



Bill Jacob
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*Chair of the Assembly of the Academic Senate
Faculty Representative to the Regents
University of California
1111 Franklin Street, 12th Floor
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June 18, 2014

**AIMÉE DORR
PROVOST AND EXECUTIVE VICE PRESIDENT
UNIVERSITY OF CALIFORNIA**

Re: Proposed Changes to the Compendium

Dear Aimée:

Academic Senate divisions and committees have reviewed the [proposed revisions](#) to the *Universitywide Review Processes for Academic Programs, Academic Units and Research Units* (the “Compendium”), released by your office for systemwide review in early April. Eight Senate divisions (UCB, UCI, UCLA, UCM, UCR, UCSB, UCSC, and UCSF) submitted comments. The Academic Council discussed the proposed revisions at a meeting on June 4.

The Academic Council appreciates the time and effort that went into the revision of this complicated and important document – one of the defining guides to shared governance at the University of California – and supports the proposed revisions in four of the five listed areas dealing with CPEC, five-year planning perspectives, circumstances requiring systemwide review of undergraduate program changes, and the Graduate Board process for joint graduate degrees with CSU. However, owing to a number of significant concerns expressed by Senate reviewers, the Council is unable to endorse the current version of the proposed revisions to Section V covering “Research Units.” Our concerns are so significant that we request further revision and another review of the research unit section. The concerns are summarized below.

Most importantly, Council agrees with the divisions (UCSC, UCB, UCLA, and UCR) that call for formal delineation of the Senate’s role in determining what multi-campus research receives support. The proposed new Compendium section on multi-campus research programs (MRPs) fails to accomplish this, lacking clarity about the extent to which the Vice President for Research and Graduate Studies (VPRGS) will be required to consult the divisions when determining overall systemwide research goals, or to involve the Senate in the review, ranking, and approval of individual MRP proposals. As written, the document does not appear to give the Senate any role in determining which proposals get funded, although consultation with the Senate is required in deciding what criteria to establish in each call for proposals. This omission amounts to a dramatic reduction of the Senate’s formal engagement in comparison to what is incorporated into the existing MRU process. The policy should detail the composition of the reviewing body and specific

procedures for reviewing proposals in each funding cycle, and in each case it should preserve a clear decision-making role for the Senate.

Reviewers at UCLA expressed a broader concern that much of the new language in Section V may be inappropriately creating new policy rather than formalizing procedures that reflect existing policy, which is the stated purpose and role of the Compendium. Specifically these reviewers pointed to policies in V.B.5 for the “sunset” reviews of MRUs and the new section V.C covering MRPs, and suggested that a systemwide faculty committee be convened to develop policies and rules that may later be adapted for the Compendium.

In fact, the 1993 [Administrative Policies and Procedures for Organized Research Units](#), which was in effect abrogated by the introduction of the MRPI competition in 2011, included specific provisions for Senate oversight of and participation in decisions about organized research. Council believes that revision of Compendium to reflect contemporary practice should preserve at least as much of a role for the Senate as was previously required.

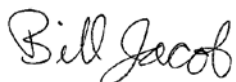
Council also urges that clear definitions be added that clarify the relationship between MRPs and MRUs, describe how they may interact, and provide a rationale for each category of organized research. The proposed language is particularly unclear in its provisions for MRUs that may make proposals for new MRPs. Council endorses the Riverside division’s suggestion that the document include a comparison table to help clarify the differences between MRUs and MRPs.

Finally, UCB asks for a justification for a proposed policy change that would require MRUs to include a minimum of three campuses rather than the two under the existing policy and the effect such a change would have on existing two-campus MRUs.

I am attaching the full text of the comments submitted by Senate divisions and committees for your reference. The Council recommends that the Academic Planning Council review and address the comments about Section V and produce a revised document.

Thank you for the opportunity to review and comment. Please do not hesitate to contact me if you have further questions.

Sincerely,



Bill Jacob, Chair
Academic Council

Encl: Proposed Revisions to the Compendium – All Senate Comments

Cc: Academic Council
Executive Director Winnacker
Senate Analysts
Senate Executive Directors



May 27, 2014

WILLIAM JACOB
Chair, Academic Council

Subject: Proposed revision to the Compendium: universitywide review processes for academic programs, academic units, and research units

Dear Bill,

On May 12, 2014, the Divisional Council (DIVCO) of the Berkeley Division discussed the proposed revisions to the Compendium, informed by commentary from our divisional committees on Educational Policy, Research (COR), and Graduate Council.

While we are generally supportive of the proposal, especially those related to review processes for academic programs and units, we discussed a number of concerns raised by COR, with respect to multi-campus research units. We believe these issues should be considered and addressed before the revision is finalized. A summary of the key points is appended.

Sincerely,

A handwritten signature in cursive script that reads 'Elizabeth Deakin'.

Elizabeth Deakin
Chair, Berkeley Division of the Academic Senate
Professor of City and Regional Planning

Encl.

Cc: Ronald Cohen, Chair, Committee on Educational Policy
Mark Stacey, Chair Graduate Council
George Roderick and Dylan Sailor, Co-chairs, Committee on Research
Linda Song, Associate Director staffing Graduate Council
Linda Corley, Senate Analyst, Committee on Educational Policy
Diane Sprouse, Senate Analyst, Committee on Research

May 7, 2014

Committee on Research
Berkeley Division of the Academic Senate

Re: Changes to document “Compendium: Universitywide Review Processes for Academic Programs, Academic Units and Research Units”

1). The old document required involvement of two campuses in MRUs; in the new one, it's three (or two plus one national laboratory). This may or may not be a prudent policy designed to achieve a desirable end (e.g., better likelihood of a MRU being genuinely rather than nominally multicampus?) but it is hard to say, as the reasons for the change are not spelled out and indeed the change is only presented in the accompanying summary as a clarification (p. 2). It seems imaginable to me that if there are sometimes good reasons to support a single-campus ORU and sometimes good reasons to support a three-campus MRU, there could well be scenarios in which a two-campus unit is the optimal configuration. The change also raises the question how this will affect any existing two-campus MRUs, which will apparently be defined out of existence as MRUs with this document. Will they be grandfathered in as MRUs despite not satisfying the criteria? Will they be expected to secure a third partner?

2). The inclusion of a fifteen-year sunset review for MRUs and the specification of automatic disestablishment of MRUs unless they request five-year reviews seem like good innovations that will help ensure the flow of resources to units that are making good use of them.

3). The revision envisions the possibility of UCORP delegating review of an MRU to the Committee on Research of the host campus (p. 36). I think this is probably not ideal and that UCORP should run its own MRU reviews. There is a genuine risk of an individual MRU turning out to be multicampus only in name and effectively an ORU of the host campus, and that sort of development would be one of the most compelling reasons to disestablish an MRU. To have the review conducted by the COR of the host campus is to place it in the hands of one of the bodies with least cause to be concerned about such a situation. If UCORP is committed to having the ability to delegate these reviews, it might make sense to say that they should be able to delegate it to the COR of any of the involved campuses *except* that of the host campus.

4). The description of MRP policy is deeply problematic. There is a two-sentence description of the proposal solicitation process, but when it comes to the review of the proposals received in response to the solicitation, nothing is offered beyond the sentence “New MRPs receive funding as a result of successful ranking in a systemwide competitive process administered by UCOP” (p. 39) and the enumeration of three priorities that will guide the proposal process:

- “New research initiatives for which seed funding could lead to future extramural funding, especially in areas where UC campuses are underfunded relative to other comparable research institutions.”
- “Areas of research and creative work that are underfunded by the government in relation to their perceived importance to the state or the nation.”
- “Emerging fields of study, innovative or multidisciplinary research and creative work with the potential to increase UC’s competitiveness” (p. 40).

Note that there is lacking any commitment to any specific procedures following the drafting of the call for proposals. Once proposals are received in response to the call, what will the review process look like? Will the proposals be evaluated by individuals, a committee, or multiple committees? Who will appoint these? What principles will govern those appointments? Are there further stages of approval for projects endorsed by evaluators? None of these questions is addressed in the language of the Compendium, and indeed the lack of specificity is so striking that I wonder whether a paragraph addressing them has not been left out of the document. In any case, it seems unacceptable to me that the document should be completely silent about anticipated norms and procedures of proposal review, because as it is there is no imaginable proposal review process that would *not* be consistent with the language of the Compendium.



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June 3, 2014

William Jacob, Chair, Academic Council
1111 Franklin Street, 12th Floor
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RE: SYSTEMWIDE REVIEW OF THE UNIVERSITY OF CALIFORNIA COMPENDIUM

Dear Bill,

The Irvine Divisional Academic Senate review of the University of California Compendium, but is submitting preliminary comments by the Council on Educational Policy and the Council on Planning and Budget. The Graduate Council will submit its comments following its meeting of June 5, 2014.

COUNCIL ON EDUCATIONAL POLICY (CEP)

At its May 1, 2014 meeting, the Council on Educational Policy (CEP) reviewed and approved revisions to sections of the UC Compendium directly related to undergraduate education policy and procedures:

1. CEP supports the proposed requirement for campuses to submit Five Year Planning Perspectives (FYPPs) biennially instead of annually, which is the current requirement. CEP members note that biennial reporting allows campuses more time to integrate long term planning with the possible establishment, transfer, consolidation, disestablishment, or discontinuation of undergraduate degree programs, graduate degree programs, schools and colleges, and research units.
2. CEP supports the proposed elimination of the link between FYPP reporting and program review. Currently the Compendium requires the planning of new programs to be listed in the FYPP in order to be considered for campus and system review.

CEP supports the revision because it gives departments and schools the opportunity to establish or disestablish academic programs more quickly in response to unexpected student demand, innovations in research, unanticipated funding streams, or other unforeseen events and circumstances. The revision is also an improvement to program review procedures for Irvine, CEP. The council already frequently receives submissions for program reviews that do not appear on the FYPP. Adding these program reviews to the FYPP at the last minute has become pro forma and, therefore, an unnecessary step in the program review process.

3. CEP supports the proposed deletion of references to the California Post-Secondary Education Commission (CPSE). CPSE is state agency for higher education policy and oversight and was recently eliminated.

COUNCIL ON PLANNING AND BUDGET

At its meeting on May 28, 2014, the Council on Planning and Budget (CPB) reviewed the revised UC Self-Supporting Graduate Professional Degree Program (SSGPDP) Policy. Some helpful clarifications are included in the revised Policy, and as a result previous CPB concerns are adequately addressed. As the UC Policy also explicitly allows campus policies for SSGPDPs (that do not conflict with the UC Policy, including the use of disallowed funds), we find that the revised Policy does not impose undue budgetary policy on the campus.

COUNCIL ON RESEARCH, COMPUTING, AND LIBRARIES (CORCL)

At its meeting on May 15, 2014, the Council on Research, Computing, and Libraries (CORCL) discussed the revisions to the Compendium. The Council found the proposed changes to be straightforward. However, it observed that the selection process of Multicampus Research Unit (MRU) Directors is both resource intensive and convoluted. Section V.B.2. Multicampus Research Unit Leadership and Appointments, Administrative Operations, and Annual Reports states the following:

The Director of an MRUs is appointed by the President or his/her designee after consultation with the Academic Council and with the advice of a Search Committee appointed by the President or his/her designee.

The Council believes that the Director should be identified in the proposal to establish an MRU as he/she is critical for the successful function of the Multicampus Research Unit, and should be located on the participating campus where the MRU is originated. When a Director position is vacated, the Council also believes that the MRU should propose the candidate for reappointment of the Director.

The Irvine Division appreciates the opportunity to comment.



Peter Krapp, Senate Chair

C: Martha Kendall Winnacker, Executive Director, Academic Senate

June 5, 2014

William Jacob
Chair, UC Academic Council

RE: Compendium: Universitywide Review Processes for Academic Programs, Academic Units and Research Units (Updated)

Dear Bill:

The Executive Board of the UCLA Division considered the proposed changes to the Compendium at its May 29th meeting. Given the timing at the end of the academic year, [responses from our committees](#) were sparser than usual. In general, the response was favorable to most of the changes or they were deemed non-controversial.

The one part of the compendium that provoked committee responses as well as a discussion in the Executive Board had to do with MRUs and MRPs. The College FEC advocated strongly against accepting this section at all. It argued, and the Executive Board concurred, that it appears that a new entity, Multicampus Research Programs, is being defined. As the College's letter highlighted, the solicitation letter stated that "It [the Compendium] reflects existing policies; it does not create or revise policy." The College FEC also expressed concern that the proposed policies for MRPs represented a mismatch between those policies and the research across the campuses (and with international partners) that MRUs were meant to encourage. The Committee on Planning and Budget expressed concerns about both the planning of new MRUs and their exclusion from the Five-Year Planning Perspectives as well as the process for terminating them. The Executive Board agreed that more consultation and oversight ought to be required, especially from the host campuses. Although there was an appreciation that proposals for continuation of an MRU beyond 15 years included discussion of the MRU's contributions to research, graduate *and undergraduate education, and public service*, the fact that those concerns were not included in the items enumerated under annual reports also reflected a disconnect, especially for particular types of MRUs, that might not serve them well in the reviews before a continuation review.

Despite our general enthusiasm for the new clarity that these revisions bring to other parts of the Compendium, the UCLA Division believes that these concerns about MRUs and MRPs need to be addressed before the revised document is approved.

Sincerely,



Jan Reiff
Chair, UCLA Division

Cc: Mary Gilly, Vice Chair, Academic Council
Michael LaBriola, Principal Policy Analyst, Academic Senate
Linda Mohr, CAO, UCLA Academic Senate
Martha Kendall Winnacker, Executive Director, Academic Senate

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OFFICE OF THE ACADEMIC SENATE
IGNACIO LÓPEZ-CALVO, CHAIR
senatechair@ucmerced.edu

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(209) 228-7954; fax (209) 228-7955

May 23, 2014

William Jacob, Chair, Academic Council

RE: Merced Division Comments on Compendium Revisions

The Merced Division Council, Standing and Executive Committees reviewed the proposed revisions to the Compendium and have no comments or suggested revisions.

We thank you for the opportunity to opine.

Sincerely,

A handwritten signature in black ink, which appears to read "Ignacio López-Calvo".

Ignacio López-Calvo, Chair
Division Council

CC: Division Council
Senate Office

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ACADEMIC SENATE, MERCED DIVISION
COMMITTEE ON FACULTY WELFARE, DIVERSITY & ACADEMIC FREEDOM
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April 9, 2014

To: Ignacio López-Calvo, Chair, Division Council

From: Rudy Ortiz, Chair, Committee on Faculty Welfare, Diversity, and Academic Freedom (FWDAF)

A handwritten signature in black ink, appearing to read "R. Ortiz", is placed below the "From:" line.

Re: Systemwide Request to Review Compendium Revisions

FWDAF appreciates the opportunity to review the proposed revisions to the Compendium but has no comments.

cc: FWDAF members
DivCo members
Senate office

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ACADEMIC SENATE, MERCED DIVISION
COMMITTEE ON ACADEMIC PERSONNEL (CAP)
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April 9, 2014

To: Ignacio López-Calvo, Chair, Division Council

From: Raymond Gibbs, Chair, Committee on Academic Personnel (CAP) *Raymond Gibbs*

Re: Systemwide Request to Review Compendium Revisions

CAP appreciates the opportunity to opine on the proposed revisions to the Compendium but has no comments.

cc: CAP Members
DivCo Members
Senate Office

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ACADEMIC SENATE, MERCED DIVISION
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April 23, 2014

To: Ignacio López-Calvo, Chair, Division Council

From: Ruth Mostern, Chair, Committee on Research (COR)

A handwritten signature in black ink, appearing to read "Ruth Mostern", is written over the "From:" line.

Re: Request to Review Proposed Compendium Revisions

COR appreciates the opportunity to opine on the proposed revisions to the Compendium but has no comments.

cc: COR Members
DivCo Members
Senate Office

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May 21, 2014

To: Ignacio López-Calvo, Chair, Division Council

From: Anne Kelley, Chair, Committee on Academic Planning and Resource Allocation *Anne Kelley*
(CAPRA)

Re: Systemwide Request to Review Compendium Revisions

CAPRA appreciates the opportunity to opine on the proposed revisions to the Compendium but has no comments.

cc: CAPRA Members
DivCo Members
Senate office



CHAIR, ACADEMIC SENATE
RIVERSIDE DIVISION
UNIVERSITY OFFICE BUILDING, RM 225

JOSE WUDKA
PROFESSOR OF PHYSICS AND ASTRONOMY
RIVERSIDE, CA 92521-0217
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May 13, 2014

William Jacob, Chair, Academic Council
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RE: Review of Proposed Changes to the Compendium

Dear Bill:

During its May 12 meeting the UCR Executive council discussed the proposed changes in the Compendium. There were serious concerns about some of the proposed changes and general dissatisfaction with the manner in which the document changes were presented, in particular we strongly urge for any future revisions to be accompanied by a concise justification.

The main concerns related to the proposed revisions to the MRU policies and the new MRP policy:

- The contents of the request for proposals for multi-campus research programs (section V.C) is solely the job of the VPRGS, no Senate consultation at any level is required; in fact no consultation *at all* is apparently required. Given the controversies that have surrounded the VPRGS office in the past this does not seem prudent.
- The requirements on the VPRGS in consulting with the divisions when determining the overall research goals are weak in the extreme (section V.C.1). Though in the past the VPRGS convened an advisory group (the Portfolio Review Group - PRG), there is no requirement for him/her to do so in the future; even the PRG is only mentioned in a footnote (presumably as an example of a mechanism the VPRGS might use to elicit input), and its role is qualified as only 'likely to have an effect'. This lack of specificity can lead to the disenfranchisement of one or more of the divisions depending on the whims of the VPRGS, or for the consultation with the Senate to devolve into a pro-forma exchange
- UCORP is (appropriately) singled out as the lead committee in most MRU/MRP actions, but there is no explicit requirement that this Committee elicit input from Council. And while this might be implicit, a specific requirement to this effect would be useful, providing an alternative avenue for the divisions to provide input
- It is doubtful that a blanket sunset policy is either sensible or wise

In addition, there was general confusion about the interrelation between the MRU, MRP and MRPI programs, as well as their differences; Executive Council suggests that a comparison table be included to clarify these issues.

I refer you to the attached memoranda from our Committee on Research and Graduate Council for more details.

Sincerely yours,
Jose Wudka
Professor of Physics & Astronomy and Chair of the Riverside Division

CC: Martha Kendall Winnacker, Executive Director of the Academic Senate
Cynthia Palmer, Director of UCR Academic Senate office

May 7, 2014

To: Jose Wudka, Chair
Riverside Division

From: Lynda Bell, Chair 
Graduate Council

RE: Proposed Compendium Revisions

As Chair of Graduate Council, I initially believed, per the explanatory memo from Provost Dorr's office, that we were not to comment on substantive matters related to policy in the proposed Compendium revisions. Subsequently, however, Chair Wudka and Executive Director Palmer informed us that they did want commentary on the substantive matters covered in the proposed revisions. Our meeting time had already passed and so we circulated the memo and the proposed revisions via email to our members. Although we did this twice, the length of the document and its messiness made it difficult for members to make sense of what they should think or do. Only one member commented on a matter related to CCGA processes; but as chair, I decided to write some commentary on the section related to MRUs and MRPs that seems excruciatingly opaque and confusing in its current form. What follows here is the one comment received on CCGA process from GC member O'Connor and my comments on the section on MRUs and MRPs.

On CCGA:

I agree generally with the proposed changes; the only part I was confused over was on p. 21, 6.3, regarding the CCGA's role for new proposals, in which the change suggests that the CCGA WILL modify proposals, rather than consider whether they should be modified. I know we're not supposed to wordsmith; however, the change made the CCGA's role less clear to me, rather than more so.

On MRUs and MRPs:

A few years back, a major shift was made with the disestablishment of several MRUs (Multiple-campus Research Units) and the creation of the new MRPs (Multiple-campus Research Programs). My understanding prior to reading the proposed revisions of the Compendium was that MRUs were to be replaced entirely by MRPs. Now, in fact, I am entirely confused by the language of the proposed revisions. So, for example, here are some of the most confusing statements (to me, at least), found on p. 42 (of the Word

document version of the document that was circulated):

MRUs can submit MRP proposals. An existing MRP that is not already part of an MRU may apply for MRU status at any point in its funding cycle.

New MRPs receive funding as a result of successful ranking in a systemwide competitive process administered by UCOP. The Office of the Vice President for Research and Graduate Studies issues an RFP which outlines guidelines and priorities for that competition cycle. Funding is administered as a research award, and the award terms are set out in the award notice. Renewals or extensions of funding beyond the initial award period are contingent on success in subsequent competition cycles.

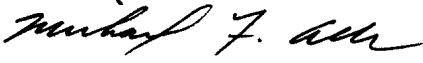
To me, these statements completely confound the interrelationship between the MRU and the MRP and furthermore, take the faculty out of the critical intellectual process of creating multiple-campus research endeavors (this may be an artifact of the text rather than a reflection of real practice). I believe this kind of thinking and policy direction could be devastating in the long run, and in fact, seems already to have had many deleterious consequences (to wit, this logic seems to have driven the defunding of the Humanities centers across the system for next year without faculty consultation).

My instinct, therefore, is to register deep concern that it is not at all clear what the role of the Academic Senate and the faculty as individuals is in the establishment and monitoring of MRPs. Moreover, and perhaps even more critically, the proposed revisions to the compendium make the future relationship between MRUs and MRPs murkier than ever before and completely impossible to envision. Finally, the new section on MRPs does not end in a full sentence. It merely trails off with a partial phrase and has no conclusion. This sloppy fact stands as an unintended metaphor for the murkiness of the entire section on MRUs and MRPs.



May 13, 2014

To: Jose Wudka, Chair
Riverside Division

From: Michael Allen, Chair 
Committee on Research

Re: **Proposed Revisions to the Compendium**

Members of the Committee on Research have concerns regarding the guidelines for selection of Multicampus Research Programs (p.47.) At least one of the MRP guidelines should be purely based on the potential outcome of the research itself, not its relative government funding level, nor its potential for attracting high levels of extramural funding, or any other criterion exogenous to the actual research program. Many fields of research do not have immediate measurable value to the state or to UC's competitiveness because they contribute to the study of far away times or places, or develop highly abstract ideas. UC needs to commit to funding good basic research - both humanistic and scientific - because that in itself provides value to the university, state, nation and world.

The committee's second concern involves the confusing sections regarding MRUs, MRPIs and MRPs. The three terms appear intermixed and should be carefully defined and used consistently. MRUs may apply for MRPs, but what is an MRPI (from the last call). The committee would appreciate a clear delineation of what each comprises.

Second, the committee is concerned with the appearance of the "sunset" evaluations for MRUs. The implication is that all should be sunset. We realize that there are processes for continuing, but the terminology appears inappropriate. An example is UC MEXUS. This particular MRU was not competed in the last MRPI competition. Is it subject to sunset? This has remained one of the most effective Multi-Campus efforts in the history of the UC, and remains highly effective in facilitating in UC collaborations with Mexico across the UC. The implication that it should be subject to "sunset" and a competition makes no sense.

Finally, the committee is concerned with the selection process for MRUs. The MRP Section V.C.1. Funding of New Multicampus Research Programs states:

“The Vice President for Research and Graduate Studies will seek the input of representatives from the Academic Senate and Campus Vice Chancellors for Research in determining the overall research goals and priorities that will be reflected in the call for proposals. The Vice President for Research and graduate Studies will also refer the draft call for proposals to the Chair of the Academic Council for review and comment by UCORP (the lead review committee), UCPB, and CCGA.”

And: “In 2012-13, the Vice President for Research and Graduate Studies (VPRGS) formed a Portfolio Review Group (PRG) composed of one Academic Senate member and one Senior Administrator from each campus.”

But, there is no confirmation that the Senate will remain involved in the decision process.



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Kum-Kum Bhavnani, Chair
Deborah Karoff, Executive Director

June 2, 2014

Bill Jacob, Chair
Academic Senate

RE: Compendium Review

Dear Bill,

The following Councils were asked to comment on the proposed revisions to the Compendium: Council on Faculty Issues and Awards (CFIA), Graduate Council (GC), Undergraduate Council (UgC), the Council on Research and Instructional Resources (CRIR), Council on Planning and Budget (CPB), and the Letters and Science Faculty Executive Committee (L&S FEC). CFIA chose not to opine. All other groups endorse the proposed revisions.

Thank you for the opportunity to comment.

Sincerely,

A handwritten signature in cursive script that reads "Kum-Kum Bhavnani".

Kum-Kum Bhavnani, Chair
Santa Barbara Division



Office of the Academic Senate
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May 15, 2014

William Jacob, Chair
Academic Council

Re: UCSC Response to Revisions to the Compendium

Dear Bill,

The Santa Cruz Division has reviewed the recommended revisions to the Compendium: Universitywide Review Processes for Academic Programs, Academic Units and Research Units. Our Committees on Educational Policy (CEP), Graduate Council (GC), Planning and Budget (CPB), and Research (COR) found the revisions unproblematic with two exceptions. It is appropriate that in turning from Multicampus Research Units (MRU) as the primary entity for UCOP central support of UC wide research collaborations to MRPs as the subject of support, that MRPs be acknowledged and documented in the Compendium.

While MRUs have always had a high level of engagement of the Senate and its committees in adjudicating proposals, we notice this engagement is not included in the process for vetting MRPs. In documenting the process for adjudicating the proposals, this revision to the Compendium does not include engagement of the faculty in determining what proposals get funded, but only what criteria are set forth in the call for proposals. This appears to be a diminution of faculty involvement compared to the MRU process, where the administration looks for Senate approval of proposals before funding them. The Compendium clearly articulates a process for vetting MRU proposals. The new section V.C. is comparatively silent on the adjudication of proposals for MRPs. It addresses development of the CALL, (page 40 of the Document):

"The Vice President for Research and Graduate Studies will seek the input of representatives from the Academic Senate and Campus Vice Chancellors for Research in determining the overall research goals and priorities that will be reflected in the call for proposals. The Vice President for Research and Graduate Studies will also refer the draft call for proposals to the Chair of the Academic Council for review and comment by UCORP (the lead review committee), UCPB, and CCGA."

It does not state who will be consulted on ranking the actual proposals. That Senate involvement in this decision making aspect is unclear. So while it articulates how the Call for Proposals will

be formulated, the Compendium then goes silent on how the funding decisions are actually made. Is it problematic that the existing section on MRU clearly spells out the process (and Senate involvement) and the MRP eliminates the Senate from the decision making on how funds are spent, especially since the limited UCOP funds are now more focused on supporting research through MRPs not MRUs.

UCSC does not recommend finalizing these revisions to the Compendium as currently written. We recommend that a more thorough description of how decisions will be made between proposals analogous to that in the MRU section. In its current form, this revision to the Compendium robs the process of faculty input and undermines the Senate's ability to participate.

Finally, our Committee on Educational Policy (CEP) noted there was one deletion of material that members felt could be helpful, on page 14, clarifying examples of the difference between degree title and disciplinary area. Members thought the document was better without the deletion of the example, but did not feel strongly enough to object to the document as is.

Sincerely,



Joe Konopelski, Chair
Academic Senate
Santa Cruz Division

cc: Tracy Larrabee, Chair, Committee on Educational Policy
Dan Friedman, Chair, Committee on Planning and Budget
Bruce Schumm, Chair, Graduate Council
Judith Habicht-Mauche, Chair, Committee on Research



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May 27, 2014

William Jacob, Chair
Academic Senate
University of California
Office of the President
1111 Franklin Street
Oakland, CA 94607

Re: Systemwide Review of Compendium Revisions

Dear Chair Jacob:

The members of the San Francisco Division of the Academic Senate appreciate the opportunity to opine on the proposed revisions to the University of California Compendium.

The Graduate Council and relevant committees of our Division have reviewed the proposed policy revisions and they have no comments to offer to the Systemwide Academic Senate.

Sincerely,

Farid Chehab, PhD
Chair, UCSF Academic Senate

CC:
Martha Kendall Winnacker, Executive Director, Academic Senate