate student percentages.

- **Graduate Student Lobby/Constituency:** Unlike undergraduates, graduate students do not typically have a constituency in the California State Legislature. There needs to be an effort to “sell” the value of graduate education to the State of California. For example, data show that 40% of international graduate students actually stay in the US to work after graduation and on average are more entrepreneurial than US students. Both CSU’s (which receive 22% of their faculty from UC) and corporations should be brought into this effort.

ACTION: A letter will be drafted to the AC regarding the (1) need for a strong strategic plan for funding graduate education; and (2) addressing the international student numbers, as well as the decreasing out-of-state graduate student numbers. CCGA will also request that a similar letter to go out to the Office of the Provost from the AC.

ITAR

Dean Alley noted that at the Vice Chancellors of Research (COR) meeting, a presentation was made on the restrictions that the Department of Commerce is imposing on so-called ‘deemed exports’ (software/computer technology). To avoid such interference with international graduate students, any data should be ‘published’ as soon as possible on public websites and UC professors/departments should not accept any grants or contracts that place restrictions on publication.

International Student Enrollment Data

Dean Alley is continuing to gather data on international graduate student enrollments UC-wide. It was suggested that CCGA contact Jim Litrownik at the same time for similar data.

ACTION: Todd Giedt will contact Jim Litrownik for data on international student enrollments for the December meeting.

IV. Consent Calendar – Approval of Minutes

ACTION: The October 5, 2004 minutes were approved.

V. Comments on Strategic Directions for Libraries and Scholarly Information

ISSUE: This document outlines the issues and strategies facing the UC library system. CCGA feels that this is important because libraries play an important role in graduate education.

DISCUSSION: CCGA’s general comments are that it is a well thought-out document, but may be lacking in terms of prioritization in the case of funding cuts. Julius Zelmanowitz noted that the California Digital Library (CDL) was originally conceived as a 10th library; however it now functions more as a collection of shared services and shared strategies that are decided upon by all of the libraries collaboratively. The report identifies (1) an attempt to extend shared services to print (not digital) and how can UC strategically buy print resources (i.e. buying resources for the system, rather than individual campus libraries making multiple purchases); and (2) new modes of scholarly publications (shared print repository, shared post-print repository, and faculty information outreach). While there are not any contemplated changes in funding, there is no systemwide funding model for all libraries across campuses. Chancellors have the discretion to

prioritize and allocate on their particular campuses. The challenge is that each campus must make its required contribution to the shared services (CDL).

ACTION: CCGA will draft a letter to the AC that (1) notes that this is a well thought-out document and stresses the committee's strong support for the document; (2) praises the document for presenting a good plan for optimizing space in UC libraries; and (3) offers no substantive concerns.

VI. Senate Regulation 600(B)

ISSUE: This regulation may impinge on the ability of Masters of Advanced Studies (MAS) programs to allow UC faculty members to enroll in their programs. Senate Regulation 600(B) states that "No voting member of the Senate shall be recommended for a higher degree by his or her Division unless, prior to the date of final action on the member's appointment to a rank carrying the voting privilege, the dean of the member's Graduate Division shall have certified to the appropriate authority that the member has met all the requirements for that degree. Such appointments may be retroactive." At issue is a proposed MAS program in Clinical Research at Davis, which would be available to UCD faculty who wish to pursue a career in clinical research.

DISCUSSION: It is clear that this regulation was designed to prevent divisions/departments from giving out degrees to their own faculty (conflict of interest). In addition to the proposed MAS program at Davis, there is in existence at UCSF a MAS program in Clinical Research that clearly states in their guidelines that the UCSF MAS is available to their faculty. Such a statement seems to violate regulation 600(B).

- **Options:** (1) Alter 600(B); or (2) enforce 600(B).
- **Suggested Rewording/Variance:** (1) Delete the clause "unless, prior to the date of final action on the member's appointment to a rank carrying the voting privilege". Or (2) request a variance for this regulation.

ACTION: Professor Marshall will look into the specific circumstances regarding the MAS at UCSF (intent, how many students enrolled, etc.). Dean Alley will also inquire with the COGD if campuses regularly violate 600(B).

VII. Joint Ed.D. Update and Emergent Issues

A. Issues raised by joint CSU/UC Ed.D. Board

ISSUE: Initial cohorts for the joint-Ed.D. programs are not as large as had been anticipated. A secondary issue is there is not enough UCB faculty to staff the Ed.D. dissertation committees. The principal impediment (from the CSU perspective) is that at least two UC faculty members must be on each committee. CSU would like that this rule be changed to one UC member on each committee. CSU also expressed concern that UCB students could not find outside members for their dissertation committees (UCB-specific requirement).

DISCUSSION:

- **Low Enrollments:** One possible solution to increasing enrollments may be increased advertising or using an outside marketing consultant.

- **Demand vs. Need:** There is a question of ‘need’ verses ‘qualified student demand’ (there may be a ‘need’ for such programs, but the ‘qualified student demand’ may not be there yet). It was noted that at least five years of data is required to get an accurate sense of real ‘steady-state’ demand for these Ed.D. programs. With regards to demand, CSU makes the claim that UC is artificially holding down demand. UC responds that it is important to create high-quality Ed.D. programs (as there is high variability among Ed.D. programs nationwide), which can only be developed slowly.
- **Dissertation Committees:** Regarding the issue of requiring two UC faculty to staff dissertation committees, it was noted that the UCD/CSU Fresno joint Ed.D. only requires one UC faculty member to staff their dissertation committees.

ACTION: Professor Hanemann will try to reach a local solution regarding the requirement that UCB students find an outside member to staff their dissertation committees. Karen Merritt will inquire with the UCD Dean of Education on any adverse impacts of having only one UC faculty member on dissertation committees.

VIII. Review of a Review – Sea Grant College (MRU) – Reviewer William McDonald

ISSUE: Professor McDonald reviewed the 15-year review of the Sea Grant College (CSG), which is a MRU based at the Scripps Institute at UCSD. The focus of the MRU is on research, education (K-12), and outreach/extension. Three reviews have taken place since 1998: (1) an ad-hoc review in 1998; (2) a program assessment team (PAT) review from the NOAA in 2003; and (3) the 15-year review completed in 2004. In the 2003 PAT review, CSG received ‘highest performance’ for the overall assessment of the program. However, in 1998 CSG did not receive a favorable review. The current review in 2004 stresses that in the last five years CSG had made a 180 degree turn-around since 1998 (leadership, changes in structures, changes in how the advisory groups are formulated and run, the grant review process, etc.). The major issues that relate to CCGA are (1) the research mission (in the past 15 years CSG has received \$60 million in federal support; and (2) graduate training (over the past 15 years 700 traineeships were awarded to graduate students).

Over the last ten years, Scripps has supplied 25% of the operating costs for CSG (about \$200,000/year). Scripps cut this funding for 2004 (\$211,000) to zero, citing the California state budget situation. The review team considered this action ‘unacceptable’ because (1) the percentage of budget cuts far exceeded the percentage of cuts that should be distributed among various units; and (2) this is a relatively small investment given the high return that Scripps receives from hosting CSG. A main concern of the review team is that if funding is not restored, CSG’s ability to attract future grants is put into jeopardy. In an October 1st letter from Vice President for Research Coleman to Scripps Director Kennel, he stated that if funding to CSG was not restored by January 1, 2005, he was prepared to commence an UC-wide competition to host CSG. On the other hand, Scripps would like the UCOP to cover these expenses, claiming that CSG is an MRU providing services systemwide.

DISCUSSION: CCGA echoed the review team’s assessment that the \$211,000 is a relatively small investment given the benefits Scripps receives from CSG. CCGA supports VP for Research Coleman’s position to put CSG up for competition if the budgetary situation is not resolved in a timely and completely satisfactory manner.

ACTION: Professor McDonald will provide a written report to the committee for the December meeting.

IX. Executive Session -- UCAAD Graduate Admissions Principles

X. Concurrent Resolution

ISSUE: Every year, the Office of Academic Initiatives office sends out a request for suggestions for sponsored legislation for the upcoming year. This year the Academic Senate is issuing a Concurrent Resolution on Graduate Education, which is a statement of intent for legislative action. CCGA is charged with helping to draft the wording of this resolution. The resolution calls upon the Governor, UC, and the Regents to ensure that UC attracts the best graduate students on a worldwide basis.

DISCUSSION: CCGA is very supportive of this resolution. The committee noted that the possibility of holding hearings on the importance of graduate education is attractive. Follow-up needs to be conducted with appropriate buy-in from the administration, chancellors, and others.

ACTION: CCGA endorses this resolution. The committee notes that ‘artists’ should be added in the third bullet-point; and the phrase, “UC attracts the best graduate students from California, the nation, and the world” should be included in the fourth bullet-point.

XI. Reconstitution of the Division of Biological Sciences to the College of Biological Sciences at UCD

ISSUE: Professor Wu reported on the feedback he received regarding the near split of the votes on this issue within the faculty of the College of Letters and Science (L&S) at UCD (small majority in favor). Chair Williams also said that he will be forming a compendium sub-committee to coordinate comments for the AC.

DISCUSSION: Professor Wu reported that funding is not the issue, as the Division of Biological Sciences has been independently funded from both the College of Agriculture and Environmental Science and the College of L&S. The concern among the faculty in the College of L&S is that the new college may change their undergraduate curriculum (i.e. in terms of humanities requirements). Unfortunately, the minutes from the meeting of the College of L&S were not available to further inform the discussion. The graduate program is not department-based, so there would be a minimal impact on graduate education at Davis. The committee also had an intellectual concern that the reconstitution may isolate biology students from students in other disciplines.

ACTION: A vote was taken with all in favor and no members opposed. Chair Williams will coordinate with the Chairs of the other compendium committees (UCEP, UCPB) to formulate a response to the AC.

XII. Proposed Degrees and Programs for Review

A. Proposal for a Joint Ed.D. Program with UC Davis, CSU Sacramento, and Sonoma State University (DEL:CRA) – *Lead Reviewer Bruce Schumm*

ISSUE/REPORT: Professor Schumm conducted a site visit and submitted a final report to the committee. The proposers satisfied his concerns regarding new faculty positions, the co-directorship, student advising, community college leadership expertise, engagement with the local professional community, and technical/funding support for distance learning. The letters of support from the Deans were also strong (library, staffing support). Professor Schumm strongly recommended approval with a three-year review period.

ACTION: Members unanimously approved the proposal with one abstention. CCGA will write a letter of approval to the Provost & Senior Vice President, with copies to the Council Chair, the Vice Provost - Academic Initiatives, the Director Academic Planning and Program Review, the Coordinator - Program Review, and the campus contacts identified in submission dossier.

B. Proposal for a M.A./Ph.D. Program in Religious Studies at UC Riverside – *Lead Reviewer Michael Hanemann*

ISSUE/REPORT: Professor Hanemann has received one external review and he is following up on another. The external reviewer's main concerns are the commitment of faculty and library resources, the availability of language courses, and the placement of graduates. Professor Hanemann has also sent a list of questions to the proposers and is waiting upon their response to schedule a site visit.

DISCUSSION: The committee stressed the need for a commitment of faculty resources to the program from the EVC. In particular, the committee was interested in seeing which faculty would be teaching which courses.

ACTION: Professor Hanemann will send a summary of the external reviewer's letter to the proposers. He will also obtain the letter from the second external reviewer for the December meeting. Finally, he will follow-up with the proposers on the questions raised by the committee and the external reviewer.

C. Proposal for a new Interdepartmental Ph.D. Program in Health Economics at UCLA – *Lead Reviewer Reen Wu*

ISSUE/REPORT: Professor Wu reported that the letters he has received from the three internal reviewers are positive, but they raise certain questions that he will investigate. These questions include the length of time necessary to obtain the degree (four or five years?); the number of faculty in the field of business (the proposal lists five in economics, but only one in business); and high-profile faculty retention.

DISCUSSION:

- **Core Courses:** It was noted that there are not any health economics courses in the core courses, only health courses

- **Financial Aid:** The question was raised as to how UCLA plans to support four graduate students if each department will support one graduate student every other year. Although teaching assistantships will be available, the proposal assumes that students will be of high enough quality to get these teaching assistantships. Also, the proposal provides for a \$15,000 stipend in the first year that will not be competitive.
- **Administrative Structure:** The program is not only inter-departmental, but also inter-divisional, and the proposal did not address exactly who would be responsible for program review (i.e. the reporting Dean). The UCLA member responded that the Graduate Council conducts reviews for all inter-divisional programs, but agreed that this issue should be highlighted to the proposers.

ACTION: Professor Wu will send the proposal out to the external reviewers, who he is currently selecting. He will also make some initial queries to the proposers about the issues raised by the committee.

D. Proposal for a new Interdepartmental Master of Financial Engineering at UCLA
– Lead Reviewer Stephen Ritchie

ISSUE/REPORT: Professor Ritchie reported that a request for comments on the Curriculum Vitas to the four UC Deans with a deadline of December 1st. He is currently selecting external reviewers.

DISCUSSION: The committee expressed concern about faculty support for the proposed courses—in particular if UCLA has designated specific faculty for specific courses. Library support was another issue identified by the committee.

ACTION: Professor Ritchie will contact the external reviewers by the December meeting.

E. Proposal for a new Ph.D. Program in Culture and Theory at UCI – Lead Reviewer Harvey Sharrer

ISSUE/REPORT: Professor Sharrer shared the concern that even though the proposers tried to attract the interest of the departments of Latino/Chicano Studies, the Director's letter from that department showed less than keen interest in the proposed program. He also noted that although the title places emphasis on "culture and theory", the proposal narrows the program emphasis to race, gender, and sexuality. Other concerns centered on the level of budgetary and financial support (for graduate students), inclusion of the School of Social Sciences, and the reporting mechanisms of the program. Finally, Professor Sharrer noticed that there was a now-defunct program (B.A./M.A./Ph.D.) in Comparative Culture within the School of Social Sciences (which may have included topics that would be of interest to the proposed program).

DISCUSSION: This proposal raised the general issue of lack of funding for inter-departmental programs, which seems to be a problem on some campuses, and deserves the attention of CCGA. The student representative (from UCI) noted that the Comparative Literature Department is initiating an effort to split off and develop

programs very similar to the topics presented in this proposal (especially if this proposal does not pass).

ACTION: Professor Sharrer will get a letter from the new Chair of the Department of Asian-American Studies at UCI, as well as letters from the Chairs of similar departments from other UC campuses that have not been consulted yet. He will also send the proposal out to external reviewers. Todd Giedt will gather information from the TDD of the Comparative Studies program.

XIII. Executive Session - Members only

The meeting was adjourned at 4:05 p.m.

Attest: Quentin Williams, CCGA Chair
Prepared by: Todd Giedt, Committee Analyst

Distributions

1. “Findings from the Graduate Student Support Survey: Trends in the Comparability of Graduate Student Stipends, 2001 and 2004.” Office of the Associate Vice President, Student, Academic Services and Student Financial Support, Office of the President. October 2004. (Distributed electronically after the meeting.)
2. UCI Graduate Student Enrollments Table
3. Cost of Research Assistant to Grant Graph
4. “Why Do Some L&S Faculty Want to Remain in the College of L&S.” Report from Professor Wu on the item XI, “Reconstitution of the Division of Biological Sciences to the College of Biological Sciences at UCD.”
5. External Review Letter for the Proposal for a M.A./Ph.D. Program in Religious Studies at UC Riverside from Harry Gamble, University of Virginia. (Distributed electronically prior to the meeting.)
6. Initial UCI Graduate Student Response for the Proposed Culture & Theory Program
7. “Some Questions” on the proposed UCI Culture & Theory Program (from the UCI student representatives)
8. Concurrent Resolution Draft Letter (and related documentation)