

UNIVERSITY OF CALIFORNIA

BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE
SAN DIEGO • SAN FRANCISCO • SANTA BARBARA • SANTA CRUZ



TELECONFERENCE OF THE ASSEMBLY OF THE ACADEMIC SENATE

Wednesday, April 13, 2016

10:00 am - 12:00 pm

To participate in the teleconference, contact your divisional Senate office for the location of a central meeting place. If you are off-campus, you may join the video and internet audio from PC, Mac, Linux, iOS, or Android at <https://zoom.us/j/314207933>

Or join by phone: +1 646 558 8656 (US Toll) or +1 408 638 0968 (US Toll)

Meeting ID: 314 207 933

I.	ROLL CALL OF MEMBERS	2
II.	MINUTES [ACTION]	
	Approval of the Draft Minutes of the Meeting of February 10, 2016	3-7
	Appendix A: Assembly Attendance, February 10, 2016	8
III.	ANNOUNCEMENTS BY THE CHAIR	
	▪ J. Daniel Hare	
IV.	ANNOUNCEMENTS BY THE PROVOST	
	▪ Aimée Dorr	
V.	REPORTS OF STANDING COMMITTEES	
	A. Academic Council	
	▪ Dan Hare, Chair Academic Council	
	1. Nomination and Election of the Vice Chair of the 2016-17 Assembly [ACTION]	9-11
	2. Ratification of 2016 Oliver Johnson awardees [ACTION]	11-13
VI.	SPECIAL ORDERS	
	A. Consent Calendar [NONE]	
VII.	REPORTS ON SPECIAL COMMITTEES [NONE]	
VIII.	UNIVERSITY AND FACULTY WELFARE REPORT [NONE]	
IX.	PETITIONS OF STUDENTS [NONE]	
X.	UNFINISHED BUSINESS [NONE]	
XI.	NEW BUSINESS	

I. Roll Call

2015-16 Assembly Roll Call April 13, 2016

President of the University:

Janet Napolitano

Timothy Tait

Academic Council Members:

J. Daniel Hare, Chair

James Chalfant, Vice Chair

Robert Powell, Chair, UCB

Andre Knoesen, Chair, UCD

Alan Terricciano, Chair, UCI

Leobardo Estrada, Chair, UCLA

Cristian Ricci, Chair, UCM

Jose Wudka, Chair, UCR

Robert Continetti, Chair, UCSD

Ruth Greenblatt, Chair, UCSF

Kum-Kum Bhavnani, Chair, UCSB

Donald Brenneis, Chair, UCSC

Ralph Aldredge, Chair, BOARS

Valerie Leppert, Chair, CCGA

Colleen Clancy, Chair, UCAAD

Michael Stenstrom, Chair, UCAP

Tracy Larrabee, Chair, UCEP

Calvin Moore, Chair, UCFW

Judith Habicht Mauche, Chair, UCORP

Shane White, Chair, UCPB

Los Angeles (8)

Roman Koropecjy

Dorothy Wiley

Purnima Mankekar

Hanna Mikkola

Ninez Ponce

E. Richard Stiehm

Frank Petrigliano

Christopher Tilly

Merced (1)

Robin Maria DeLugan

Riverside (2)

Mary Gauvain

Jodi Kim

San Diego (5)

Nadine George

Grant Goodall

Joanna McKittrick

Gail Heyman

Gentry Patrick

Berkeley (5)

Alexis T. Bell

Peter R. Glazer

Kris Gutierrez

Miryam Sas

Theodore Slaman

San Francisco (4)

Marek Brzezinski

John Feiner

David Saloner

Laura Wagner

Davis (6)

William Casey

Andrea J. Fascetti

Richard Tucker

Robert L. Powell

Chris van Kessel

Fran Dolan

Santa Barbara (3)

Charles Akemann

Eric Matthys

Xiaorong Li

Irvine (4)

Sameer Ashar

David Kay

Karamet Reiter

Santa Cruz (2)

Olof Einarsdottir

Dorian Bell

Secretary/Parliamentarian

George J. Matthey

MEETING OF THE ASSEMBLY OF THE ACADEMIC SENATE

February 10, 2016

MINUTES OF TELECONFERENCE MEETING

I. ROLL CALL OF MEMBERS

Pursuant to the call, the Assembly of the Academic Senate met on Wednesday, February 10, 2016. Academic Senate Chair J. Daniel Hare presided and called the meeting to order at 10:00 am. Senate Director Hilary Baxter called the roll of Assembly members and confirmed a quorum. Attendance is listed in Appendix A of these minutes.

II. MINUTES

ACTION: Assembly approved the minutes of the December 9, 2015 meeting as noticed.

III. ANNOUNCEMENTS BY THE CHAIR

- **J. Daniel Hare**

Senate Chair Hare noted that the main purpose of today's meeting is to acquaint Assembly members with the report and recommendations of the President's Retirement Options Task Force (ROTF), the results of the systemwide Senate review of the report, and the contents of an Academic Council letter now being finalized, which summarizes the views of Senate divisions and systemwide committees.

IV. REPORTS OF STANDING COMMITTEES

A. Academic Council

- **J. Daniel Hare, Chair**

Retirement Options Task Force: As part of the budget agreement with the state, UC agreed to implement a new pension tier for UC employees hired on or after July 1, 2016 that includes a cap on pensionable salary aligned with the state's Public Employee Pension Reform Act (PEPRA), in exchange for \$436 million in Proposition 2 funds paid to UCRP over three years. The agreement also allows UC to offer a supplement to the PEPRA cap to select groups of employees. President Napolitano assembled a Task Force to advise her about the design and implementation of a new tier that will meet these requirements and also preserve the competitiveness of UC retirement benefits and the financial sustainability of UCRP. Four Senate representatives served on the Task Force. The recommendations for the 2016 tier were released for Senate review on January 15, and comments are due February 15. The Senate chair and vice chair also collaborated on a Guide to Reviewing the Report that focuses on key points.

The Council letter summarizes comments submitted by Senate reviewers, and notes that the comments cannot be ascribed to self-interest because reviewers understood that no active employees would be directly affected by the new options. Senate divisions were unanimous that the agreement to adopt the PEPRA cap and any retirement plan in response to the cap will significantly reduce the value of UC's retirement benefit for future employees and undermine the ability of UC campuses to make the competitive offers necessary to recruit and retain outstanding faculty members. Reviewers were also concerned about the impact of the 2016 tier on the future of UC, noting that the quality of a UC education is a direct reflection of the quality of the faculty who provide that education. Reviewers expressed concern that the decision to adopt the cap was made in haste and without the expected and necessary Senate consultation.

Divisions noted that the ROTF was given an impossible task – to preserve total remuneration, protect the viability of UCRP, and generate significant saving. Only the second is likely to be achieved through the current recommendations, largely as a result of past actions such as the adoption of the 2013 tier and subsequent UC funding and borrowing decisions. They noted that the only way to achieve savings is to reduce benefits.

The Council letter summarizes the divisions' analysis of the recommendation to offer new employees a choice of two plans. Under Plan A, employees would be covered by a Defined Benefit (DB) plan up to the PEPRA limit, plus a supplemental Defined Contribution (DC) benefit that includes an additional employer/employee contribution equivalent to 10%/7% of pay on income over the PEPRA limit. Most divisions recognized that for employees whose salary crosses the cap in mid-career, the DC supplement under Plan A would be too little-too-late to fully compensate for the effects of the cap compared to the 2013 tier. Plan A would reduce the faculty's incentive to stay at UC throughout their career, or to retire at an appropriate age, as faculty may need to work beyond 70 to achieve sufficient retirement income. A majority of the divisions agreed that under Plan A, the "UAAL surcharge" should be paid by the employer on salaries both below and above the PEPRA cap, up to the IRC limit, to help support the UCRP funding policy. Under Plan B, employees would be covered by a stand-alone DC plan with an employer/employee contribution of 10%/7% up to the Internal Revenue Code (IRC) limit. Divisions understood that the projected income replacement under Plan B would be insufficient to preserve competitive total remuneration, and would reduce the incentive for employees to decline outside offers in early- or mid-career and retire at a targeted age.

Most divisions agreed with the ROTF recommendation to make Plan A the default option, and several opined that the length of time for faculty who choose Plan B to make a second choice to Plan A should be 7-8 years later (compared to the 5 years recommended in the report) to allow faculty to change at the tenure point. UC will be requesting a Private Letter Ruling from the IRS about the possibility of offering a second choice at different times for different segments of employees.

An updated total remuneration analysis released last week confirmed that both Plans A and B would compound the competitive shortfall outlined in the 2014 Total Remuneration study. Senate reviewers noted that UC would need to increase cash compensation to preserve competitive total remuneration under the reduced benefits of the 2016 tier. Reviewers were also concerned that creating a two-tier pension system with significantly different benefits will harm

equity and morale, could exacerbate existing financial disparities by race and gender, and could further impair UC's ability to recruit and retain diverse faculty. There were also concerns that the 2016 tier could be particularly harmful to the recruitment and retention of faculty on the Health Sciences Compensation Plan, because those faculty tend to begin careers later in life, at salaries that are already close to the PEPRA cap. The Council letter notes that any plan adopted should apply equally across the board to all employee groups for the sake of simplicity and fairness.

Several divisions asked to review alternative plans. There was no time to develop formal alternatives; however, initial modeling suggests there is a viable alternative to Plan A involving additional employer and employee contributions to a supplementary DC plan beginning on the first day of hire and on the first dollar earned, irrespective of one's salary below the cap, to take advantage of the significant power of compound interest.

The 2016 tier will not have a significant impact on UCRP's funded status or generate significant savings; instead it will cost UC more from higher salaries and increased retention costs, and has the potential to change the relationship between UC and its world class faculty.

Discussion: It was noted that the PEPRA cap will apply to all employees, including members of the Senior Management Group. It was noted that it may be possible to modify or add to the options in the report, but unlikely for any delay to the decision or implementation timeline. Assembly members encouraged Senate leaders to request additional modeling of alternatives to Options A and B and their benefits and costs, particularly what level of additional salary and or DC supplement might bring the 2016 tier into more competitive alignment with the 2013 tier. It was noted that alternatives with higher DC contributions may help mitigate the damage, but none are likely to make the 2016 tier as competitive as the 2013 tier; moreover, there is limited time to develop more acceptable models.

Several Assembly members urged the Senate to reject the plan and express its dissatisfaction through a formal Memorial. It was noted that the 2016 tier will not produce savings, but will increase overall costs for the University, push the additional costs associated with making up the PEPRA cap to the campuses, shift total remuneration from benefits to salary, and increase the funding disparity between campuses. It was noted that the Regents' new UCRP funding policy is already addressing the problems created by the earlier contribution holiday, and UC should encourage the state to live up to its full obligation to UCRP. It was also noted that the Senate should consider the potential consequences of a full rejection of the mandate, as the Legislature expects UC to adopt the PEPRA cap as a measure of fairness to other state employees subject to the PEPRA legislation.

MOTION: The Berkeley Division introduced a motion for an Assembly resolution regarding the imposition of the PEPRA cap on the University and the discontinuation of the current pension plan. There was a vigorous discussion about the wording of the resolution. Several friendly amendments were made and accepted. The motion was seconded.

ACTION: A roll call vote was taken, and the resolution passed unanimously, with one abstention, as follows.

Resolution of the Assembly of the Academic Senate of the University of California

WHEREAS:

Through its path-breaking research and providing the state with a high-skilled workforce, the excellence of the University of California system plays a well-documented and vital role in keeping the California economy thriving; and

That excellence is also critical to providing access for all segments of California's society to a cutting-edge education that makes them competitive for the best jobs and the best graduate and professional schools, thereby aiding social mobility and the goal of a more just society; and

That excellence remains dependent on the ability of the University of California to attract and retain the best faculty; and

That ability is dependent on offering faculty total remuneration that is competitive *vis-à-vis* other institutions; and

As documented in the Retirement Options Task Force (ROTF) report, the analysis of Professors Chalfant & Hare, UCFW's report, UCPB's report, and the Divisions' reports, the proposal to accept the Public Employees Pension Reform Act (PEPRA) cap and to adopt either pension plan put forth in the ROTF report means offering an inferior pension plan to new employees *vis-à-vis* the current pension plan (the 2013 Tier), thereby reducing the value of that component of their remuneration,

BE IT RESOLVED THAT:

The Assembly rejects the imposition of the PEPRA cap on the University of California and the discontinuation of the current pension plan in the absence of any plan or program to fund or to provide compensating increases in total remuneration, so as to prevent harming the mission of the University of California by eroding its ability to recruit and retain the best faculty.

IT IS FURTHER THE ASSEMBLY'S SENSE THAT:

As documented in the reports of the Divisions, the cost of providing such compensating increases, as well as other resulting costs, could well exceed any savings resulting from adopting either pension option offered in the ROTF report (including factoring in the \$436 million that has been offered by the State), which argues that, at the very least, further analysis and planning are warranted prior to their possible adoption to ensure that the University does not pursue an action that is costly and damaging.

- V. UNIVERSITY COMMITTEE ON FACULTY WELFARE REPORT [None]**
- VI. SPECIAL ORDERS**
 - A. Consent Calendar [None]**
- VII. REPORTS ON SPECIAL COMMITTEES [None]**
- VIII. PETITIONS OF STUDENTS [None]**
- IX. UNFINISHED BUSINESS [None]**
- X. NEW BUSINESS [None]**

The meeting adjourned at 12:00 pm

Minutes Prepared by: Michael LaBriola, Academic Senate Analyst

Attest: J. Daniel Hare, Academic Senate Chair

Attachments: Appendix A – Assembly Attendance Record, Meeting of February 10, 2016

Appendix A – 2015-2016 Assembly Attendance Record, Meeting of February 10, 2016

President of the University:

Janet Napolitano

Timothy Tait

Academic Council Members:

J. Daniel Hare, Chair
James Chalfant, Vice Chair
Benjamin Hermalin, Chair, UCB
Andre Knoesen, Chair, UCD
Alan Terricciano, Chair, UCI
Leobardo Estrada, Chair, UCLA
Cristian Ricci, Chair, UCM
Jose Wudka, Chair, UCR
Kaustuv Roy (alt for Robert Continetti,
Chair, UCSD)
Ruth Greenblatt, Chair, UCSF
Kum-Kum Bhavnani, Chair, UCSB
Donald Brenneis, Chair, UCSC
Ralph Aldredge, Chair, BOARS
Valerie Leppert, Chair, CCGA
Colleen Clancy, Chair, UCAAD (absent)
Michael Stenstrom, Chair, UCAP (absent)
Tracy Larrabee, Chair, UCEP
Calvin Moore, Chair, UCFW
Judith Habicht Mauche, Chair, UCORP
Shane White, Chair, UCPB

Los Angeles (8)

Roman Koropecjy
Dorothy Wiley (absent)
Purnima Mankekar (absent)
Hanna Mikkola (absent)
Ninez Ponce
E. Richard Stiehm (absent)
Frank Petrigliano (absent)
Christopher Tilly

Merced (1)

Thomas Hansford (alt for Robin Maria
DeLugan)

Riverside (2)

Mary Gauvain
Jodi Kim (absent)

San Diego (5)

Nadine George (absent)
Grant Goodall
Joanna McKittrick
Gail Heyman
Gentry Patrick

Berkeley (5)

Alexis T. Bell
Peter R. Glazer (absent)
Kris Gutierrez
Miryam Sas (absent)
Theodore Slaman

San Francisco (4)

Marek Brzezinski
Farid Chehab (alt for John Feiner)
David Saloner
Laura Wagner

Davis (6)

William Casey (absent)
Andrea J. Fascetti
Richard Tucker
Robert L. Powell
Chris van Kessel
Fran Dolan

Santa Barbara (3)

Charles Akemann (absent)
Eric Matthys
Xiaorong Li (absent)

Irvine (4)

Brian Cummings (alt for Sameer Ashar)
David Kay
Karamet Reiter

Santa Cruz (2)

Olof Einarsdottir
Dorian Bell

Secretary/Parliamentarian

George J. Matthey

III. ANNOUNCEMENTS BY THE CHAIR

- **J. Daniel Hare**

IV. ANNOUNCEMENTS BY THE PROVOST

- **Aimée Dorr**

V. SPECIAL ORDERS [NONE]

VI. REPORTS ON SPECIAL COMMITTEES [NONE]

VII. REPORTS OF STANDING COMMITTEES

A. Academic Council

1. Nomination and election of the Vice Chair of the 2016-17 Assembly

Senate Bylaw 110. A., which governs the election of the Vice Chair of the Assembly, states: “The Assembly elects a Vice Chair who is a Senate member from a Division other than that of the incoming Chair, to assume office the following September. The Academic Council submits a nomination. Further nominations may be made by the Assembly members from the floor, and on written petition by twenty-five Senate members. The Vice Chair also serves as Vice Chair of the Academic Council. The following year the Vice Chair becomes Chair of the Assembly and the Academic Council. Neither the Chair nor the Vice Chair may serve as a Divisional Representative.” In accordance with Bylaw 110.A, the Academic Council submits its nomination of Professor Shane White as 2016-2017 Vice Chair of the Assembly. Professor White was selected as the Council’s nominee at its March 30, 2016 meeting. Professor White’s qualifications and personal statement are as follows:

**SHANE N. WHITE, CURRICULUM VITAE
SHANE N. WHITE, BDentSc, MS, MA, PhD**

Shane graduated from dental school at Trinity College, Dublin, Ireland in 1985. He spent several years in private practice and part time teaching in Dublin. He then received Certificates in Prosthodontics and in Endodontics, an MS degree in Oral Biology from UCLA, as well as a PhD in Craniofacial Biology from USC.

He has won several major awards for his research. These include the American Academy of Fixed Prosthodontics Tylman Prize, the International Association for Dental Research Prosthodontic Group New Investigator Prize, the American Academy of Esthetic Dentistry Pincus Research Grant Award, and a Zumberge Fellowship from the University of Southern California. Amongst other research grants, he has held a handful of National Institutes of Health (NIH/NIDCR) grants as principal investigator. His current research interests include dental biological materials, genetic-structural relationships in enamel, and in endodontic outcomes. He has authored over 120 research papers in both high impact basic science and leading clinical journals. He has given over 300 invited scholarly presentations around the world.

He taught in the Department of Restorative Dentistry / Biomaterials at the USC School of Dentistry from 1990 before returning to UCLA in 2009 as an associate professor. He has enjoyed teaching in DDS, specialty residencies, and graduate degree programs, most especially in research mentoring of DDS students. He is Director of Integrative Education, Division of Constitutive and Regenerative Sciences.

Currently, he is a Professor at the UCLA School of Dentistry, where he has served as Chairman of the Section of Endodontics. He is also a faculty member of the UCLA Center for Esthetic Dentistry and of the Center for Craniofacial and Molecular Biology at the Herman Ostrow School of Dentistry of USC.

UC system-wide Senate committee service includes: UCFW 2005-15, chair 2009-10; UCFW HCTF 2008-10; UCFW TFIR 2008-16, chair 2011-13; UCPB 2013-16, chair 2015-16; Assembly 2009 alt, 2009-10, 2015-2016; Council 2008 alt, 2009-10, 2015-16; & ACSCANR alt 2015. UC system-wide Senate workgroup service includes: Total Remuneration Study Advisory Work Group 2008; UCAP/UCFW/UCPB Salary Scales Subcommittee 2010, Senate Chair's Finance Work Group 2010; & Health Sciences Faculty Total Remuneration Study Workgroup 2010-12. UC system-wide joint Senate Administrative joint service includes: HSCP/APM670 workgroup 2008, chair; Provost's Budget Call 2001, 2015-16; Joint Senate/Admin Task Force on Mandatory Training (SAAWRT), co-chair 2011-12; UC Technology Transfer Advisory Committee (TTAC) 2014-16; UC Education Abroad Program (UCEAP) Governing Committee 2014-16; UCEAP Finance subcommittee, chair 2015-16; MRU Review Template workgroup 2015; UC MEXUS 15-Year Sunset Review Workgroup 2015-16; & Provost's Academic Planning Council 2015-16. UC administrative service includes: UC Health Plan RFP Bid Team guest 2007; President Yudof's Task Force on Post-Employment Benefits (PEB); UCRS Advisory Board 2011-2015, 2x chair; & President Napolitano's Retirement Options Task Force (ROTF) 2015-16.

UCLA Divisional Senate service includes: Faculty Welfare Committee 2004-8 & 2010-11 chair 4x; UEPRRC 2005-08 & 2010-11; Council of Senate & FEC Chairs 2005-10; Legislative General Assembly 2007-09; Senate Executive Board 2007-09; Campus Climate Assessment Workgroup, guest, 2011; & Council on Planning and Budget 2011-14.

UCLA School of Dentistry service includes, amongst much other: Curriculum Committee 2000-2 & 2013-14, chair; Merit Increase and Lecturer Appointments 2001-07 chair; Appointments, Promotions & Appraisals Committee 2007-10 & 2014-16 chair; & many accreditation committees. USC School of Dentistry service, as a fresh assistant professor, included: Research Committee 1991-7; Faculty Development Committee (appointments & promotions) 1993-97; & Finance Committee 1996-97.

SHANE N. WHITE CHALLENGES AND PRIORITIES FOR THE UC SYSTEMWIDE ACADEMIC SENATE

The University's challenges are the Senate's challenges. The University has suffered from the State's disinvestment in higher education. Problems became more acute during the great recession and the recovery did not restore funding to prior levels. The University's relationship with the State became distant, and the State became perceived as an unreliable partner. It is hoped that the current budget framework will restore the State's confidence in the University and provide renewed stability, but this may be a naïve hope. State disinvestment has been a long and steady process, unlikely that there will be an abrupt change in trajectory. Although the current budget framework provides a couple of years of stability, future levels of state support, revenues, tuition, and the health of the medical enterprise remain uncertain. Operating costs continue to rise, but revenues may not keep pace. The University also faces substantial fiscal liabilities, UCRP, Retiree Healthcare, deferred maintenance, and seismic upgrading needs. This is at a time that the State is not supporting capital projects, but needs grow. Merced must grow, older campuses have legacy infrastructure issues; even the newer campuses are turning 50. The long term fiscal stability of the University is a preeminent challenge. Most extant problems could be addressed by adequate funding; in the meantime, difficult strategic decisions must be carefully made so that access and quality can best be maintained.

While shared governance is a distinctive and successful part of the UC culture, it must be actively and collegially engaged by all, in order for it to be effective. The UC has historically been well served by practices of consensus building and transparency. Shared governance can be a fragile thing. Trust must be earned and maintained by all; but, surprises, secrecy, or unilateral action can cause great harm. Presently, rather few of the administrative leaders have academic roots, making internal communication and collaboration even more important. The university must work to be united in the political and fiscal arenas if we are to be effective in maintaining the quality of our teaching, research, and service missions.

The Senate must effectively provide its unequalled expertise and experience to the university Administration. The Senate is a thin but strong web that reaches through the entire depth and breadth of the University. The Senate can and should help the administration to avoid unforced errors, own goals, and avoid poor communications, but should not put itself in the position of carrying the Administration's water. The recent cybersecurity furor provides examples of inadequate Senate consultation and of poor administrative communications. Long repeated administrative assertions that the non-resident student pool was of higher quality metrics than California students, and that no California residents were replaced by non-resident students, could not be substantiated, causing considerable upcoming political liability.

The Senate must engage a shifting range of issues related to budgetary planning, investment management, health enterprises, enrollment management, total remuneration, relationships with other higher education segments, undergraduate education, graduate education, transfer pathways, streamlined pathways to graduation, technology transfer including UC Ventures and equity for access, diversity issues affecting faculty, staff and student bodies, international activities, sexual harassment and violence, self-supporting programs, changes in educational delivery including online methods, amongst others, as well as the many transactional reviews essential to the continuous evolution and growth of our operations. This is just an abbreviated list of the issues faced by the Senate; the Council chair and vice chair must facilitate and promote the incredible talents of the Council members and the entire Senate body upon best addressing the future of the UC in providing access and affordability to the UC's preeminent teaching, research, and service successes.

ACTION REQUESTED: Election of the 2016-2017 Assembly Vice Chair

2. Ratification of the 2016 Oliver Johnson Award

The Oliver Johnson Award for Service to the Academic Senate is given biennially to a member or members of the UC faculty who has performed outstanding service to the Senate. Its broader goal is to honor, through the award to the recipient, all members of the faculty who have contributed their time and talent to the Senate.

Nominations for the award are made through Divisional Committees on Committees to the Universitywide Committee on Committees (UCOC). UCOC, in turn, submits the names of two nominees to the Academic Council. Robert Anderson (UCB) and Katja Lindenberg (UCSD) were chosen as the awardees by the Academic Council at its meeting on March 30. The Assembly is asked to ratify the Academic Council's choice of recipients of the 2016 Oliver Johnson Award.

Re: Oliver Johnson Award Nominations

Dear Dan:

The University Committee on Committees (UCOC) is nominating Professor Robert M. Anderson (UCB)

and Professor Katja Lindenberg (UCSD) for the 2016 Oliver Johnson Award for Distinguished Leadership in the Academic Senate. We selected these two names from a handful of nominations, all of which reflected extraordinary service on both the divisional and systemwide level, as well as stellar records of academic achievement.

Robert M. Anderson (UCB)

Professor Robert Anderson is an Emeritus Professor of Economics and Mathematics and Coleman Fung Professor Emeritus of Risk Management at UC Berkeley. Professor Anderson's years of leadership and numerous contributions to the Berkeley division, the systemwide Senate, and in particular, to faculty welfare throughout the UC system, exemplify the highest qualities associated with this prestigious award. Professor Anderson's contributions to the welfare of UC faculty are many and profound. In the early 1990s, he distinguished himself as an especially effective leader in the effort to extend UC's health and retirement benefits to same-sex domestic partners—an effort which was ultimately successful. His sustained focus on this important issue is a testament to Professor Anderson's commitment to maintaining the University's high standards of fairness and equity, ensuring that it attracts, retains, and rewards the finest faculty and staff.

In 2002, Professor Anderson was called upon to chair the systemwide Task Force on Investments and Retirement (TFIR). He provided not only steady leadership, but also a deep understanding of the issues related to the UC pension and retirement system. During his term as chair, TFIR took the lead in advocating for measures to strengthen the UC Retirement System, explaining the importance of, and justification for a resumption of employee and employer contributions. In 2011, Professor Anderson was elected to serve as the chair of the Academic Senate. He provided steady, thoughtful leadership as the Senate grappled with issues ranging from the expansion of online education to an examination of police responses to protests on UC campuses.

Professor Anderson also has a rich record of service to the Berkeley Division. One important assignment is the nine-year term he served as divisional parliamentarian. In that capacity, Professor Anderson helped the division navigate a number of challenging issues and meetings, always working to ensure the integrity of Senate processes. In 2009, he was the recipient of the Berkeley Faculty Service Award, which recognizes and honors outstanding and dedicated service to the Berkeley campus.

Katja Lindenberg (UCSD)

Professor Katja Lindenberg is a Distinguished Professor of Chemistry and Biochemistry and holds a Chancellor's Associate Chair at UC San Diego. She is an internationally regarded scientist, educator, mentor, and exemplary participant in divisional and systemwide faculty senate committees. She has established herself as a major campus leader with a long track record of service over her forty-six year career, and is regularly consulted on a wide array of issues. Notably she was involved on the search committees for three UC Presidents. Her list of activities both at UCSD and systemwide is extensive and includes serving on key task forces, working on critical gender issues, establishing new policies, and serving on search committees for top administrative leaders.

Divisionally, it is challenging to summarize all of her activities. Professor Lindenberg is one of only three female Academic Senate Chairs in the history of the San Diego Division. She served on virtually every standing committee including the two most time consuming ones, Committee on Academic Personnel (twice, once as Chair) and the Committee on Committees (twice). Professor Lindenberg was also involved in the Committee on Privilege and Tenure and currently serves as Chair. In addition, she was involved in several gender equity committees, serving as co-Chair of the Gender Equity Committee and a member of the Gender Equity Summit Planning Committee.

Systemwide, it is once again difficult to summarize the extent of the contributions Professor Lindenberg has made at this level. One contribution that is a testament to her reputation as a leader in the UC system is being chosen to serve on the search committee for three different UC Presidents. She was also on the search committee for one UCSD Chancellor (Khosla) and the review committees of two UC Chancellors. Professor Lindenberg served on and chaired the UC Committee on Academic Personnel, and helped reinvigorate the UC MEXUS program and served on the committee that hired the current Director. She was also on the UC Task Force committee that helped found UC Merced.

Enclosed are Professor Anderson's and Professor Lindenberg's nomination materials, as submitted by their respective Divisions. If you have any questions about these nominees, please let me know.

Sincerely yours,
Eleanor Kaufman

Chair, University Committee on Committees

ACTION REQUESTED: Ratification of 2016 Oliver Johnson Awardees

VIII. UNIVERSITY AND FACULTY WELFARE REPORT [NONE]

IX. PETITIONS OF STUDENTS [NONE]

X. UNFINISHED BUSINESS [NONE]

XI. NEW BUSINESS