# **Comprehensive Review in Freshman Admissions – Fall 2003:**

## <u>A Report from the</u> <u>Board of Admissions and Relations with Schools</u>

September 2003

# Contents

<u>Sect</u>	ion	Page
Exe	cutive Summary	i
Intr	oduction	1
<u>I. F</u>	ALL 2003 OUTCOMES OF THE COMPREHENSIVE REVIEW	
PR	<u>OCESS</u>	3
	A. Academic Quality	4
	B. Access	5
	C. Matriculation at UC Versus Other Institutions	7
	D. Conclusions	11
<u>II. (</u>	QUALITY AND RELIABILITY OF THE COMPREHENSIVE	
REV	VIEW PROCESS	12
	A. BOARS Review of Selected Admission Files	13
	B. Verification of the Accuracy of Information Used in the	
	Admission Process	14
	C. Improvements to the Personal Statement Prompt	15
	D. Improvements to Efficiency of the Process	16
	E. Clarity With Which Selection Policies and Processes	
	Are Communicated	17
	F. On-going Development of Campus Practices	18
III.	CHALLENGES FOR THE COMING YEAR	20
	A. The Possibility of Reduced Enrollment Growth	20
	B. Access for Disadvantaged Students	20
	C. California Post-Secondary Education Commission	
	Eligibility Study	20
Арр	endices	
A	Background and Rationale for Comprehensive Review	A - 1
B	Systemwide and Campus Data Profiles for Fall 2003	
	Applicants and Admitted Students	B - 1
С	"No-Show" Study: College Destinations of UC Applicants	
	Who Do Not Enroll at UC, 1997-2002	C - 1
D	<b>BOARS Report on Faculty Review of Fall 2002</b>	
	Admission Files	D - 1
E	Descriptions of Fall 2003 Campus Processes	E - 1

### <u>Comprehensive Review in Freshman Admissions – Fall 2003:</u> <u>A Report from the Board of Admissions and Relations with Schools</u>

#### **Executive Summary**

BOARS' goal in recommending comprehensive review was to ensure continued excellence in the admissions process at selective campuses by enhancing the quality and depth of the selection review; to expand and deepen the conception of merit implicit in the freshman admission process by increasing the number of criteria considered and considering both academic and non-academic criteria for all applicants; and to maintain access to all campuses for students from throughout the eligibility pool by ensuring that all students are reviewed in the context of the opportunities and challenges they have experienced. (For additional information on the background and rationale for adoption of comprehensive review, see Appendix A.)

In its first-year report, BOARS found that:

- All six selective campuses<sup>\*</sup> were successful in implementing comprehensive review within University policy and guidelines;
- Campuses went to great lengths to ensure the consistency of their admission decisions and the integrity of their processes;
- The academic preparation of admitted students, as measured by traditional quantitative indicators, remained quite stable; and
- The degree to which the selective campuses are accessible to low-income or educationally disadvantaged students did not decline and, in fact, increased on some campuses.

At the same time, BOARS observed that full implementation of comprehensive review would take several years and noted areas where additional study and work were needed.

This year's report notes continued progress in the development and implementation of comprehensive review, reports on successful efforts in several areas BOARS identified last year as needing further study, and responds to several questions raised by The Regents during BOARS' November 2002 presentation of its findings. Among the positive developments BOARS observes this year are the following.

- The academic preparation of the incoming class admitted through the comprehensive review process was exceptionally strong this year. On virtually ever measure of academic excellence, the entering class of 2003 presents stronger qualifications than did the class of 2002. (See Section I, pages 4-5, and Appendix B.)
- The proportions of admitted students from groups that have historically had less access to selective campuses at UC (students from low-income families, families with no previous experience with college, low performing schools, rural areas, and other

<sup>\*</sup> For Fall 2002, Berkeley, Davis, Irvine, Los Angeles, San Diego, and Santa Barbara.

groups underrepresented in UC's eligibility pool) remain higher than they were prior to the implementation of comprehensive review. (See Section I, pages 5-7, and Appendix B.)

- A study requested by The Regents confirms that UC continues to enroll the same proportion of high-achieving students that it did prior to the implementation of comprehensive review. Among admitted students in the top one-third of UC applicants (as measured by high school grade point average and test scores), between 63 and 65 percent enroll at UC and this proportion has held steady for the past five years. (See Section I, pages 7-10, and Appendix C.)
- At the request of The Regents, faculty members on all six campuses this year read a sample of Fall 2002 application files to confirm that decisions were consistent with faculty policy and values. This review confirmed that admission decisions made by staff in these cases were reasoned, consistent, and defensible. (See Section II, pages 13-14, and Appendix D.)
- UC's first-ever verification of non-academic information that students provide in the admissions application found no evidence of falsification. All of the respondents in the sample of Fall 2003 applicants selected for verification were able to document the activities and accomplishments they had reported. (See Section II, pages 14-15.)
- A subcommittee of admission directors reviewing the personal statement prompt has recommended changes to the prompt, to be implemented for Fall 2004 applicants, that BOARS believes will make the prompt more clear for applicants and will increase the consistency and usefulness of information they provide in this portion of the application. (See Section II, pages 15-16.)
- The systemwide faculty-administration Admissions Processing Task Force has made substantial progress in identifying possibilities for increasing the efficiency and accuracy of the admissions process. A key recommendation of this group is that the University eliminate the paper admissions application, effective with the Fall 2005 cycle. (See Section II, pages 17.)
- A BOARS subcommittee charged with identifying options for improving the clarity of the admissions process has made several recommendations with regard to admissions communications that are currently being implemented. (See Section II, pages 17-18.)

In summary, BOARS concludes that the comprehensive review policy continues to be quite successful and that faculty and staff have worked diligently over the past year to make a good process even better. At the same time, BOARS notes some areas of concern where external developments may negatively affect our future ability to fully achieve the faculty's goals in adopting comprehensive review. Principal among these is a concern that the need to slow enrollment growth in response to deep budget reductions will reduce opportunity for all students in California —and that, absent very careful planning, this

burden may fall most heavily on disadvantaged students and others who have historically had difficulty obtaining access to UC. BOARS will carefully monitor and actively respond to developments in this area over the coming year.

### <u>Comprehensive Review in Freshman Admissions – Fall 2003:</u> <u>A Report from the Board of Admissions and Relations with Schools</u>

#### Introduction

The comprehensive review admissions process was adopted by The Regents upon recommendation from the Academic Senate in November 2001 and implemented for the first time for the freshman class applying to enter the University of California in Fall 2002. The background and rationale for adopting comprehensive review is discussed in Appendix A to this report, which also includes the principles the Board of Admissions and Relations with Schools (BOARS) articulated to guide campus faculties in developing and implementing campus-level policies and the University's systemwide admission guidelines and criteria.

In November 2002, BOARS issued a report<sup>1</sup> summarizing campuses' first-year experiences in implementing the new policy. This report was presented to The Regents at their November 2002 meeting. At that meeting, the Board agreed with representatives of the Academic Senate and BOARS on several follow-up studies of comprehensive review to be undertaken during the 2002-03 academic year. This document summarizes the results of that work, as well as the outcomes of the Fall 2003 comprehensive review admissions processes conducted at the six UC campuses that could not admit all UC-eligible applicants in 2003.<sup>2</sup>

This report is organized into three sections discussing key aspects of the comprehensive review policy:

- <u>Section I</u> discussed the <u>outcomes</u> of this year's comprehensive review process in terms of the academic quality of students selected and maintenance of access to selective campuses for students from schools, families, and backgrounds that have historically attended the University at lower rates. Additional data on admissions outcomes by campus are provided in Appendix B. This section also summarizes the results of a study requested by The Regents of the impact of comprehensive review on students' decisions to accept admission offers from UC versus other institutions. That report is included in its entirety in Appendix C.
- <u>Section II</u> discusses BOARS' findings with respect to the comprehensive review <u>process</u>. Included in this section are discussions of the faculty's re-reading of Fall 2002 files, which was requested by The Regents last November, as well as practices

<sup>&</sup>lt;sup>1</sup> "First-Year Implementation of Comprehensive Review in Freshman Admissions: A Progress Report from the Board of Admissions and Relations with Schools," November 2002. Available at: http://www.ucop.edu/regents/regmeet/nov02/302attach.pdf

<sup>&</sup>lt;sup>2</sup> It should be noted that UC Santa Cruz did deny a small number of eligible applicants for Fall 2003. Faculty at Santa Cruz are currently finalizing a comprehensive review policy for the campus, which they expect will become effective with the Fall 2004 admission cycle.

the University and the campuses have put in place to ensure quality and reliability in admission decisions. This section also discusses the faculty's continuing work on improving the clarity and accuracy of information provided to the public about the comprehensive review process as well as the University's ongoing work with respect to improving the efficiency of all admissions processes. More detailed reports on the faculty file review project is provided in Appendix D. Updated summaries of each campus's comprehensive review process are provided in Appendix E.

• <u>Section III</u> discusses **challenges** related to comprehensive review that the faculty plans to continue to address during the 2003-04 academic year. These include the need to develop options in the event that new student enrollments for Fall 2004 will need to be reduced as a result of deep and continuing budget reductions; the need to monitor access for disadvantaged students, which could be negatively affected by increasing selectivity, reductions in UC outreach programs, and enrollment reductions; and the need to begin preparing for potential admission changes that may be required in response to the California Post-Secondary Education Commission's study—expected to be released in spring 2004—of eligibility rates for graduates of the high school class of 2003.

#### I. FALL 2003 OUTCOMES OF THE COMPREHENSIVE REVIEW PROCESS

In examining outcome trends for Fall 2003, BOARS members noted several factors at work, including increasing selectivity on all campuses, as well as normal year-to-year fluctuations in applicant and admitted student pools at many of the campuses.

As shown in Table 1, below, Fall 2003 saw a noticeable increase in selectivity across the University of California system. Unduplicated freshman applications rose by more than 3,000, roughly 4 percent. Additionally, on average, students applied to more campuses each (3.6 as opposed to 3.5 in Fall 2002), so the duplicated count of applications rose even more sharply—nearly 7 percent at the selective campuses. At the same time, Fall term admit spaces on the six selective campuses increased by only 2.285—less than 3 percent. As a result of this increased pressure, the number of applicants each campus denied rose and the "referral pool"<sup>3</sup> nearly doubled in size, to roughly 6,500 applicants. (All of these UC-eligible applicants were admitted to UC Riverside.) Last year, in its report on comprehensive review, BOARS noted that UCLA denied nearly 33,000 applicants— more than any other institution in the country. This year, that number rose to 34,387 at UCLA and more than 28,000 and 27,000, respectively, at Berkeley and San Diego<sup>4</sup>. This trend was most pronounced at the "middle- tier" campuses. For example, the number of denied applicants to UC Davis increased from 10,675 to 14,011, an increase of more than 31 percent in one year. At Irvine, the increase in denied applicants from 2002 to 2003 was roughly 20 percent.

					Los		Santa	
		Berkeley	Davis	Irvine	Angeles	San Diego	Barbara	System
Applicants	2003	36,982	32,502	34,403	44,992	43,461	37,590	77,950
	2002	36,445	28,732	30,596	43,436	41,346	34,690	74,871
	2001	36,106	27,916	29,165	40,744	38,188	34,018	72,715
Admits	2003	8,837	18,491	18,516	10,605	16,254	18,777	62,245
	2002	8,707	18,057	17,325	10,454	16,960	17,692	58,648
	2001	8,910	17,527	17,219	10,956	16,390	17,013	56,522
Non-Admits	2003	28,145	14,011	15,887	34,387	27,207	18,813	15,705
	2002	27,738	10,675	13,271	32,982	24,386	16,998	16,223
	2001	27,196	10,389	11,946	29,788	21,798	17,005	16,193
Change in	2002-03	1.5%	13.1%	12.4%	3.6%	5.1%	8.4%	
Applicants	2001-02	0.9%	2.9%	4.9%	6.6%	8.3%	2.0%	
Change in Non-	2002-03	1.5%	31.3%	19.7%	4.3%	11.6%	10.7%	
Admits	2001-02	2.0%	2.8%	11.1%	10.7%	11.9%	0.0%	

#### Table 1: Selectivity Factors at Six UC Campuses Fall 2003 First-time Freshmen\*

\* As of August 2003

<sup>&</sup>lt;sup>3</sup> The pool of UC-eligible applicants who cannot be accommodated on any of the campuses they applied to and are "referred" to campuses that have room.

<sup>&</sup>lt;sup>4</sup> Roughly 3,700 of these applicants denied for the Fall term at Berkeley and San Diego were offered deferred admission to the Winter term.

Despite the increase in applications, the academic quality of the Fall 2003 systemwide applicant pool<sup>5</sup>, measured by traditional quantitative measures, remained virtually identical to the Fall 2002 pool, with two exceptions: SAT II writing test scores and total course counts. With respect to systemwide average SAT II writing scores, BOARS observed that Fall 2003 scores declined slightly from 2002, but they are within two points of scores for the Fall 1999 through Fall 2001 classes. Thus, the higher number reported in Fall 2002 seems to have been an outlier.

Systemwide and at all campuses, the number of courses meeting the University's "a-g" requirements that applicants report having taken increased noticeably (by an average of 1.3 courses) in Fall 2003, after having been highly stable for many years. On further investigation, BOARS concluded that this increase is directly attributable to the implementation of the "f" requirement for a year-long course in Visual and Performing Arts. Applicants for 2003 were required to take one fewer elective ("g") course, so that the total number of required courses did not increase. But analysis of the course-taking patterns of Fall 2003 applicants indicates that even though the number of "g" courses required went down, applicants did not report taking substantially fewer courses in this category (perhaps because many courses typically listed for "g" credit are also required for high school graduation. Therefore the net effect of implementing the new requirement was to increase the total number of courses rather than substitute one kind of course for another.

#### A. Academic Quality

BOARS' first guiding principle for the comprehensive review process states,

"The admissions process honors academic achievement and accords priority to students of high academic achievement. At the same time, merit should be assessed in terms of the full range of an applicant's academic and personal achievements and likely contribution to the campus community, viewed in the context of the opportunities and challenges that the applicant has faced."

In its November 2002 report on the first year of comprehensive review, BOARS reported that the implementation of new admission policies had little effect on academic quality: most quantitative indicators for admitted students were quite stable, with gains observed in measures that had taken on additional weight on some campuses, e.g., SAT II scores and numbers of academic courses taken beyond the UC minimum required.

For Fall 2003, the combination of continued strong emphasis on academic factors in the admissions process and a more competitive environment led to a strong upward trend in virtually all quantifiable academic factors and on all campuses. As shown in Table 2, mean high school GPA of admitted students increased from Fall 2002 to Fall 2003 on all campuses except Santa Barbara, where it stayed the

<sup>&</sup>lt;sup>5</sup> See Appendix B for tables displaying the characteristics of the Fall 2003 systemwide applicant pool.

same. Average SAT I scores increased on every campus and by more than 10 points at the Irvine, Los Angeles, and San Diego campuses. Similarly, SAT II Math scores increased on all campuses. The only exception to this upward trend occurred with respect to SAT II Writing scores, which stayed essentially the same: three campuses experienced small increases, two experienced small decreases, and one experienced no change. BOARS members attribute this to the anomaly, described above, in the SAT II scores of the Fall 2002 applicant pool.

The final indicator of academic quality that BOARS examined was Eligibility in the Local Context (ELC) status. Not surprisingly, given the competitive nature of this year's process, the proportion of admitted students who are among the top 4 percent of graduates from their own high schools increased on all campuses. ELC students—virtually all of whom are fully eligible and most of whom are very highly qualified—now make up roughly half of the admitted class at Berkeley and between 41 and 42 percent at Los Angeles and San Diego.

		-			Los	San	Santa
		Berkeley	Davis	Irvine	Angeles	Diego	Barbara
Mean # A-G Courses	2003	49.0	47.2	46.3	48.5	47.4	46.2
(7th-12th Grades)	2002	47.5	45.1	44.7	46.9	45.8	44.9
	2001	47.2	44.8	44.5	46.7	45.7	44.7
Mean HSGPA <sup>**</sup>	2003	4.31	3.93	3.96	4.24	4.17	3.93
	2002	4.30	3.91	3.92	4.22	4.13	3.93
	2001	4.27	3.91	3.91	4.21	4.15	3.94
Mean SAT I	2003	1347	1243	1243	1340	1304	1238
	2002	1343	1235	1227	1329	1293	1229
	2001	1336	1231	1227	1333	1313	1240
Mean SAT II Math (1C	2003	690	634	636	686	667	622
and 2C)	2002	688	630	628	680	666	619
	2001	681	625	623	678	672	622
Mean SAT II Writing	2003	669	608	604	667	642	610
	2002	673	608	603	664	645	609
	2001	663	599	595	660	649	608
ELC Students	2003	49.6%	23.0%	30.7%	41.2%	41.5%	19.9%
(percent of admits)	2002	47.2%	22.1%	26.8%	40.4%	38.1%	20.9%
	2001	38.8%	19.2%	24.3%	35.7%	30.8%	18.2%

# Table 2: Academic Indicators for Admitted Students at the SixSelective Campuses from 2001-2003\*

\* As August 2003. Note that these percentages represent the portion of total admitted students who are identified as ELC—not the percentage of ELC applicants who are admitted.

\*\* HSGPA is honors-weighted GPA in A-G coursework

#### **B.** Access

BOARS' guidelines for the implementation of admission policy reaffirm the University's mission with regard to enrolling "*on each of its campuses* a student body that ...encompasses the broad diversity of cultural, racial, geographic, and socio-economic backgrounds characteristic of California" (emphasis added). In

its Fall 2002 report on comprehensive review, BOARS observed that, historically, admissions processes at selective institutions tend to favor students from families and schools that have access to greater resources and that, for this reason, the comprehensive review policy emphasizes the evaluation of students' achievements in the context of the opportunities and challenges they have faced.

In evaluating Fall 2002 outcomes, BOARS noted that in terms of maintaining access to the selective campuses for students from disadvantaged circumstances (as measured by family income, prior family experience with higher education, and type of school attended), the implementation of comprehensive review appeared to have no negative effect on access to four campuses and a positive effect at San Diego and Los Angeles. For Fall 2003, as shown in Table 3, outcomes remain positive overall, although perhaps more mixed.

Relative to Fall 2001, the last year before implementation of comprehensive review, Los Angeles, San Diego, and Santa Barbara are enrolling higher proportions of low income, first-generation college applicants and those from low performing schools<sup>6</sup>. At Berkeley, Davis, and Irvine, proportional representation of disadvantaged students is relatively stable over the two-year period: proportions have increased or decreased slightly on some measures and stayed the same on others. BOARS noted, however, that some campuses did not sustain in Fall 2003 the level of increases they experienced in Fall 2002. For example, with respect to first-generation college students, two-year trends are up at Berkeley, Los Angeles, San Diego, and Santa Barbara, but one-year declines were observed at all four campuses from 2002 to 2003—although they were very slight at San Diego and Santa Barbara.

In terms of geographic diversity, BOARS noted that students from rural areas fared relatively well in the Fall 2003 admission process at selective campuses. The proportion of admitted students from rural areas rose modestly on all campuses except Davis, although rural students remained at approximately the same proportion of the applicant pool that they held in 2002. At Davis, rural students declined somewhat, from 9.4 percent to 8.9 percent of the admitted class. However, this percentage remains higher than for any other campus except Santa Barbara. Moreover, the decline tracks a similar proportional decline in rural students among Davis applicants, from 8.9 to 8.5 percent of the pool. (This may reflect the overall growth in Davis's appeal to applicants.)

The final measure of access that BOARS considered is the proportion of students in the admitted class who are from underrepresented racial or ethnic groups<sup>7</sup>. Consistent with steady growth in the number and proportion of underrepresented California high school graduates, underrepresented students grew as a proportion

<sup>&</sup>lt;sup>6</sup> Defined as the bottom 40 percent of schools as measured by Academic Performance Index (API) scores

<sup>&</sup>lt;sup>7</sup> Defined as those groups for whom eligibility rates are below 12.5 percent. At present these groups include African Americans, American Indians, Chicanos, and Latinos.

of UC's applicant pool, from 18.9 percent in 2001 to 20.9 percent in 2003. Over the two-year period since the implementation of comprehensive review, underrepresented students have also grown as a proportion of the admitted class at all selective campuses. From Fall 2002 to Fall 2003, all campuses experienced increases, with the exception of Berkeley (which stayed the same) and Los Angeles (which experienced a one-year decline of 0.4 percentage points<sup>8</sup>.

# Table 3: Measures of Access for Admitted Students at the Six Selective Campuses from 2001-2003\*

	E	Berkeley	Davis	Irvine	Los Angeles	San Diego	Santa Barbara
First-Generation College	2003	25.5%	30.3%	31.7%	28.3%	31.5%	30.0%
	2002	26.1%	30.3%	31.6%	30.2%	31.6%	30.2%
	2001	25.3%	30.9%	31.7%	26.8%	25.7%	28.6%
Low Family Income**	2003	16.3%	17.6%	18.0%	19.0%	19.1%	16.1%
	2002	16.7%	16.7%	17.8%	20.1%	19.1%	16.0%
	2001	17.2%	17.0%	18.4%	18.1%	15.2%	15.5%
First-Generation College and	2003	11.3%	12.9%	12.8%	14.1%	13.6%	11.8%
Low Family Income	2002	11.8%	12.4%	12.4%	15.1%	13.7%	11.7%
	2001	12.1%	12.8%	13.0%	13.1%	9.7%	11.5%
Students from California Low-	2003	15.3%	15.0%	17.3%	17.7%	17.0%	15.8%
Performing Schools	2002	17.2%	15.1%	17.6%	19.1%	16.6%	16.2%
	2001	15.8%	14.6%	17.1%	16.8%	12.2%	15.0%
California Rural Students	2003	6.4%	8.9%	6.2%	4.5%	7.2%	9.3%
	2002	6.2%	9.4%	5.9%	4.5%	7.1%	9.0%
	2001	6.3%	9.4%	5.9%	4.6%	6.7%	8.8%
Underrepresented Minorities***	2003	16.5%	14.8%	16.1%	16.2%	14.5%	18.4%
	2002	16.5%	14.0%	15.3%	16.8%	14.2%	17.9%
*** ***	2001	16.3%	14.6%	15.6%	15.6%	11.1%	17.5%

(All measures are given as percent of admitted students)

\*As of August 2003

\*\*Family Income is expressed in 1999 dollars. Low family income is defined as less than or equal to \$30,000 in 1999 dollars.

\*\*\*American Indian, African American, Chicano, or Latino. Following longstanding UC reporting practices, this indicator has been calculated as a fraction of domestic students only.

#### C. Matriculation Rates at UC Versus Other Institutions

Both because enrollment data are not available until November or December and would thus substantially delay the release of this report and because enrollment rates reflect student choice—rather than the outcomes of the admissions process itself—BOARS has excluded consideration of enrollments from its reports on the outcomes of the comprehensive review process. However, during the November 2002 Regents meeting discussion of our first report, several Regents asked questions and requested additional

<sup>&</sup>lt;sup>8</sup> BOARS noted, however, that growth in the proportion of admitted students from underrepresented groups has failed to keep pace with growth in the proportion of these students in the applicant pool for all selective campuses except San Diego.

information on a potential unintended outcome of the comprehensive review process: the possibility that high-achieving applicants who could not be admitted to the University's most selective campuses would leave the UC system rather than accept admission to a campus that was not among their first choices. Some regents expressed concern that even though all UC-eligible applicants are admitted, admitting applicants to the most selective campuses based on other factors in addition to traditional academic performance would have the effect of driving high-achieving students out of UC. BOARS agreed to work with researchers in Student Academic Services to investigate this question.

During the past academic year, staff in Student Academic Services have studied patterns of college choice for students in the top one-third of UC applicants, as measured by GPA and test scores. Their research concludes that there is no evidence that UC is losing an increasing share of top students to other institutions. As Table 4 shows, among the top third of applicants to UC, between 63 and 65 percent of admitted students enroll at UC, and this proportion has held steady for the past five years. It should be noted that as a group, these students are very strong academically: for 2002, the mean high school GPA for admitted students in the top one-third of UC applicants was 4.09 and their average SAT I score was 1353. Moreover, the average academic qualifications of this group have grown over time, so the fact that UC enrollment rates for these students have remained relatively stable is particularly impressive.

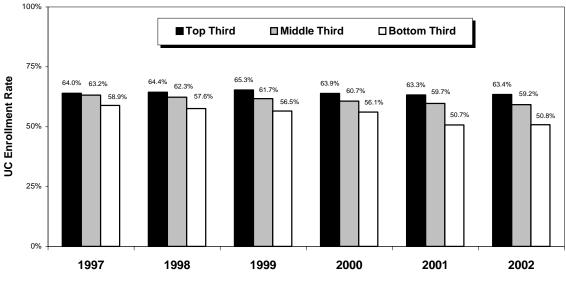
						C	hange in Proportion,
	1997	1998	1999	2000	2001	2002	1997 to 2002
UC	64.0%	64.4%	65.3%	63.9%	63.3%	63.4%	-0.6%
Private Selective	12.3%	11.4%	11.6%	13.7%	13.4%	13.8%	1.5%
CSU	2.8%	3.3%	3.3%	3.5%	3.7%	4.1%	1.3%
CCC	0.6%	0.6%	0.6%	0.4%	0.6%	0.4%	-0.2%
Other	5.2%	5.0%	4.7%	5.0%	4.9%	5.3%	0.1%
Unknown	15.2%	15.2%	14.6%	13.5%	14.0%	12.9%	-2.3%

#### Table 4: College Destinations of Top Third\* of UC Admit Pool, 1997 to 2002

\* Admitted students in top third of the UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores. Source: UC Admissions and National Student Clearinghouse data.

To provide a point of comparison, staff also studied the enrollment rates of students in the middle and bottom portions of the pool. Interestingly, admitted students in these groups are somewhat less likely to enroll at UC than are students at the top and the gap in enrollment rates for the different groups is growing. (See Table 5.) Over the same period, from Fall 1997 to Fall 2002, the percentage of admitted students in the middle of the applicant pool (as measured, again, by grades and test scores) who chose to enroll at UC has decreased from 63.2 percent to 59.2 percent and the proportion of admitted students in the bottom one-third of the applicant pool who enroll at UC has declined from 58.9 percent to 50.8 percent. Not surprisingly, non-matriculants with lower grades and test scores are more likely to choose CSU campuses, while those top students who do not attend UC tend to choose private selective institutions.

These findings suggest that the small reduction in overall enrollment rates that UC has experienced (from 56.6 percent in 1994 to 53.4 percent in 2002) is more likely to reflect admitted students' choice to enroll in less expensive institutions closer to home than high-achieving students opting for private selective institutions.



### Table 5: UC Enrollment Rates for Top, Middle and Bottom Third\* of UC Admit Pool, 1997 to 2002

\* Admitted students in top, middle and bottom third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores.

Because questions have been raised specifically about the eventual college choice of high-achieving applicants who are denied at Berkeley and UCLA, the report also examined those two campuses specifically. Here it found that the majority of highachieving applicants denied at Berkeley and UCLA stay within the UC system and that this proportion has not changed substantially over the time period studied: in 1997, 55.8 percent of applicants in the top one third of UC's pool who were denied at Berkeley and UCLA enrolled at another UC campus. In 2002, that proportion was 56.9 percent. (See Table 6.)

	1997	1998	1999	2000	2001	C 2002	hange in Proportion, 1997 to 2002
UC	55.8%	54.6%	58.3%	60.9%	61.5%	56.9%	1.1%
Private Selective	9.3%	9.0%	11.6%	12.6%	12.1%	13.8%	4.5%
CSU	5.8%	7.6%	5.7%	5.5%	5.8%	6.9%	1.1%
CCC	2.3%	2.2%	1.7%	1.3%	1.5%	1.0%	-1.3%
Other	7.2%	7.3%	6.8%	6.7%	6.5%	7.3%	0.1%
Unknown	19.6%	19.2%	15.9%	13.1%	12.6%	14.1%	-5.5%

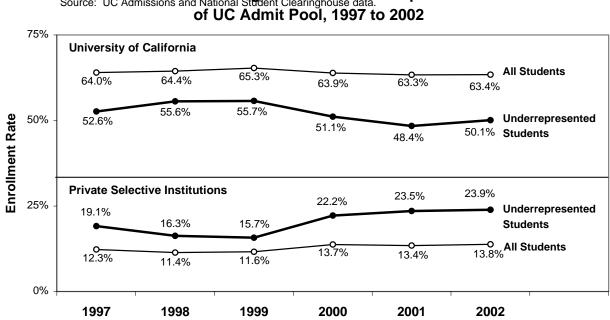
#### Table 6: College Destinations of Top Applicants\* Denied Admission to Berkeley and UCLA, 1997 to 2002

\* Students in top third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores.

Source: UC Admissions and National Student Clearinghouse data.

Source: UC Admissions and National Student Clearinghouse data.

The study also noted, however, that these patterns do not hold true across all groups. Analysis of college choice for students from different racial and ethnic groups reveals erosion in UC's ability to enroll admitted underrepresented students in the top one-third of the applicant pool. UC enrollment rates for these students are lower than for their nonunderrepresented peers and are on the decline. In 1999, 55.7 percent of admitted underrepresented students among the top one-third of UC applicants accepted their admission offer; in 2002 this figure was 50.1 percent. The data indicate that these students are being lost to private, selective institutions. In 1999, 15.7 percent of highachieving underrepresented admits to UC chose to attend private selective institutions; by 2002 this figure had increased to 23.9 percent.





\* Admitted students in top third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores. Source: UC Admissions and National Student Clearinghouse data.

A similar trend was also observed with respect to students who are denied at UCLA and Berkeley. While 56.9 percent of the total group of students in the top third who are denied at these two campuses stayed within the UC system, that figure was substantially lower for underrepresented students: 41.5 percent of high-achieving underrepresented students denied at Berkeley and UCLA stayed within the UC system. Moreover, while the rate has stayed substantially the same for the full group of high-achieving students, it has declined sharply for underrepresented students. In 1997, 54.7 percent of high-achieving underrepresented students denied at Berkeley or UCLA chose another UC campus—virtually the same as the proportion for all students. By 2002, that percentage had dropped by roughly a quarter, to 41.5 percent. During the same period, the proportion of high-achieving underrepresented students denied at Berkeley and UCLA who chose a private selective institution has increased, from 9.3 percent to 13.8 percent.

The full text of the report summarized above is included in Appendix C.

#### **D.** Conclusions

In summary, BOARS concluded that the comprehensive review selection process continues to show very positive results in terms of maintaining or enhancing both academic quality and access for students who typically enroll at UC at lower than average rates. All academic indicators rose across all campuses in 2003 and in virtually all cases they are noticeably higher than in 2001. Similarly, most measures of access have improved over the two-year period during which comprehensive review has been in place. At the same time, as BOARS observed at the time comprehensive review was implemented, comprehensive review cannot be expected to create major shifts in the disproportionate levels of access to UC that students from different backgrounds experience—particularly when we see marked increases in competition for space at the most selective campuses, as we did this year. The slowed growth in some measures of access that was observed on some campuses this year is of concern—particularly in light of drastic budget reductions to UC outreach programs and continued tight budgets for K-12 across California.

With respect to the study of matriculation patterns for admitted students, BOARS concludes there is no evidence that the implementation of comprehensive review has led to a significant decline in the proportion of high achieving students who choose to enroll in UC—even when denied at both of the two most selective campuses. However, the results with respect to underrepresented students indicate that increased competition from other institutions has substantially reduced our ability to enroll the most high-achieving students from these groups.

BOARS will continue to monitor and discuss these issues over the coming year and to look for ways the comprehensive review process can be improved so as to continue to meet the University's goals with respect to the academic preparation of incoming students and maintenance of access to all UC campuses for students from the full range of UC's eligibility pool.

# **II. QUALITY AND RELIABILITY OF THE COMPREHENSIVE REVIEW PROCESS**

In its first-year report, BOARS commented extensively on the multiple strategies campuses employ for ensuring quality and reliability in the selection process. These strategies include:

- <u>Careful attention to reader selection and training</u>. Admissions readers are a combination of full-time admissions officers, other campus staff (generally from Student Affairs units) who volunteer their time during the reading cycle, high school teachers and counselors, and other paid or unpaid staff with expertise in education. All readers, including internal staff, receive extensive annual training. For example, at Berkeley, readers undergo 30-40 hours of training and read at least 50 "practice" files before they are allowed to score a real file; during the reading cycle, they gather weekly for three-hour "norming" sessions to go over difficult cases and ensure that each reader continues to score consistently. In more than 98 percent of the Berkeley cases, readers are within one point of one another in the scores they assign to files. In addition to developing campus policies, faculty help design training materials, make presentations at training sessions, and, on several campuses, members of the admissions committee and/or regular or emeriti faculty participate in the actual reading process.
- <u>Checks and balances in the scoring process</u>. Particularly in cases where the applicant is close to the borderline between admission and denial, files are read by multiple readers. For example, at UCLA, every file receives scores from three different readers and these scores are balanced in the admission decision. After tentative decisions are made, hundreds of files along the borderline are read again to confirm the scoring. Similarly at Berkeley, each file is read in full by two readers, particularly challenging files are identified for a special, more rigorous process, and borderline cases may be read by three, four, or even five readers. Several campuses that employ machine-based scoring for parts of the evaluation also have those scores checked by professional readers during the course of the process.
- <u>Monitoring of the process during and after the admission cycle</u>. Campuses monitor individual reading scores during and at the conclusion of the admission cycle to identify particular patterns in individuals' scoring and look for readers who tend to be outliers. Those who produce discrepant scores are counseled or not invited back to read in the following year. In addition, several campuses send blind test files through large numbers of readers (in some cases the full reader cadre) to verify there is no bias and identify discrepant scoring.

In this section we describe several special projects completed this year to improve the quality, reliability, clarity, and efficiency of the comprehensive review process.

#### A. BOARS Review of Selected Admission Files

At the time that it adopted comprehensive review, BOARS also put in place accountability principles to guide continuing faculty oversight of the process (see Appendix A). To ensure that campus policies and practices are fully consistent with faculty principles and guidelines, BOARS engages in an annual review process that includes review of each campus's written policies; a full-day meeting in which each admission director presents the campus's current year processes and outcomes to the full membership of BOARS; and the compilation and analysis of data on campus-level outcomes and trends (see Section II and Appendix B). In addition, at the request of the Board of Regents, during the 2002-03 academic year, BOARS took on an additional oversight task: direct faculty re-review of individual admission files after the completion of the admission process.

More specifically, at the November 2002 Regents meeting, Academic Council chair Gayle Binion and BOARS chair Barbara Sawrey agreed to have BOARS members review the admission decisions of a sample of actual applicants to Fall 2002 to confirm that the decisions made by staff readers were "reasoned, consistent, and defensible." In response, BOARS directed each campus admission committee to review a sample of a minimum of 60 Fall 2002 applicant files, with 30 selected from the full range of the pool and 30 selected from the "borderline" between admission and denial. Campus faculty were asked to address the following questions:

- 1) Was the scoring given the application consistent with campus policies and guidelines?
- 2) Is the faculty comfortable that the decisions resulting from these scores were reasoned, consistent, and defensible?
- 3) To the degree that this review identified any anomalies, how does the campus plan to address these in the future?

Campus representatives reported their findings to BOARS at its February 2003 meeting. Across all six selective campuses, faculty reported that their reviews confirmed that the scores assigned to individual files faithfully reflected faculty policy and that the decisions resulting from those scores were reasoned, consistent, and defensible. In the very large majority of cases, faculty reviewers assigned the same score to a file that it received from admissions readers. In cases where the scores were discrepant, differences were small and within the narrow range of variance that campuses consider normal for multiple readers. In no case did faculty note patterns of discrepancy that might have been the result of bias or consistently different judgments from faculty versus readers. Similarly, in no case did admissions officers admit a student whom the faculty felt should have been denied or vice versa. Faculty commented that they found the file review exercise was quite useful in reinforcing their understanding of the reading process and gave them additional insight into ways they can improve it. In one or two instances, it revealed cases where faculty felt the guidelines they provide to readers could be more clear. In these cases, policies and guidelines have been clarified. Several campuses commented that they planned to make this review a regular part of their accountability measures.

BOARS' report on the file reading exercise is included as Appendix D.

#### **B.** Verification of the Accuracy of Information Used in the Admission Process

The University of California's admission process is founded on the assumption that applicants report information honestly. Admissions directors report that revealed instances of deliberate misreporting are rare. Moreover, the University has a longstanding practice of verifying academic information—by far the most important aspect of the admissions application—for all admitted students before they are allowed to matriculate. Each year, campuses rescind the admission offers of a handful of applicants whose final transcripts do not match the information provided in the application.

The escalating competition for admission to selective universities and the public's increasing awareness of the importance in the admission process of non-academic information raise reasonable questions about the reliability of this kind of information. High school counselors have reported that some potential applicants fear that others will embellish their records and that they will be relatively disadvantaged as a result. Given this environment, BOARS agrees that the University has a responsibility to do what it can to reassure applicants and the general public that the information on which admission decisions are based is accurate. Accordingly, BOARS supported the recommendation by a staff Task Force to put in place a systemwide verification process for non-academic information contained in the application.

This process was implemented for the freshman class entering in Fall 2003. In early January, a small random sample of applications from the Fall 2003 pool was drawn centrally at the application processor. Each application was randomly assigned one of the following areas for verification:

Honors/Awards Extracurricular Activities (including volunteer or community service) Special program participation Academic record of courses and grades<sup>9</sup> Personal statement

Applicants selected for verification received a letter explaining the verification process and asking them to provide documentation of a specific item, highlighted on an enclosed

<sup>&</sup>lt;sup>9</sup> As noted above, this element is verified for all admitted applicants. It was also included in the pre-admission verification process in order to stress the importance of academic information and to avoid sending the inaccurate message that UC does not check this information.

copy of their application. Applicants were provided extensive directions and examples of acceptable documentation, as well as a phone number to call if they had questions. Applicants were given a deadline in early February by which to provide documentation and by mid-February, most had successfully submitted acceptable documentation. No cases of falsification were found. At the conclusion of the process, a very small number of applicants had not replied to the request and their applications were canceled. Office of the President staff investigated each one of these cases and found that all had either chosen other institutions or were not UC-eligible. In addition, several of the non-respondents had not paid their application fees—a further indication that the applications were not serious in the first place.

Despite initial fears of negative student and parent reaction to the idea of verification, UCOP staff did not receive any complaints about the process. Reaction among high school counselors has been quite positive. Based on the success of the initial year of verification, BOARS supports continuation of the process.

#### C. Improvements to the Personal Statement Prompt

Like most selective institutions, the University of California has long required as part of the application a "personal statement" in which applicants are given the opportunity to provide additional information about themselves. In recent years, the personal statement has taken the form of a two-page "essay."<sup>10</sup> Generally the prompts ask very open-ended questions encouraging applicants to use this part of the application to augment or explain information provide elsewhere or to provide new information they would like the University to consider during the admission process. Prompts employed for the personal statement have changed marginally each year and are usually slightly different for freshmen and transfers.

Admissions officers have frequently observed that the quality and usefulness of information provided in the personal statement varies widely—and in ways that do not necessarily reflect the overall strength of the writer as an applicant. While the statement is the only place in the application where the applicant is free to choose the information he or she provides—and this opportunity is important and yields very helpful information in many cases—it is also so unstructured that some applicants fail to take full advantage of the opportunity and instead provide statements that are of little or no use in the selection process. Prior to the adoption of comprehensive review, these limitations were perhaps less important: for many students admitted in "Tier 1," the statement was never read and, because the criteria on which even Tier 2 decisions were made tended to be less comprehensive, the statement carried relatively less weight.

This situation has changed over the years as campuses have become more selective and admissions criteria have become more extensive. With the implementation of

 $<sup>^{10}</sup>$  It is important to note that although the personal statement is often referred to casually as an "essay," it is not intended to serve as a writing sample. Admissions reviewers are trained to read the personal statement for content only and to evaluate it based on the information provided about the applicant's goals, life experiences, and personal characteristics, not on the quality of the writing *per se*.

comprehensive review, the role of an applicant's educational and personal context became more prominent and the number of applicants receiving a full file review greatly increased. Additionally, some criteria—for example, participation in special academic enrichment programs—are difficult to evaluate without additional descriptive information. In its Fall 2002 report, BOARS noted that the implementation of a new selection process reasonably raises the question of whether our existing application form should be revised. Admissions directors also expressed a strong interest in reformatting the personal statement to make it more amenable to the use of technology in the reading process. For example, readers express that trying to read a two-page essay on their computer screens is difficult, while shorter blocks of text can be read effectively on screen, eliminating the need for paper copies of the application and reducing the burden of complex paper-handling systems.

In the summer of 2002, a subcommittee of admissions directors began a review of the personal statement. After consulting broadly with campus admissions directors, vice chancellors for student affairs, University writing faculty, and outreach staff familiar with high school writing instruction, this group recommended that the personal statement be broken up into multiple, shorter responses and that additional specificity be added to the prompts, so as to reduce the number of applicants who miss the opportunity to provide information that could be beneficial in the review of their applications. At the same time, the subcommittee recommended that some element of applicant choice be retained. Accordingly, one open-ended question remains and students are given flexibility in terms of the length of their answers: while the total length (1000 words) is equivalent to the two pages requested previously, students are asked to write a more lengthy response (600 words) to one of the three prompts and are allowed to choose, based on their own circumstances and preferences, which one of their three answers will be extended in length.

The three areas in which applicants will be asked to respond are as follows:

1) <u>Academic preparation</u>: In what ways has the applicant taken advantage of opportunities to enhance his or her academic preparation (for example, by participating in outreach, particularly rigorous curricula, or special research opportunities)?

2) <u>Potential to contribute to the campus learning community</u>: What special talents, experiences, strengths, or personal qualities will the applicant bring to the campus?

3) <u>Other aspects of the student's life or experiences that are relevant to the admission</u> <u>process</u>. As described above, this more open-ended prompt is designed to provide applicants the opportunity to tell the reader important things about themselves that have not been captured elsewhere in the application.

These recommendations were approved by BOARS and have been field-tested in several high schools of different sizes, types, and locations. They are being implemented for the Fall 2004 cycle.

#### **D.** Improvements to Efficiency of the Process

In its Fall 2002 report, BOARS commented positively on the formation by the Office of the President of the "Admissions Processing Task Force" (APTF), a systemwide group charged with identifying ways that technology can be used to make the application processing and review task both more accurate and more efficient. In its first year, the APTF, chaired jointly by (then-Vice Chair and now) Chair of BOARS, Barbara Sawrey, and UCSB Vice Chancellor for Student Affairs Michael Young, recommended several improvements which have already been successfully implemented.

This spring, the APTF recommended an important step forward for the University: the adoption of a fully electronic application system and elimination of paper applications. This recommendation has been approved by BOARS and other groups and will be implemented for the Fall 2005 undergraduate admission cycle. Elimination of the paper application will create major efficiencies both at the campus and the systemwide level— efficiencies that are critical given the challenging combination of rapid application growth and declining budgets. In addition, APTF and BOARS believe a fully electronic environment will create important new flexibility and responsiveness to the needs of campuses and students. At present, APTF has organized itself into several working groups that are tackling various aspects of the implementation of the new system. This work should be largely complete by the end of this calendar year.

In addition, APTF has invested substantial time in analyzing options for collaboration across campuses in the processing of admission applications. The first stage of this work is information sharing. For example, the Office of the President has now made available to all campuses the percentile rankings incorporated in the "read sheet" first developed at the Berkeley campus as a means of evaluating large numbers of applicants in the context of their individual schools as well as the statewide applicant pool. Centralized web-based systems have been developed to share repetitive tasks (such as requesting from transfer applicants and recording in the admissions system information on academic work in progress). The next stage of this work is to experiment with sharing of actual admission evaluation tasks. While all campuses take very seriously their responsibility to make their own admission decisions, certain aspects of the evaluation of applicants' records can be centralized or shared. A pilot project involving collaboration of this kind across three of the six selective campuses will be conducted this year and others are under development.

#### E. Clarity With Which Selection Policies and Processes Are Communicated

In its Fall 2002 report, BOARS identified as an "area requiring further study" the question of clarity and predictability of the admissions process. With regard to clarity, BOARS noted that the admission process at UC is actually much more open and well documented than those of most selective institutions, but that our position as a public institution places an additional responsibility on us to continue to search for areas where we can do more. BOARS observed that descriptions of our processes may not have kept up adequately with recent changes and that the desire to be encouraging to all potential

applicants may compel campuses to be somewhat vague in explaining their processes. To address these issues, BOARS pledged to undertake a review of admissions communications at both the systemwide and campus levels.

While this work is not complete—and will always be subject to more improvements substantial progress has been made this year. At the request of BOARS, campus admissions committees have examined their own communications and many have made changes. Additionally, a subcommittee of BOARS this winter reviewed systemwide publications as well as those of the individual campuses. This review concluded that UC can do a better job of communicating both systemwide policies and practices that span all of the campuses and important distinctions that exist among the campuses in terms of admission approaches and processes. Both systemwide and campus publications are now being revised. Campuses have been asked to develop a common format for describing their admissions processes that will facilitate comparison across the campuses and to describe with greater specificity the distinctive aspects of their practices.

BOARS also noted that public expressions of dissatisfaction with this aspect of our work have declined markedly. Comprehensive review is of necessity a complex process and communicating any change takes several years. But parent, counselor, and media responses to the second year of comprehensive review have been both less frequent and more positive. Audiences both inside and outside the institution appear to have gained a foundation of understanding of the process that—while it cannot eliminate the deep disappointment that typically accompanies a denial decision—does help put individual outcomes in context. BOARS was also gratified by the very positive responses of a team of officials from the U.S. Department of Education who recently spent a full week meeting with faculty and administrators at the Office of the President and on three selective campuses, to study UC admissions and outreach programs. At the conclusion of this visit, one senior researcher summed up his impressions by stating that in his many years in higher education, he could not recall ever encountering an undergraduate admission process that was as "transparent, accountable, and faculty-driven" as the University of California's.

#### F. On-going Development of Campus Practices

BOARS has frequently observed that the development of comprehensive review processes on the campuses is of necessity an iterative process. Each year's experience brings new ideas and each year's applicant pool brings new challenges. It is particularly true that the first year of any process serves as a learning experience: ideas that looked good on paper may be found difficult to implement while other processes that seemed highly daunting turn out to be quite manageable.

In their annual meeting with admissions directors, BOARS members observed that comprehensive review is evolving on all campuses and several campuses adjusted aspects of their processes that had caused difficulty in the first year. For example, UCSD this year changed the order in which it conducts different aspects of its review in order to take advantage of "down" time experienced in December (before final admissions test scores are received and the academic review can begin) to begin the individualized file read. This allowed the campus to read more files in less total elapsed time than it had in Fall 2002. In response to suggestions made by readers during its annual post-hoc review of the admissions process, the Berkeley campus also changed the order in which aspects of its evaluation are conducted. Previously, the campus had allowed readers to evaluate different portions of the application in whatever order felt most comfortable to them. This year, the campus instructed all readers to read the personal statement first and prescribed an order in which other aspects of the application should be reviewed. Campus admission managers reported that during training and norming sessions it was clear that using a prescribed order increased the congruence among readers' views (which are already highly congruent as evidenced by a very low "third read" rate). Even highly experienced readers reported that reading the personal statement first enhanced their understanding of applicants' contexts and that disciplining themselves to read the file in a prescribed order made the reading experience more orderly.

BOARS members also heard this year from the three campuses that do not currently use comprehensive review about their plans for implementing it. Santa Cruz expects to be fully selective within a year and the campus admission committee has developed a selection policy that is now in the final stages of campus review. Riverside expects to be fully selective within two to three years and is currently exploring selection alternatives. Merced staff have indicated that even though it is very difficult to project demand for the campus, they plan to have a comprehensive review policy in place when they open.

#### **III. CHALLENGES FOR THE COMING YEAR**

In general, BOARS concludes that the greatest work involved in implementing comprehensive review is behind us and that, absent significant new external factors, modifications in future years would be the typical adjustments that any process undergoes with increased experience. However, higher education in California faces serious external challenges that BOARS expect to address during the next several years. Three are discussed briefly below.

#### A. The Possibility of Reduced Enrollment Growth

The University received very deep budget cuts for 2003-04 and we expect additional cuts in future years. Of particular concern to BOARS is the implementation language that accompanied this year's budget indicating that the University should expect no funding for enrollment growth in the coming year. While it is fundamentally understood that the University cannot continue to grow without base budget support for the new students it would like to enroll, reducing growth during a period of very rapid growth in high school graduates poses very serious threats to opportunity for California students. If reductions are required for the 2004-05 academic year, campuses would ideally adjust their admission processes over the course of the coming fall. However, the nature of the changes needed will not be fully understood until January, when the Governor's budget is received and the Regents take action on enrollment targets for Fall 2004. BOARS will work with OP and campus staff over the course of the next several months to develop options for campuses to employ if they are required to admit fewer students from the Fall 2004 pool.

#### **B.** Access for Disadvantaged Students

As described in Section I, BOARS observed that the proportion of students from groups that have typically experienced reduced access to some campuses of the University (e.g., students from low-income families, those attending schools with fewer resources, etc.) remains higher under comprehensive review than previously. This is an important achievement given the rapidly increasing selectivity that many campuses have experienced in the past two years. However, the reduced proportional growth for these students from 2002 to 2003 is of concern. Given normal increases in selectivity and particularly if the University experiences a rapid, budget-induced reduction in growth, access for these students will be an even greater challenge in future years. This is of even greater concern given the deep program cuts to UC outreach programs necessitated by substantial cuts to the outreach budget in the past two years. BOARS will continue to monitor this situation and look for ways in which selection policies and processes can continue to provide opportunity on all campuses for students from throughout the range of the eligibility pool.

#### C. California Post-Secondary Education Commission Eligibility Study

California's Post-Secondary Education Commission (CPEC) conducts studies every few years of the degree to which graduates of California's public high schools are achieving eligibility for UC and the CSU system. In the past, when these studies have shown a significant difference between UC's eligibility rate and the 12.5 percent specified by the California Master Plan for Higher Education, BOARS has recommended adjustments to UC's eligibility criteria to bring the two into closer alignment.

CPEC is currently studying eligibility rates for the graduating class of 2003 and the results of this study are expected to be released in the late spring of 2004. BOARS expects that any adjustments would be recommended no earlier than the 2004-05 academic year and put into place thereafter (typically changes are announced several years before they are implemented in order to give high schools and potential applicants time to adjust). While any action on the study's outcomes must of necessity wait until after its release, BOARS plans to begin addressing related issues during the coming year. For example, BOARS will review the history and trends provided by earlier studies and look at the ways that previous incarnations of BOARS have responded, and will review and analyze current data regarding changes in high school preparation, demographics, college choice, etc. This preparatory work will begin in October 2003 and continue through next spring.

## Appendix A

### **Background and Rationale for Comprehensive Review**

**Background on Adoption of Comprehensive Review** 

**Policy Documents:** 

Guidelines for Implementation of University Policy on Undergraduate Admissions

**BOARS** Accountability Principles For Comprehensive Review of Undergraduate Applicants to the University of California

## BACKGROUND ON ADOPTION OF COMPREHENSIVE REVIEW

In February 2001, President Atkinson wrote to the Academic Senate, requesting that they consider a policy under which "campuses move away from admissions processes focused on quantitative formulas and instead adopt evaluative procedures that look at the applicants in a comprehensive, holistic way.<sup>11</sup>

In November 2001, the Regents adopted BOARS' recommendation for the Comprehensive Review of freshman applications at campuses that cannot accommodate all UC-eligible applicants. Comprehensive Review did not change any of the selection criteria adopted by the University in 1995 (which had been revised once, to add Eligibility in the Local Context as an academic criterion), but eliminated the "two-tiered" process that had been in place for roughly thirty years. BOARS' statement of principles for Comprehensive Review (included in this appendix) encourages campuses to evaluate all eligible applicants on a broad array of academic and other criteria. Decisions on the weights of the various criteria are left to the discretion of faculty on individual campuses, with the clear understanding that academic criteria will continue to predominate.

In implementing the new policy, every campus eliminated the historical practice of setting aside a particular proportion of the admitted class for students admitted on a narrow range of academic criteria (Tier 1) and extended its review process to include all eligible applicants. In addition, all campuses increased the number of criteria they consider and many increased the contextual information about students' educational and personal circumstances that they review. At the same time, considerable variety still exists in the degree to which campuses incorporate qualitative processes and rely on fixed weights assigned to specific criteria. The University fully expects that comprehensive review will continue to evolve at the six campuses that now use it, as well as expanding to other campuses as they are no longer able to accommodate all UC-eligible applicants.

This appendix provides additional background on the rationale that led BOARS to adopt the comprehensive review policy and includes the policy documents that govern admission of applicants to the selective campuses.

#### A. Rationale for Adoption of Comprehensive Review

BOARS based its recommendation to adopt comprehensive review on many factors, principal among which are the following.

1. The desirability of employing a broad range of academic criteria for all applicants. Although University policy specifies ten different academic criteria, under the twotier process campuses often assessed the qualifications of a portion of their applicants based on a narrow set of numerical indicators—e.g., a combination of grades and test scores that did not differentiate among applicants in terms of the rigor of the curriculum they studied, trends in their achievement over time, strength of the senior year program, or the relative level of achievement that a particular GPA or test score

<sup>&</sup>lt;sup>11</sup> Richard C. Atkinson to Academic Council Chair Michael Cowan, February 15, 2001.

represented in the context of the applicant's own high school. Particularly as campuses have grown increasingly selective, these practices meant in some cases that small differences in a single indicator could have substantial effects on admission outcomes.

At the same time, the University's message to students, communicated through counselors' conferences, publications, and recruiting visits, is that students should take the most rigorous curriculum they can, including a strong program in the senior year, and should demonstrate achievement and accomplishment in a broad range of areas. BOARS concluded that a focus on test scores and GPA as measures of academic accomplishment contradicts this fundamental message and may not always identify the strongest students. For example, a review of the transcripts of two students with similar GPA's and test score totals might reveal quite different curricular patterns or upward or downward trends in grades that made the student with slightly lower overall grades and test scores the clearly better qualified. Faculty on campuses using more intensive reviews reported that they felt they were making better decisions in terms of applicants' academic qualifications than they had been when using less thorough approaches.

2. The difficulty of distinguishing between "academic" and "supplemental" qualifications and the educational value of applying the full range of criteria to all applicants. As campuses began reading the full files of greater numbers of applicants, they reported that the distinction between "academic" and "supplemental" criteria was increasingly difficult to maintain and that many aspects of students' qualifications previously considered only in the Tier 2 review were in fact directly relevant to students' academic qualifications, potential, and likely success. For example, a knowledge of, and intellectual passion for, Shakespeare reflected in a student's creative work in playwriting or directing could arguably be treated under the University guidelines as an "academic" accomplishment or a "special talent." Differentiating between the two types of criteria sometimes led to under- or overweighting criteria that could not easily be categorized.

In reviewing the University's fourteen admissions criteria, BOARS members noted that, with the exception of criterion #14 (geographic location of the applicant's secondary school and residence), all of the criteria have an academic component and can be directly related to the likelihood of success in college and beyond. For example, criteria #11 and #12 assess talents and accomplishments demonstrated outside the classroom. Many of these—e.g., study abroad, achievement in debate, internships, special research projects, or other academic support and enrichment programs—are academic in nature. Others (for example, community service or leadership in student government) identify qualities and experiences that are directly related to the contributions an applicant is likely to make to campus life while in college and to society after graduation. Criterion #13 credits students who have demonstrated persistence, tenacity, and commitment to educational success and it acknowledges the role of context in helping campuses to understand the significance of an applicant's <u>academic</u> achievements and potential. BOARS members concluded

that there was considerable overlap among the academic and supplemental criteria and that an admission process that considered all of these factors in the review of every applicant would yield a stronger freshman class.

BOARS members also noted that, despite the substantial overlap among the different criteria, the two-tiered process created the impression that students admitted in the "second tier" were somehow less qualified when in fact they had been admitted based on more factors and on a more thorough review of their qualifications than those in the "first" tier.

3. The desirability of moving, over time, toward more nuanced approaches to evaluation of applicant qualifications. BOARS members appreciate the benefits of quantitative approaches that lend themselves to machine-based evaluation. They can be fast and efficient—important advantages as the number of applications campuses receive grows dramatically—and they can yield highly predictable outcomes. At the same time, many of the criteria that the faculty value highly—for example, an upward trend in grades, special accomplishments outside the classroom, or an intellectual curiosity and spark revealed in the personal statement—cannot be assessed by machine and are not easily quantified.

As campuses must make ever-finer distinctions among highly qualified applicants, the ability to assess all of the information contained in the application becomes increasingly important. Thus, it is not surprising that all of the country's most highly selective institutions use a more qualitative review process, nor that UC's two most selective campuses, Berkeley and UCLA, are also those that had already moved toward processes that rely more heavily on individualized review and evaluate factors that cannot be reviewed in a mechanical process. BOARS concluded that the combination of increasing selectivity and a move toward using a broader range of criteria, some of which require qualitative review, necessitates an incremental move toward review processes that rely at least in part on human evaluation of individual applications.

At the same time, BOARS members acknowledged several challenges associated with adopting a more comprehensive approach. For example, campuses are differentially situated in terms of their experience with qualitative processes and the resources needed to implement more complex admissions processes. Underlying these differences, perhaps, is the existence of quite different admissions contexts on different campuses. A campus that admits three-quarters of its eligible applicants does not need to focus the same level of attention or resources on its admission processes as one that admits only one quarter. On the other hand, for campuses that deny a significant majority of their applicants, it may be easy to identify applicants who are unlikely to be admitted but quite difficult and time-consuming to distinguish among thousands of very highly qualified applicants, many of whom are fully "deserving" of admission but will nonetheless be denied at that campus.

#### B. BOARS' Oversight Process For Comprehensive Review

The comprehensive review policy differs fundamentally from other admission policies developed by the University in recent years in that it deals specifically with <u>selection</u> from among UC-eligible applicants. This means it operates primarily at the campus level. Traditionally, BOARS has allowed campuses latitude in designing and implementing selection policies and has left oversight of these policies up to campus faculty.

BOARS remains convinced that campus faculty admissions committees are by far the best situated to evaluate and monitor the outcomes of their local admissions processes. Nonetheless, BOARS is also committed to ensuring that, in the course of the next several years, comprehensive review is fully and effectively implemented on all campuses and to reporting back on that implementation process to the Academic Council and The Regents. Thus, the faculty oversight process for comprehensive review represents a multi-level review in which campus admissions committees retain primary and ultimate authority for their own selection policies and BOARS plays a direct and active role in monitoring these policies. The key elements of BOARS' oversight process are described below.

- 1. <u>Post-hoc review</u>. Since the implementation of comprehensive review, BOARS and the Admissions Directors have met annually to discuss and review each campus's experience. At that meeting, each admissions director presents campus-specific processes and outcomes and BOARS members questioned directors about areas where the process was unclear or warranted further discussion. In addition, BOARS members and admissions directors discuss the value of the process as well as issues that need further work.
- 2. <u>Data analysis</u>. BOARS has also examined annually data profiles of applicants and admitted students at the selective campuses over a multi-year period, looking at a specific set of academic and other factors and at admit rates across a range of characteristics. These data are analyzed to observe (1) trends within a specific campus—e.g., sudden or unexplained changes in admission patterns; and (2) patterns across campuses, particularly those that appear anomalous. BOARS members discuss these trends extensively at their annual meetings with admissions directors and in subsequent meetings with specific campus representatives.

BOARS has also promulgated a set of accountability principles for comprehensive review that are included at the end of this appendix.

## GUIDELINES FOR IMPLEMENTATION OF UNIVERSITY POLICY ON UNDERGRADUATE ADMISSIONS

#### I. OVERVIEW

On May 20, 1988, The Regents of the University of California adopted a University of California Policy on Undergraduate Admissions. The Policy states in part that:

"Mindful of its mission as a public institution, the University of California...seeks to enroll, on each of its campuses, a student body that, beyond meeting the University's eligibility requirements, demonstrates high academic achievement or exceptional personal talent, and that encompasses the broad diversity of cultural, racial, geographic, and socio-economic backgrounds characteristic of California."

In December 1995, following passage the previous July of Regents Resolution SP-1, a task force convened by the President of the University reviewed existing <u>Guidelines for the Implementation of University Policy on Undergraduate Admissions</u> and recommended substantive changes. The revised <u>Guidelines</u> were issued in July 1996 and revised in May 2000 to reflect the University's newly adopted Eligibility in the Local Context (ELC) policy.

# In May 2001, The Regents adopted Resolution RE-28, which rescinded Resolution SP-1 and reaffirmed the goals of the 1988 Policy as follows:

"the University shall seek out and enroll, on each of its campuses, a student body that demonstrates high academic achievement or exceptional personal talent, and that encompasses the broad diversity of backgrounds characteristic of California."

Following the passage of RE-28, the President asked the Academic Senate to consider the adoption of evaluation procedures that would look at applicants in a comprehensive manner and would utilize a variety of measures of achievement.

The present revision of the <u>Guidelines</u> follows extensive deliberation on the part of the Academic Senate, its Board of Admissions and Relations with Schools (BOARS), and its individual campus divisions and faculty admissions committees undertaken during the summer of 2001. The work of the Academic Senate built on themes already developed by the 1995 Task Force. For example, the report of the Task Force commented on the "need for a comprehensive review of the methods used for assessing academic performance, beyond utilizing criteria such as GPA and standardized test scores" and suggested that "the selection process could be altered in the future to include a more comprehensive approach to reviewing students' academic accomplishments and personal

backgrounds." The work of the Academic Senate should be considered as yet another step in the continuing evolution of undergraduate admissions practices and policies.

Effective with applicants seeking admission for the fall 2002 term and thereafter, the following revised guidelines and procedures shall be followed for implementation of the 1988 University of California Policy on Undergraduate Admissions and RE-28, adopted in May 2001.

These selection guidelines apply to campuses that have to select from a pool of eligible applicants, and to students who have met the established UC eligibility requirements for admission<sup>12</sup>. These eligibility requirements are established by the University in conformance with the specifications outlined in the California Master Plan for Higher Education, which specifies that the top one-eighth of the State's public high school graduates, as well as those community college transfer students who have successfully completed specified college work, be eligible for admission to the University of California.

These guidelines provide the framework within which campuses shall establish specific criteria and procedures for the selection of undergraduate applicants to be admitted when the number of eligible applicants exceeds the places available.

#### **II.** GUIDING PRINCIPLES FOR COMPREHENSIVE REVIEW

Campus admissions procedures should involve a comprehensive review of applications. BOARS defines comprehensive review as:

The process by which students applying to UC campuses are evaluated for admission using multiple measures of achievement and promise while considering the context in which each student has demonstrated academic accomplishment.

# In designing campus procedures, campus admissions committees should adhere to the following guiding principles:

1. The admissions process honors academic achievement and accords priority to students of high academic accomplishment. At the same time, merit should be assessed in terms of the full range of an applicant's academic and personal achievements and likely contribution to the campus community, viewed in the context of the opportunities and challenges that the applicant has faced.

<sup>&</sup>lt;sup>12</sup> These guidelines apply to those students eligible for admission. Up to 6 percent of new enrolled freshmen and 6 percent of new enrolled advanced standing students can be admitted by exception, as authorized by The Regents. Refer also to the <u>Policy on</u> <u>Undergraduate Admissions by Exception</u>.

- 2. Campus admissions procedures should involve a comprehensive review of applications using a broad variety of factors to select an entering class.
- 3. No fixed proportion of applicants should be admitted based solely on a narrow set of criteria.
- 4. Campus policies should reflect continued commitment to the goal of enrolling classes that exhibit academic excellence as well as diversity of talents and abilities, personal experience, and backgrounds.
- 5. Faculty on individual campuses should be given flexibility to create admission policies and practices that, while consistent with Universitywide criteria and policies, are also sensitive to local campus values and academic priorities.
- 6. The admission process should select students of whom the campus will be proud, and who give evidence that they will use their education to make contributions to the intellectual, cultural, social, and political life of the State and the Nation.
- 7. The admissions process should select those students who demonstrate a strong likelihood that they will persist to graduation.
- 8. Campus selection policies should ensure that no applicant will be denied admission without a comprehensive review of his or her file.

Faculty takes their responsibilities for admission and selection very seriously. BOARS anticipates that campuses will act autonomously in designing campus-specific policies and processes that are consistent with Universitywide policies and guidelines. BOARS will continue to monitor campus policies and work with faculty to continuously improve the processes and outcomes.

#### III SELECTION CRITERIA

Campuses receiving applications in excess of the number required to achieve their enrollment target for a specific term shall select students for admission as follows:

#### A. <u>Freshman Applicants</u>

The following criteria provide a comprehensive list of factors campuses may use to select their admitted class. Based on campus-specific institutional goals and needs, admissions decisions will be based on a broad variety of factors to ensure attainment of the goals set forth in the 1988 University of California Policy on Undergraduate Admissions and RE-28.

1. Academic Grade Point Average (GPA) calculated on all academic courses completed in the subject areas specified by the University's eligibility

requirements (the a-f subjects), including additional points for completion of University certified honors courses (see 4, below). It is recommended that the maximum value allowed for the GPA shall be 4.0.

- 2. Scores on the following tests: the Scholastic Assessment Test I or the American College Test, and the College Board Scholastic Assessment Test II: Subject Tests.
- 3. The number, content of, and performance in courses completed in academic subjects beyond the minimum specified by the University's eligibility requirements.
- 4. The number of and performance in University approved honors courses, College Board Advanced Placement courses, International Baccalaureate courses, and transferable college courses completed. It is recommended that caution be exercised in order not to assign excessive weight to these courses, especially if considerable weight already has been given in the context of 1, above. Additionally, in recognition of existing differences in availability of these courses among high schools, it is recommended that reviewers assess completion of this coursework against the availability of these courses at the candidate's secondary school.
- 5. Being identified as eligible in the local context, by being ranked in the top 4% of the class at the end of the junior year, as determined by academic criteria established by the University of California.
- 6. The quality of the senior year program, as measured by type and number of academic courses (see 3 and 4, above) in progress or planned.
- 7. The quality of academic performance relative to the educational opportunities available in the applicant's secondary school.
- 8. Outstanding performance in one or more specific academic subject areas.
- 9. Outstanding work in one or more special projects in any academic field of study.
- 10. Recent, marked improvement in academic performance, as demonstrated by academic grade point average and quality of coursework (see 3 and 4, above) completed and in progress, with particular attention being given to the last two years of high school.
- 11. Special talents, achievements, and awards in a particular field, such as in the visual and performing arts, in communication, or in athletic endeavors; special skills, such as demonstrated written and oral proficiency in other languages; special interests, such as intensive study and exploration of other cultures; or experiences that demonstrate unusual promise for leadership, such as significant community service or significant participation in student government; or other

significant experiences or achievements that demonstrate the applicant's promise for contributing to the intellectual vitality of a campus.

- 12. Completion of special projects undertaken either in the context of the high school curriculum or in conjunction with special school events, projects or programs co-sponsored by the school, community organizations, postsecondary educational institutions, other agencies, or private firms, that offer significant evidence of an applicant's special effort and determination or that may indicate special suitability to an academic program on a specific campus.
- 13. Academic accomplishments in light of the applicant's life experiences and special circumstances. These experiences and circumstances may include, but are not limited to, disabilities, low family income, first generation to attend college, need to work, disadvantaged social or educational environment, difficult personal and family situations or circumstances, refugee status, or veteran status.
- 14. Location of the applicant's secondary school and residence. These factors shall be considered in order to provide for geographic diversity in the student population and also to account for the wide variety of educational environments existing in California.

#### B. <u>Advanced Standing Applicants</u>

Advanced standing applicants shall be selected by each campus using the criteria listed below as well as criteria 11-14 listed above. Priority consideration for admission of advanced standing applicants shall be given to upper division junior transfers from California Community Colleges.

Criteria to Select Advanced Standing Applicants

- 1. Completion of a specified pattern or number of courses that meet breadth or general education requirements.
- 2. Completion of a specified pattern or number of courses that provide continuity with upper division courses in the major.
- 3. Grade point average in all transferable courses, and, in particular, grade point average in lower division courses required for the applicant's intended major.
- 4. Participation in academically selective honors courses or programs.

(Refer to items 2 through 6 in Section A above for additional criteria to consider.)

# IV. APPLICATION PROCEDURES

A common filing period for submission of applications shall be established by the Office of the President in consultation with the campuses. These dates shall be observed by all campuses and may be extended only if a campus determines that additional applications are required to meet enrollment targets. All applications submitted during the prescribed dates shall receive equal consideration for admission.

Applicants shall file one application on which they shall indicate all the campuses where they wish to be considered for admission.

Campuses shall observe and publish a common notification period for notifying applicants of their admission status.

# V. ACCOMMODATION OF UC ELIGIBLE APPLICANTS

UC eligible resident applicants, who have not been admitted at any of the campuses of their choice shall be offered a space at other UC campuses where space is available. This process, called referral, reaffirms the long-standing University commitment to provide a place for every eligible California applicant who wishes to enroll.

In addition to the referral process, campuses may choose to offer other enrollment alternatives to UC eligible applicants. Examples of such alternatives may include:

- 1. Fall term admission to a different major,
- 2. Deferred admission to another term; or,
- 3. Enrollment at a community college with provision for admission at a later time, if a stated level of academic achievement is maintained (for freshman applicants only).

# BOARS ACCOUNTABILITY PRINCIPLES FOR COMPREHENSIVE REVIEW OF UNDERGRADUATE APPLICANTS TO THE UNIVERSITY OF CALIFORNIA

UC Regents Policy and the <u>Guidelines For Implementation of University Policy on</u> <u>Undergraduate Admissions</u> state that "the University shall seek out and enroll, on each of its campuses, a student body that demonstrates high academic achievement or exceptional personal talent, and that encompasses the broad diversity of backgrounds characteristic of California."

In November 2001, the University adopted a new comprehensive review admissions policy designed to afford optimum autonomy to each campus in fulfilling this mission, while selecting students who complement the unique attributes of each campus. As UC moves to implement a comprehensive system of applicant evaluation at each campus, numerous and important questions have been raised about the impact of comprehensive review on the quality and characteristics of admitted classes. One question concerns the role of subjective assessments in admissions processes that move beyond grades and test scores. Another question concerns the relative roles of a wide range of admissions factors in determining admissions decisions under comprehensive review.

BOARS recognizes the need for an accountability system that is transparent, valid, equitable, and efficacious and adopts the following ten principles for ensuring accountability in the admissions processes devised at each campus.

- 1. Each campus should articulate its admissions goals, based on Universitywide policies and guidelines and campus-specific educational values and philosophy.
- 2. Each campus should define its campus admissions selection criteria and the selection process it will use in the context of the campus admissions and enrollment goals. Campus practices should be tailored to campus-articulated goals and policies and conform with Universitywide policies and guidelines.
- 3. Campuses should ensure that the faculty members are engaged in the selection processes and that professional staff are well qualified and well trained to conduct admissions evaluations.
- 4. Campus practices should ensure that no systematic bias is present.
- 5. Campus practices should include processes to monitor accuracy and reliability of data used in the decision-making process.
- 6. BOARS should disseminate to the campuses information regarding effective admissions selection practices.

- 7. Campus practices should be refined over time to reflect the most effective practices and to ensure continued compliance with Universitywide guidelines and policies and changing circumstances.
- 8. Campus practices should be routinely evaluated and monitored both by appropriate committees of the campus Academic Senate Divisions and by BOARS at scheduled intervals. Processes should be reviewed in terms of conformance to Universitywide and campus-specific policies and guidelines, and state and federal regulations.
- 9. Admission outcomes—defined in terms of qualifications at entrance (e.g., high school GPA, other academic indicators, and other evidence of achievement), as well as demographic characteristics (e.g., ethnic makeup, socio-economic status, geographic distribution, etc.)—should be systematically studied. Campuses should maintain these data in accordance with standards set by BOARS to support systemwide evaluation.
- 10. Campuses should have mechanisms in place to evaluate long-term outcomes in terms of student performance as measured by first-year GPA, persistence and graduation rates, and other indicators of student success that may be identified.

Adopted in principle by BOARS December 17, 2001. Date of this document March 25, 2002.

# Appendix B

# <u>Profiles of UC First-Time Freshman Applicants and Admits</u> <u>Information Source and Data Definitions</u>

# **APPENDIX B**

# Profiles of UC First-Time Freshman Applicants and Admits Information Source and Data Definitions

# **Data Source**

Campus profiles were generated using systemwide admissions data collected by the University of California and last updated in August 2003. Through their applications to UC, students provide academic and demographic information that is subsequently reviewed and standardized. Using data from the systemwide admissions process allow us to have consistent field definitions across years and campuses. Counts reported in these tables may not match those included in earlier reports due to discrepancies that have been resolved.

# **Data Definitions**

Campuses profiles only consider students applying to fall semester or fall quarter as "first-time freshmen." In other words, it excludes transfer students and students in early admission accelerated programs. In terms of admissions, the analyses consider students who were regularly admitted as well as those admitted by exception. The counts for Santa Cruz and Riverside include freshmen referred to these campuses after not being accommodated elsewhere. All indicators, except underrepresented minorities, were calculated as a fraction of the **overall number** of students applying and admitted at a given campus. Following a long-standing UC reporting practice the proportion of underrepresented minorities was calculated as a fraction of domestic students only.

The average number of A-G courses for 2000, 2001, 2002 and 2003 only reflects students who indicate in their application that their high school operates on a semester system, and includes approximately 83% of applicants. Students from schools using trimester, quarter, block or any other system are excluded from these averages. Classes students completed in 7th and 8th grade in order to fulfill the C (mathematics) and E (foreign language) requirements are counted towards the fulfillment of the A-G requirement and are included in these averages. Information on A-G completion for students on semester system was not available for 1999.

The average number of honor courses in the applicant and admit pools includes all advanced placement, International Baccalaureate, college level and honors courses completed by students in 10th, 11th and 12th grade. The high school grade point average reported considers all UC-approved honors, advanced placement, International Baccalaureate and college level courses completed by students in 10<sup>th</sup> and 11<sup>th</sup> grade. It excludes students with non-traditional grading systems.

For purposes of calculating the standardized test mean score of the applicant and admit pool, ACT scores have been transformed to their corresponding SAT scores using a standard concordance table provided by the College Board. In the case of students who took both the SAT I and the ACT, the best score is considered.

First-generation college students have been defined as those students for whom neither parent completed a four-year degree. Family income is expressed in 1999 dollars and low-income students are those whose parents have a combined annual income less than or equal to \$30,000 in 1999 dollars. Low-performing schools are those in the 1<sup>st</sup> and 2<sup>nd</sup> quintiles of the Academic Performance Index ranking constructed by the California Department of Education. California rural students are those attending California rural high school. Counts for the Eligibility in the Local Context program include all eligible students and not only "newly" eligible students. Note that tables include applicants who withdrew their applications before admissions decisions were made. Thus, ELC admit rates will be less than 100 percent. For purposes of these tables "outreach" participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only.

# UC SYSTEMWIDE FIRST-TIME FRESHMEN Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

CAMPUS PROFILE		Ap	plica	nts				Admit	S			Ad	mit Ra	ites	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	65,490	67,845	72,715	74,871	77,950	49,374	51,449	56,522	58,648	62,245	75.4%	75.8%	77.7%	78.3%	79.9%
ACADEMIC INDICATORS		Ap	oplica	nts				Admit	S						
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades)		44.5	44.5	44.7	46.0		44.4	44.3	44.5	45.8					
Mean # Honors (10th, 11th and 12th Grades)	11.1	11.5	10.8	11.7	11.7	12.1	12.4	11.6	12.2	12.2					
Mean HSGPA <sup>2</sup>	3.73	3.75	3.73	3.73	3.73	3.86	3.87	3.83	3.82	3.81					
Mean SAT I	1187	1193	1192	1186	1187	1210	1211	1209	1203	1205					
Mean SAT II Math (1C and 2C)	595	601	602	602	601	603	607	608	608	608					
Mean SAT II Writing	575	579	581	584	579	585	587	589	592	587					
	_						_								
		ercent	-	-				nt of A					mit Ra		
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	12.7%	14.6%	14.5%	na	na	16.1%	18.4%	17.8%	na	na	98.3%	98.5%	98.1%
Outreach Participants <sup>4</sup>	8.5%	9.1%	11.0%	11.5%	na	9.2%	9.9%	12.0%	12.3%	na	81.6%	83.0%	84.8%	83.4%	na
	П		of A.,				Deree			_		اہ ۸		4	
INDICATORS		ercent	-	-				nt of A					mit Ra		
First Constantian Callera	1999	2000	2001	2002		<u>1999</u>	2000	<b>2001</b> 31.3%	2002	2003	1999	2000	2001	2002	2003
First-Generation College		31.2%											76.9%		
Low Family Income <sup>5</sup> First-Generation College and	16.7%	17.2%	16.6%	16.7%	17.3%	17.0%	17.5%	16.6%	16.5%	16.7%	76.6%	77.3%	77.6%	77.2%	77.1%
Low Family Income Students from California Low-	11.7%	12.0%	11.8%	12.0%	12.4%	11.9%	12.3%	11.9%	11.9%	12.0%	77.0%	78.1%	78.3%	77.9%	77.1%
Performing Schools	15.0%	15.4%	15.0%	16.3%	16.1%	15.6%	16.3%	15.5%	16.6%	15.9%	78.7%	80.4%	79.9%	79.8%	79.2%
California Residents Domestic Out-of-State	84.0%	82.5%	81.7%	83.7%	85.3%	90.4%	89.7%	89.6%	91.1%	91.7%	81.2%	82.5%	85.3%	85.3%	85.8%
Students	12.9%	14.2%	14.4%	13.2%	11.6%	8.1%	8.6%	8.5%	7.4%	6.8%	47.3%	45.9%	45.8%	43.9%	46.9%

California Rural Students 7.1% 7.0% 7.2% 7.3% 7.3% 17.3% 17.8% 18.9% 19.7% 20.9% 16.1% 16.7% 17.8% 18.3% 19.2%

Underrepresented Minorities<sup>6</sup>

International Students

#### **All Students**

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1.5%

1.7%

3.2% 3.4% 4.0% 3.1% 3.0%

1.9%

7.9% 7.8% 8.0% 8.0% 7.9%

14% 14%

36.7% 38.2% 37.5% 36.4% 38.2%

83.8% 84.0% 86.4% 86.6% 86.6%

71.0% 72.3% 74.7% 74.3% 74.3%

75.4% 75.8% 77.7% 78.3% 79.9%

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# UC BERKELEY FIRST-TIME FRESHMEN Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

CAMPUS PROFILE	Applicants							Admits				Ad	mit Ra	es	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	31,106	33,232	36,106	36,445	36,982	8,441	8,787	8,910	8,707	8,837	27.1%	26.4%	24.7%	23.9%	23.9%
ACADEMIC INDICATORS		A	pplican	ts				Admits							
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades) Mean # Honors (10th, 11th and		45.9	46.1	46.4	47.6		47.3	47.2	47.5	49.0					
12th Grades)	13.6	13.7	13.0	14.2	14.2	17.8	18.2	17.2	18.3	18.8					
Mean HSGPA <sup>2</sup>	3.90	3.91	3.89	3.90	3.90	4.25	4.30	4.27	4.30	4.31					
Mean SAT I	1258	1256	1255	1247	1247	1352	1348	1336	1343	1347					
Mean SAT II Math (1C and 2C)	635	637	639	638	638	680	681	681	688	690					
Mean SAT II Writing	608	608	611	613	609	667	665	663	673	669					
		Percen	t of App	olicants	;		Perce	ent of A	dmits			Ad	mit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003

	i el com el rippilounie														
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	16.3%	18.5%	18.9%	na	na	38.8%	47.2%	49.6%	na	na	58.8%	61.0%	62.8%
Outreach Participants <sup>4</sup>	6.8%	8.4%	9.9%	10.9%	na	7.6%	10.1%	12.7%	13.9%	na	30.3%	31.9%	31.5%	30.5%	na

DEMOGRAPHIC															
INDICATORS		Percen	t of App	olicants	5		Perce	ent of A	dmits			Ac	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	25.5%	27.3%	26.9%	28.3%	29.2%	23.2%	24.8%	25.3%	26.1%	25.5%	24.7%	24.0%	23.2%	22.1%	20.9%
Low Family Income <sup>5</sup> First-Generation College and	15.0%	16.9%	16.0%	16.6%	17.4%	15.6%	16.3%	17.2%	16.7%	16.3%	28.2%	25.5%	26.5%	24.0%	22.4%
Low Family Income Students from California Low-	9.5%	11.2%	10.8%	11.4%	12.0%	10.3%	11.1%	12.1%	11.8%	11.3%	29.3%	26.2%	27.7%	24.8%	22.4%
Performing Schools	11.5%	13.1%	12.8%	14.4%	14.2%	12.2%	13.7%	15.8%	17.2%	15.3%	28.9%	27.7%	30.4%	28.5%	25.7%
California Residents Domestic Out-of-State	79.5%	78.3%	77.6%	80.2%	82.6%	85.5%	85.6%	87.9%	87.6%	87.9%	29.2%	28.9%	27.9%	26.1%	25.4%
Students	15.6%	16.6%	16.7%	15.3%	12.7%	11.8%	11.8%	10.1%	10.3%	9.5%	20.6%	18.9%	14.8%	16.1%	17.8%
International Students	4.9%	5.1%	5.6%	4.5%	4.6%	2.6%	2.6%	2.0%	2.1%	2.6%	14.7%	13.5%	8.9%	11.2%	13.6%
California Rural Students	4.6%	4.7%	5.1%	5.1%	5.6%	5.4%	5.2%	6.3%	6.2%	6.4%	32.3%	28.9%	30.9%	29.3%	27.5%
Underrepresented Minorities <sup>6</sup>	13.9%	15.6%	16.6%	17.4%	18.7%	13.5%	15.3%	16.3%	16.5%	16.5%	26.9%	26.6%	25.2%	23.3%	21.6%
All Students											27.1%	26.4%	24.7%	23.9%	23.9%

#### All Students

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# **UC DAVIS FIRST-TIME FRESHMEN** Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

CAMPUS PROFILE	Applicants	Admits	Admit Rates
	1999 2000 2001 2002 2003	<u>1999 2000 2001 2002 2003</u>	1999 2000 2001 2002 2003
Total Number	22,744 25,241 27,916 28,732 32,502	14,344 15,942 17,527 18,057 18,491	63.1% 63.2% 62.8% 62.8% 56.9%
ACADEMIC INDICATORS	Applicants	Admits	

		~	ppnoun					Admits		
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Mean # A-G Courses (7th-12th										
Grades)		44.3	44.2	44.5	45.8		44.8	44.8	45.1	47.2
Mean # Honors (10th, 11th and										
12th Grades)	10.0	10.5	10.0	10.6	10.7	11.9	12.6	11.9	12.7	13.3
Mean HSGPA <sup>2</sup>	3.70	3.71	3.69	3.70	3.69	3.91	3.92	3.91	3.91	3.93
Mean SAT I	1178	1181	1181	1179	1182	1227	1234	1231	1235	1243
Mean SAT II Math (1C and 2C)	594	598	598	602	602	616	623	625	630	634
Mean SAT II Writing	566	570	572	578	575	591	597	599	608	608

		Percen	t of App	olicants	;		Perce	ent of A	dmits			Ad	Imit Rat	tes	
	1999 2000 2001 2002 2003					1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	12.7%	14.4%	13.6%	na	na	19.2%	22.1%	23.0%	na	na	95.1%	96.6%	96.2%
Outreach Participants <sup>4</sup>	8.4%	9.9%	10.9%	10.8%	na	9.0%	10.6%	12.3%	12.1%	na	67.4%	68.0%	71.2%	70.7%	na

### DEMOGRAPHIC INDICATODO

INDICATORS		5		Perce	ent of A	dmits			Ad	Imit Rat	tes				
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	30.3%	31.2%	31.4%	31.4%	31.4%	29.7%	29.8%	30.9%	30.3%	30.3%	61.8%	60.4%	61.8%	60.8%	54.8%
Low Family Income <sup>5</sup> First-Generation College and	15.7%	16.7%	15.9%	15.8%	15.9%	16.4%	17.3%	17.0%	16.7%	17.6%	65.7%	65.1%	67.1%	66.6%	62.8%
Low Family Income Students from California Low-	11.4%	12.1%	11.9%	11.6%	11.4%	11.9%	12.4%	12.8%	12.4%	12.9%	65.8%	64.9%	67.5%	67.6%	63.9%
Performing Schools	13.3%	14.5%	14.0%	14.2%	13.5%	13.6%	14.8%	14.6%	15.1%	15.0%	64.3%	64.5%	65.4%	66.7%	62.8%
California Residents Domestic Out-of-State	93.3%	92.5%	92.0%	93.4%	94.0%	94.1%	93.4%	93.8%	94.6%	94.8%	63.6%	63.8%	64.0%	63.7%	57.4%
Students	4.9%	5.4%	5.6%	4.9%	4.4%	4.8%	5.2%	5.3%	4.7%	4.2%	61.3%	60.8%	59.1%	60.1%	53.9%
International Students	1.8%	2.1%	2.4%	1.7%	1.6%	1.1%	1.4%	0.9%	0.7%	1.0%	37.5%	42.1%	24.0%	25.5%	37.2%
California Rural Students	9.2%	8.6%	8.8%	8.9%	8.5%	10.0%	9.1%	9.4%	9.4%	8.9%	68.1%	66.3%	67.5%	67.0%	59.3%
Underrepresented Minorities <sup>6</sup>	13.4%	14.7%	15.6%	15.4%	16.4%	12.5%	13.1%	14.6%	14.0%	14.8%	59.5%	56.6%	59.7%	57.6%	51.6%
All Students											63.1%	63.2%	62.8%	62.8%	56.9%

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students,

which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# UC IRVINE FIRST-TIME FRESHMEN Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

1146

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544

1153

590

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557

1157 1153 1161

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563

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562

CAMPUS PROFILE		Α	pplican	ts				Admits				Ad	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	22,123	24,686	29,165	30,596	34,403	13,310	14,087	17,219	17,325	18,516	60.2%	57.1%	59.0%	56.6%	53.8%
ACADEMIC INDICATORS		A	pplican	ts				Admits							
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades) Mean # Honors (10th, 11th and		43.7	43.7	44.0	45.4		44.5	44.5	44.7	46.3					
12th Grades)	10.4	11.1	10.6	11.1	11.4	12.9	13.7	13.0	13.7	14.4					
Mean HSGPA <sup>2</sup>	3.64	3.66	3.65	3.65	3.68	3.89	3.93	3.91	3.92	3.96					

		Percen	t of App	olicants	i		Perce	ent of A	dmits			Ac	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	14.6%	15.3%	16.7%	na	na	24.3%	26.8%	30.7%	na	na	98.4%	99.1%	99.1%
Outreach Participants <sup>4</sup>	9.8%	10.5%	13.5%	14.0%	na	8.8%	9.1%	12.9%	12.8%	na	54.2%	49.7%	56.4%	51.7%	na

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576

1212 1228

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1243

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604

### DEMOGRAPHIC INDICATORS

Mean SAT II Writing

Mean SAT I

Mean SAT II Math (1C and 2C)

INDICATORS		Percen	t of App	olicants	5		Perce	ent of A	dmits			Ad	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	36.2%	36.6%	37.0%	37.2%	37.4%	32.1%	31.1%	31.7%	31.6%	31.7%	53.3%	48.5%	50.6%	48.2%	45.7%
Low Family Income <sup>5</sup> First-Generation College and	22.2%	23.5%	21.7%	21.6%	21.4%	19.4%	19.7%	18.4%	17.8%	18.0%	52.6%	47.9%	50.0%	46.6%	45.2%
Low Family Income Students from California Low-	15.9%	16.8%	15.9%	15.9%	15.7%	13.0%	13.1%	13.0%	12.4%	12.8%	49.2%	44.7%	48.3%	44.3%	43.9%
Performing Schools	19.4%	20.5%	19.5%	20.5%	19.6%	17.2%	17.2%	17.1%	17.6%	17.3%	53.3%	48.0%	51.9%	48.6%	47.3%
California Residents Domestic Out-of-State	93.7%	93.0%	91.8%	93.6%	94.5%	94.9%	93.7%	93.6%	94.9%	96.7%	60.9%	57.5%	60.2%	57.4%	55.0%
Students	4.2%	4.8%	5.3%	4.5%	3.9%	4.0%	4.9%	4.6%	3.9%	2.7%	58.0%	57.5%	51.2%	48.9%	36.7%
International Students	2.1%	2.2%	2.9%	1.9%	1.6%	1.1%	1.4%	1.8%	1.2%	0.7%	31.4%	38.0%	36.9%	35.6%	22.8%
California Rural Students	4.7%	4.7%	5.4%	5.5%	5.7%	5.2%	5.0%	5.9%	5.9%	6.2%	65.6%	60.3%	63.7%	61.7%	58.7%
Underrepresented Minorities <sup>6</sup>	16.5%	17.4%	18.8%	19.7%	20.6%	14.4%	13.9%	15.6%	15.3%	16.1%	53.0%	45.9%	49.5%	44.3%	42.4%
All Students											60.2%	57.1%	59.0%	56.6%	53.8%

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# UC LOS ANGELES FIRST-TIME FRESHMEN Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

CAMPUS PROFILE		Α	pplican	ts				Admits				Ad	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	35,682	37,803	40,744	43,436	44,992	10,296	10,943	10,956	10,454	10,605	28.9%	28.9%	26.9%	24.1%	23.6%
ACADEMIC															
INDICATORS		Α	pplican	its				Admits							
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades)		45.0	45.0	45.3	46.7		46.6	46.7	46.9	48.5					
Mean # Honors (10th, 11th and 12th Grades)	l 12.5	12.9	12.3	13.1	13.3	17.1	17.7	17.2	18.2	18.5					
Mean HSGPA <sup>2</sup>	3.83	3.84	3.82	3.82	3.83	4.23	4.24	4.21	4.22	4.24					
Mean SAT I	1212	1215	1217	1208	1212	1331	1331	1333	1329	1340					
Mean SAT II Math (1C and 2C)	612	616	618	617	618	670	674	678	680	686					
Mean SAT II Writing	585	589	593	595	592	653	655	660	664	667					

		Percen	t of App	olicants	;		Perce	ent of A	dmits			Ac	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	16.0%	18.2%	18.4%	na	na	35.7%	40.4%	41.2%	na	na	60.1%	53.4%	52.6%
Outreach Participants <sup>4</sup>	8.3%	9.3%	11.6%	12.4%	na	9.3%	10.6%	14.5%	15.8%	na	32.4%	33.1%	33.6%	30.7%	na

#### DEMOGRAPHIC INDICATORS

INDICATORS		Percen	t of App	olicants	5		Perce	ent of A	dmits			Ad	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	29.8%	30.7%	30.7%	32.1%	32.6%	26.0%	26.8%	26.8%	30.2%	28.3%	25.1%	25.3%	23.5%	22.7%	20.4%
Low Family Income <sup>5</sup> First-Generation College and	17.4%	18.8%	18.0%	18.2%	19.2%	17.4%	18.2%	18.1%	20.1%	19.0%	28.8%	28.0%	27.0%	26.7%	23.3%
Low Family Income Students from California Low-	11.9%	13.1%	12.8%	13.1%	13.8%	12.2%	12.9%	13.1%	15.1%	14.1%	29.5%	28.5%	27.5%	27.7%	24.1%
Performing Schools	15.0%	16.1%	16.0%	17.5%	16.9%	15.1%	15.7%	16.8%	19.1%	17.7%	29.0%	28.3%	28.2%	26.4%	24.7%
California Residents Domestic Out-of-State	86.8%	85.3%	84.5%	86.4%	87.3%	90.4%	90.3%	90.1%	90.2%	89.8%	30.1%	30.6%	28.7%	25.1%	24.2%
Students	10.0%	11.2%	11.7%	10.6%	10.0%	7.7%	7.8%	7.8%	7.9%	8.1%	22.3%	20.0%	18.0%	17.9%	19.2%
International Students	3.2%	3.4%	3.9%	3.0%	2.7%	1.8%	1.9%	2.0%	1.9%	2.1%	16.5%	15.9%	14.2%	15.1%	18.2%
California Rural Students	4.5%	4.6%	4.7%	5.0%	5.0%	4.5%	4.2%	4.6%	4.5%	4.5%	28.5%	26.3%	26.0%	21.6%	21.4%
Underrepresented Minorities <sup>6</sup>	17.0%	18.1%	19.1%	20.3%	21.2%	14.0%	14.6%	15.6%	16.8%	16.2%	24.1%	23.8%	22.4%	20.2%	18.1%
All Students											28.9%	28.9%	26.9%	24.1%	23.6%

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# UC RIVERSIDE FIRST-TIME FRESHMEN Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

CAMPUS PROFILE		A	pplicar	Its				Admits	5			Ac	lmit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	16,211	18,515	20,933	22,913	8 26,482	13,663	15,755	17,841	18,758	22,231	84.3%	85.1%	85.2%	81.9%	83.9%
ACADEMIC INDICATORS		A	pplicar	its				Admits	5						
Means Moon # A G Courses (7th 12	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					

Mean # A-G Courses (7th-12th Grades) Mean # Honors (10th, 11th and		42.8	42.6	42.7	44.0		42.9	42.9	42.9	44.2
12th Grades)	8.8	9.5	8.6	9.3	9.2	9.6	10.3	9.4	10.0	10.0
Mean HSGPA <sup>2</sup>	3.54	3.55	3.50	3.51	3.51	3.66	3.67	3.60	3.61	3.60
Mean SAT I	1097	1101	1099	1099	1102	1117	1120	1123	1123	1127
Mean SAT II Math (1C and 2C)	554	560	558	560	560	561	566	567	569	570
Mean SAT II Writing	523	527	528	535	532	531	534	538	545	542

		Percen	t of App	olicants			Perce	ent of A	dmits			Ad	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	7.6%	10.5%	9.0%	na	na	8.9%	12.1%	10.6%	na	na	99.8%	95.0%	99.2%
Outreach Participants <sup>4</sup>	13.1%	13.7%	15.9%	16.0%	na	13.1%	13.4%	15.5%	15.5%	na	84.4%	83.3%	83.5%	79.3%	na

## DEMOGRAPHIC INDICATODO

INDICATORS		Percen	t of App	olicants	;		Perce	ent of A	dmits			Ad	Imit Rat	es	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	41.6%	42.8%	42.1%	42.0%	43.0%	40.2%	41.5%	40.2%	40.2%	40.2%	81.5%	82.5%	81.4%	78.2%	78.5%
Low Family Income <sup>5</sup> First-Generation College and	24.0%	25.3%	23.7%	22.7%	23.0%	23.0%	24.3%	22.1%	20.6%	20.5%	80.7%	81.7%	79.4%	74.5%	75.0%
Low Family Income Students from California Low-	18.4%	19.1%	18.3%	17.3%	17.5%	17.4%	18.3%	16.8%	15.5%	15.3%	79.7%	81.2%	78.2%	73.4%	73.3%
Performing Schools	23.8%	25.2%	23.3%	25.2%	23.2%	23.3%	24.5%	21.7%	23.1%	20.9%	82.5%	82.8%	79.3%	75.0%	75.6%
California Residents Domestic Out-of-State	95.9%	96.0%	94.0%	96.0%	96.6%	97.3%	97.0%	95.4%	97.0%	97.4%	85.5%	86.0%	86.5%	82.7%	84.6%
Students	2.4%	2.3%	3.4%	2.3%	2.0%	1.7%	1.7%	2.8%	1.9%	1.5%	58.5%	62.3%	69.8%	65.1%	62.3%
International Students	1.7%	1.7%	2.6%	1.6%	1.4%	1.0%	1.3%	1.8%	1.1%	1.2%	51.3%	64.6%	59.3%	55.5%	69.0%
California Rural Students	7.2%	7.0%	7.3%	7.5%	7.3%	7.6%	7.2%	7.4%	7.8%	7.5%	88.4%	88.4%	86.4%	85.2%	86.3%
Underrepresented Minorities <sup>6</sup>	23.3%	24.5%	25.8%	26.8%	28.2%	21.5%	22.7%	23.7%	24.5%	25.2%	78.5%	79.2%	79.0%	75.3%	75.4%
All Students											84.3%	85.1%	85.2%	81.9%	83.9%

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# UC SAN DIEGO FIRST-TIME FRESHMEN Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

CAMPUS PROFILE		А	pplican	ts				Admits	;			Ad	mit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	32,482	35,693	38,188	41,346	43,461	13,115	13,643	16,390	16,960	16,254	40.4%	38.2%	42.9%	41.0%	37.4%
ACADEMIC INDICATORS		А	pplican	ts				Admits	i						
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades) Mean # Honors (10th, 11th and		44.6	44.7	44.9	46.2		45.6	45.7	45.8	47.4					
12th Grades)	12.0	12.4	11.8	12.5	12.7	15.9	16.4	15.5	15.8	16.7					
Mean HSGPA <sup>2</sup>	3.79	3.81	3.79	3.79	3.80	4.16	4.20	4.15	4.13	4.17					
Mean SAT I	1210	1213	1215	1208	1211	1308	1313	1313	1293	1304					
Mean SAT II Math (1C and 2C)	607	612	617	617	617	658	665	672	666	667					
Mean SAT II Writing	584	587	592	595	590	638	642	649	645	642					
		Percen	t of Ap	olicants	5		Perce	ent of A	dmits			Ad	mit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	14.9%	17.6%	17.8%	na	na	30.8%	38.1%	41.5%	na	na	88.4%	88.9%	87.2%
Outreach Participants <sup>4</sup>	7.2%	8.3%	10.5%	11.3%	na	7.5%	9.4%	10.7%	13.9%	na	42.1%	43.1%	43.8%	50.5%	na
DEMOGRAPHIC															
INDICATORS		Percen	t of Ap	olicants	6		Perce	ent of A	dmits			Ad	mit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	27.1%	28.5%	28.8%	30.2%	30.8%	24.5%	27.1%	25.7%	31.6%	31.5%	36.5%	36.3%	38.3%	42.8%	38.3%
Low Family Income <sup>5</sup> First-Generation College and	14.8%	16.4%	15.7%	16.4%	16.7%	16.3%	17.4%	15.2%	19.1%	19.1%	44.4%	40.6%	41.5%	48.0%	42.7%
Low Family Income Students from California Low-	9.9%	11.0%	10.9%	11.5%	11.7%	10.5%	11.6%	9.7%	13.7%	13.6%	42.7%	40.2%	38.4%	48.9%	43.6%
Performing Schools	12.5%	14.1%	13.7%	15.0%	15.0%	12.7%	14.7%	12.2%	16.6%	17.0%	41.2%	40.0%	38.3%	45.6%	42.4%
California Residents Domestic Out-of-State			88.8%					93.4%				40.2%			
Students	7.8%	8.4%	8.8%	8.2%	7.3%	4.5%	4.8%	5.2%	2.0%	5.6%	23.2%	21.7%	25.4%	10.0%	29.0%
International Students	1.7%	1.9%	2.4%	1.8%	1.7%	0.8%	1.0%	1.4%	1.0%	0.7%		19.3%			
California Rural Students	6.2%	6.2%	6.4%	6.5%	6.6%	6.3%	6.3%	6.7%	7.1%	7.2%	41.2%	38.8%	44.7%	45.1%	40.9%

#### Underrepresented Minorities<sup>6</sup> 14.2% 15.3% 16.3% 16.9% 17.7% 10.4% 11.5% 11.1% 14.2% 14.5% 29.8% 29.0% 29.5% 34.8% 31.0% All Students

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

40.4% 38.2% 42.9% 41.0% 37.4%

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# **UC SANTA BARBARA FIRST-TIME FRESHMEN** Source: SYSTEMWIDE ADMISSIONS DATA

Fall Term

CAMPUS PROFILE		Α	pplican	ts				Admits	;			Ad	Imit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	26,952	31,224	34,018	34,690	37,590	14,375	14,677	17,013	17,692	18,777	53.3%	47.0%	50.0%	51.0%	50.0%
ACADEMIC INDICATORS		Α	pplican	ts				Admits	;						
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades) Mean # Honors (10th, 11th and		43.9	43.8	44.1	45.5		44.7	44.7	44.9	46.2					
12th Grades)	9.3	10.3	10.0	10.6	10.7	12.3	13.4	12.9	13.4	13.4					
Mean HSGPA <sup>2</sup>	3.60	3.65	3.65	3.66	3.66	3.87	3.96	3.94	3.93	3.93					
Moon SAT I	1150	4470	4470	4474	4470	1004	1000	1040	1000	1000					

		Percent	t of App	licants			Perce	nt of A	dmits		Admi	t Rates
Mean SAT II Writing	561	569	571	577	573	594	605	608	609	610		
Mean SAT II Math (1C and 2C)	572	584	585	589	590	605	619	622	619	622		
Mean SAT I	1158	1170	1172	1171	1173	1224	1238	1240	1229	1238		

	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	9.9%	11.6%	10.8%	na	na	18.2%	20.9%	19.9%	na	na	91.9%	92.1%	92.2%
Outreach Participants <sup>4</sup>	7.8%	8.7%	10.4%	10.6%	na	8.5%	10.2%	11.5%	11.9%	na	58.5%	54.9%	55.3%	57.3%	na

# DEMOGRAPHIC

INDICATORS		Percen	t of App	olicants	<b>i</b>		Perce	ent of A	dmits			Ad	Imit Rat	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	28.3%	29.0%	29.5%	29.7%	31.2%	28.0%	28.5%	28.6%	30.2%	30.0%	52.8%	46.2%	48.4%	51.9%	48.1%
Low Family Income⁵	14.0%	15.6%	15.2%	15.0%	15.9%	14.5%	16.8%	15.5%	16.0%	16.1%	55.3%	50.6%	51.3%	54.1%	50.4%
First-Generation College and Low Family Income Students from California Low-	9.7%	10.9%	10.9%	10.8%	11.6%	10.4%	12.0%	11.5%	11.7%	11.8%	57.2%	51.6%	52.5%	55.3%	50.9%
Performing Schools	13.1%	14.2%	14.3%	14.6%	14.8%	13.9%	15.6%	15.0%	16.2%	15.8%	56.5%	51.7%	52.7%	56.4%	53.6%
California Residents Domestic Out-of-State	91.7%	90.7%	90.0%	91.2%	91.9%	91.9%	91.7%	91.3%	92.2%	92.7%	53.4%	47.5%	50.8%	51.6%	50.4%
Students	6.8%	7.8%	8.0%	7.5%	7.0%	7.0%	7.3%	7.4%	6.9%	6.5%	54.7%	43.8%	45.9%	46.7%	45.8%
International Students	1.4%	1.5%	2.0%	1.3%	1.1%	1.1%	1.0%	1.3%	0.9%	0.8%	41.9%	30.9%	32.2%	34.9%	36.1%
California Rural Students	8.0%	7.5%	7.7%	7.5%	7.6%	8.6%	8.5%	8.8%	9.0%	9.3%	57.7%	53.5%	57.5%	61.7%	61.2%
Underrepresented Minorities <sup>6</sup>	16.7%	17.4%	18.7%	18.8%	20.2%	15.7%	16.6%	17.5%	17.9%	18.4%	50.3%	45.1%	47.1%	48.7%	45.7%
All Students											53.3%	47.0%	50.0%	<b>51.0%</b>	50.0%

#### **All Students**

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

# **UC SANTA CRUZ FIRST-TIME FRESHMEN** Source: SYSTEMWIDE ADMISSIONS DATA Fall Term

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CAMPUS PROFILE		Α	pplican	ts				Admits	;			Ac	Imit Ra	tes	
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
Total Number	14,420	19,273	22,403	24,200	21,707	10,979	16,020	18,602	19,991	17,229	76.1%	83.1%	83.0%	82.6%	79.4%
ACADEMIC INDICATORS		А	pplican	Its				Admits	i						
Means	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003					
Mean # A-G Courses (7th-12th Grades) Mean # Honors (10th, 11th and		43.7	43.7	43.8	45.6		43.9	43.8	44.0	45.8					
12th Grades)	8.5	9.1	8.7	9.1	9.3	9.7	9.9	9.5	9.8	10.2					
Mean HSGPA <sup>2</sup>	3.52	3.57	3.57	3.54	3.53	3.69	3.69	3.68	3.66	3.67					
Mean SAT I	1151	1147	1148	1145	1153	1179	1165	1170	1167	1181					

	Percent of Applicants					Percent of Admits					Admit Rates				
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
ELC Students <sup>3</sup>	na	na	8.8%	7.5%	6.7%	na	na	10.5%	9.0%	8.4%	na	na	99.4%	99.1%	99.4%
Outreach Participants4	9.2%	9.9%	11.8%	11.5%	na	9.7%	9.8%	11.6%	11.0%	na	80.0%	81.9%	81.7%	79.2%	na

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### DEMOGRAPHIC INDICATODO

Mean SAT II Writing

Mean SAT II Math (1C and 2C)

INDICATORS		Percent of Applicants					Percent of Admits					Admit Rates			
	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003	1999	2000	2001	2002	2003
First-Generation College	29.3%	31.0%	31.7%	31.6%	32.2%	28.4%	29.7%	30.0%	29.9%	29.7%	73.9%	79.6%	78.6%	78.0%	73.2%
Low Family Income <sup>5</sup> First-Generation College and	16.4%	16.4%	16.4%	15.6%	17.3%	16.1%	15.4%	14.9%	13.9%	15.4%	74.7%	78.0%	75.4%	73.2%	70.9%
Low Family Income Students from California Low-	11.6%	11.6%	12.3%	11.4%	12.8%	11.4%	10.7%	11.0%	10.1%	11.4%	74.9%	77.1%	74.1%	72.8%	71.0%
Performing Schools	13.5%	14.5%	15.0%	14.6%	14.8%	13.1%	13.8%	13.8%	13.3%	13.3%	74.3%	79.4%	76.2%	75.2%	71.2%
California Residents Domestic Out-of-State	89.9%	91.5%	91.1%	92.6%	92.0%	92.0%	93.4%	93.2%	94.3%	93.9%	78.0%	84.8%	84.9%	84.1%	81.0%
Students	8.6%	7.4%	7.2%	6.3%	7.0%	7.3%	6.1%	5.8%	5.2%	5.6%	64.6%	68.2%	67.8%	68.0%	63.5%
International Students	1.5%	1.1%	1.8%	1.1%	1.0%	0.6%	0.5%	1.0%	0.5%	0.4%	33.2%	38.4%	47.0%	38.3%	35.7%
California Rural Students	8.6%	8.8%	8.9%	8.2%	7.7%	9.8%	9.3%	9.4%	8.7%	8.3%	87.4%	88.4%	87.5%	87.2%	85.3%
Underrepresented Minorities <sup>6</sup>	17.2%	18.1%	19.6%	19.4%	20.6%	15.9%	16.9%	17.9%	17.5%	18.2%	70.9%	78.1%	76.6%	74.9%	70.6%
All Students											76.1%	83.1%	83.0%	82.6%	79.4%

Note: Applicant and admit counts include referral pool. Admit counts for 2002 do not consider applications cancelled by students, which artificially depresses the 2002 admit rate.

1 For internal consistency, percentages have been calculated as a fraction of all students, international and domestic. This will result in minor differences with other UC publications that report on domestic or CA resident students only. Note that URMs only are calculated as a percentage of domestic students.

2 HSGPA is honors-weighted GPA in A-G coursework

3 ELC 2001 counts do not include Special Process. Note that admit rates include applicants that cancelled before being admitted. Thus, ELC admit rates will be less than 100%.

4 Outreach participants include students who participated in EAOP, MESA, Puente or School University Partnership programs only. The sum of campus counts exceeds systemwide counts since students can apply to more than one campus. Information for 2003 is not yet available.

5 Family Income is expressed in 1999 dollars. Low family income is defined as less than or equal to \$30,000 in 1999 dollars.

6 American Indian, African American, Chicano, or Latino. Following longstanding UC reporting practices, this indicator has been calculated as a fraction of domestic students only.

# Appendix C

# <u>"No-Show" Study: College Destinations of UC Applicants</u> <u>Who Do Not Enroll at UC, 1997-2002</u>

# "NO SHOW" STUDY:

# COLLEGE DESTINATIONS OF UC APPLICANTS WHO DO NOT ENROLL AT UC, 1997-2002



Saul Geiser and Kyra Caspary Admissions Research Unit Student Academic Services University of California Office of the President August, 2003

# "NO SHOW" STUDY: COLLEGE DESTINATIONS OF UC APPLICANTS WHO DO NOT ENROLL AT UC, 1997-2002

## Introduction and Overview

University of California (UC) admissions policies have undergone profound change over the past several years. UC has seen not only SP-1 and Proposition 209, eliminating consideration of race and ethnicity in university admissions, but also a series of reforms – including Eligibility in the Local Context (the 4% Plan), emphasis on curriculum-based achievement tests, and comprehensive review in admissions – intended to help maintain access to UC for high-achieving students from socioeconomically and educationally disadvantaged backgrounds.

One concern that has been raised about these reforms is their possible negative impact on the academic quality of the UC student body. Specifically, the concern that has been raised is that, in the effort to maintain access for students from disadvantaged backgrounds, UC's admissions reforms may turn away other high-achieving students from the university. For example, these students may now be denied admission at the most selective UC campuses, and rather than attend another UC campus, they may choose to exit the UC system entirely and enroll at other colleges and universities. The potential loss of top students has been a persistent theme among those who have questioned comprehensive review and other recent UC admissions initiatives.

Until recently there has been no reliable way to monitor the college destinations of "no shows" – students who apply to UC and are admitted, but who do not enroll. Beginning in 2002, however, UC entered into an agreement with the National Student Clearinghouse (NSC), which permits tracking of student enrollment at more than 2,500 U.S. postsecondary institutions. Also known as the National Student Loan Clearinghouse, the NSC was established as a mechanism to verify student enrollment for purposes of loan repayment; the NSC database now encompasses almost all major colleges and universities in the US and includes enrollment information on all students at those institutions (whether or not they receive loans or other financial aid). The present study utilized the NSC data to determine the final college destinations of all students who applied for freshman admission to UC between Fall 1997 through Fall 2002, the period during which UC's recent admissions reforms were introduced.<sup>1</sup>

Two main findings emerge from the data. First, there is no evidence that UC is losing an increasing share of top students to other institutions. For example, among admitted students in the top third of the UC applicant pool (based on high school grades and test scores), between 63% and 65% enroll at UC, and this proportion has held steady for the past five years.

<sup>&</sup>lt;sup>1</sup> A complete description of the study methodology is provided in Appendix 1.

Second, however, a much different picture emerges for top UC applicants from underrepresented minority backgrounds.<sup>2</sup> Latino, African American and American Indian students in the top third of the pool enroll at UC at considerably lower rates than other top students, and the gap has widened noticeably in the past five years. The gap is especially pronounced among those applying to Berkeley and UCLA, the two most selective campuses in the UC system. For example, the majority (59%) of top underrepresented minority applicants denied admission to Berkeley and UCLA now exit the UC system, whereas the majority (57%) of other top applicants denied at Berkeley and UCLA remain in the system and attend another UC campus. The NSC data indicate that private selective universities are the primary beneficiaries of UC's loss. A likely explanation for this trend is that private selective institutions continue to practice affirmative action in admissions, financial aid and recruitment efforts and so are at a competitive advantage in attracting top underrepresented minority California high school graduates who previously would have attended UC, although it is not possible to confirm this hypothesis directly with the Clearinghouse data.

The report is organized into two parts. Part I examines the final college choices of all applicants admitted to the UC system between 1997 and 2002. Because concerns have been raised about the possible effect of recent admissions reforms on student quality, this part of the report focuses most closely on students in the top third of the UC applicant pool, although we also examine patterns of college choice among those in the middle and bottom of the applicant pool. Part II examines the pattern of college enrollments among students applying to UC's two most selective campuses, Berkeley and UCLA, but who were *denied* admission at those campuses. This analysis addresses concerns that introduction of comprehensive review at Berkeley and UCLA could result in the loss of many high-achieving students who, if denied admission, would choose to enroll at other colleges and universities rather than remain within the UC system. The study methodology is described in Appendix 1, and the complete set of data tables upon which the report is based is provided in Appendix 2.

#### I. College Destinations of Students Admitted to UC, 1997 to 2002

Display 1 (next page) shows the college destinations of all students who ranked within the top third of the UC applicant pool and were admitted to at least one UC campus between Fall 1997 to Fall 2002. As a group, these students are very strong academically: The mean high-school GPA for this group was 4.09 and their average SAT I score was 1353 in 2002. Display 1 shows the proportion of these students enrolling at UC, private selective institutions, the California State University (CSU), the California Community Colleges (CCC), and other colleges and universities, by year, from 1997 to 2002. Private selective institutions were defined for purposes of this study as those with overall admission rates (ratio of admits to applicants) of 50% or lower; the category includes both in-state and out-of-state institutions. The "unknown" category shown in Display 1 represents students for whom no information was available in the Clearinghouse or who attended an institution that did not participate in the Clearinghouse in 1997.

<sup>&</sup>lt;sup>2</sup> Underrepresented minorities are defined as groups whose UC-eligibility rates are significantly below the Master Plan-mandated rate of 12.5% of California public high school graduates.

#### **Display 1**

# College Destinations of Top Third\* of UC Admit Pool, 1997 to 2002

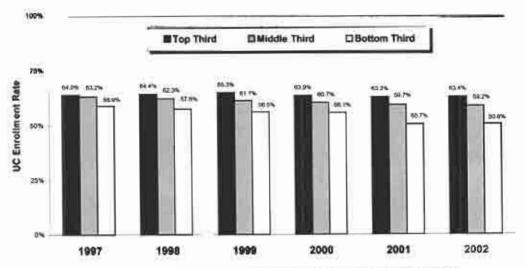
	1997	1998	1999	2000	2001	2002	Change in Proportion, 1997 to 2002
UC	64.0%	64,4%	65.3%	63.9%	63.3%	63.4%	-0.6%
Private Selective	12.3%	11.4%	11.6%	13.7%	13.4%	13.8%	1.5%
CSU	2.8%	3.3%	3.3%	3.5%	3.7%	4.1%	1.3%
CCC	0.6%	0.6%	0.6%	0.4%	0.6%	0.4%	-0.2%
Other	5.2%	5.0%	4.7%	5.0%	4.9%	5.3%	0.1%
Unknown	15.2%	15.2%	14.6%	13.5%	14.0%	12.9%	-2.3%

\* Admitted students in top third of the UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II accres. Source: UC Admissions and National Student Clearinghouse data.

As Display 1 indicates, UC has been consistently successful in enrolling its top admits: 63.4% of these students enrolled at UC in 2002, virtually the same proportion who enrolled in 1997, 64.0%. Although private selective institutions increased their share of these students slightly from 12.3% to 13.8% between 1997 and 2002, and while CSU also increased its share from 2.8% to 4.1% during the same period, these changes have not led to any significant diminution in the proportion of top students who enroll at UC.

To provide a point of comparison, Display 2 below shows UC enrollment rates for admitted students in the middle and bottom as well as the top third of the applicant pool. While UC's share of top admits has remained consistent, it is evident that there has been some erosion in UC enrollment rates among those in the bottom third of the pool:



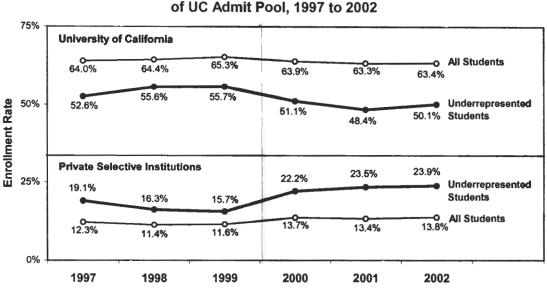


\* Admitted students in top, middle and bottom third of UC applicant pool based on combined HSGPA.

SAT I (or ACT) and SAT II scores. Source: UC Admissions and National Student Clearinghouse date.

Most of the decline in UC enrollment rates among students at the bottom third of the pool appears to reflect increased competition for these students from CSU: The proportion of these students enrolling at CSU has increased from 10.8% in 1997 to 16.9% in 2002.<sup>3</sup> But among admitted students in the top third of the UC applicant pool, CSU accounts for only a very small share of enrollments, 4.1%, and UC's share remains consistently strong, with 63.4% of these students choosing to enroll at UC in 2002.

At the same time, UC's strong *overall* performance in enrolling top students masks sharp differences between subgroups, as indicated in Display 3 below. While the overall UC enrollment rate among top admits has remained steady over the past five years, this has not been the case for top underrepresented minority admits: Underrepresented minorities in the top third of the pool enroll at UC at a considerably lower rate than other students, and the gap has widened in the last few years:



Display 3 College Destinations of Top Third\* of UC Admit Pool, 1997 to 2002

\* Admitted students in top third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores. Source: UC Admissions and National Student Clearinghouse data.

Moreover, as Display 3 also illustrates, private selective institutions have been the main beneficiary of UC's loss of top underrepresented minority admits. A likely explanation for this trend is that private selective institutions continue to practice affirmative action in admissions, financial aid and enrollment-yield efforts and so are at a competitive advantage over UC in recruiting such students. For example, while is generally less expensive to attend UC than an elite private school, there are considerable differences across racial and ethnic groups in this regard. After taking into account differences in financial aid packages, the net savings of choosing UC over a private school is on

<sup>&</sup>lt;sup>3</sup> Further data on CSU enrollment rates for UC admits can be found in Appendix 2.

average \$4,000 *less* for African Americans and Latinos than for other students, according to a recent UC study.<sup>4</sup> As shown above in Display 3, this competitive difference became particularly evident in 1999-2000, when private-selective enrollment of top under-represented minority admits jumped by approximately six percentage points and the UC enrollment rate for these students fell by almost the same amount. It should be emphasized, however, that while highly suggestive, the Clearinghouse data do not permit direct confirmation that the presence or absence of race-based preferences is the primary factor underlying these trends.<sup>5</sup>

Top College Destinations for All "No Shows"	#	Top College Destinations for Underrepresented "No Shows"	#
University of Southern California	628	Stanford University	118
CSU: San Luis Obispo	424	University of Southern California	99
Stanford University	367	CSU: San Luis Obispo	28
Harvard and Radcliffe Colleges	131	Harvard and Radcliffe Colleges	27
Cornell University	125	Yale University	21
New York University	108	Cornell University	19
Brown University	108	New York University	16
Yale University	101	Brown University	16
Santa Clara University	99	Loyola Marymount University	15
Northwestern University	97	Princeton University	15
Georgetown University	79	Santa Clara University	10
University of the Pacific	76	CSU: Long Beach	10
CSU: Long Beach	70	Pomona College	9
Pomona College	69	Georgetown University	8
Loyola Marymount University	63	Claremont McKenna College	8
Claremont McKenna College	57	Occidental College	8
Johns Hopkins University	57	CSU: San Diego	8
Harvey Mudd College	56	Harvey Mudd College	7
Pepperdine University	51	Pepperdine University	7
Princeton University	50	University of Chicago	7

# Display 4 Top 20 College Destinations for "No Shows" in Top Third\* of UC Admit Pool, 2002

\* Admitted students in top third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores. Source: UC Admissions and National Student Clearinghouse data.

Display 4 above lists those colleges and universities that recruit the largest numbers of "no shows" from among the pool of top admits to UC. Institutions are listed in order of the number of UC admits they enroll, and separate lists are shown for underrepresented

<sup>&</sup>lt;sup>4</sup> Report on Findings from 2001 Undergraduate Student Support Survey, UC Office of the President: Student Academic Services, February 2003, p. 17.

<sup>&</sup>lt;sup>5</sup> A further indication that race and ethnicity, rather than other admissions factors, may be at the root of the trends shown here is that similar trends are *not* evident for other demographic groups, such as low-income or first-generation college students. For example, among students in the top third of the pool who were first-generation college and had family incomes below \$30,000, the UC enrollment rate increased from 67.6% to 72.4% between 1997 and 2002, while the proportion enrolling in private selective institutions decreased from 11.8% to 10.7% during the same period. See Appendix 2 for complete data.

minorities and for all admitted students who were "no shows" at UC in 2002. Two points are noteworthy. First, private selective institutions dominate the list of schools that draw the largest number of top students away from UC. Although three CSU campuses do appear on the two lists, all of the other colleges shown are private selective institutions. Second, almost all of the institutions that enroll the largest number of underrepresented minority "no shows" are the same as those that enroll other UC "no shows," although the order of institutions is different on the two lists. Stanford, CSU San Luis Obispo and USC stand out at the top of both lists. Also noteworthy are those institutions that do *not* appear on the lists. In the immediate aftermath of SP-1, there was much concern that underrepresented minority students might increasingly be diverted from UC to historically black colleges and universities (HBCUs) or Hispanic Serving Institutions (HSIs). In fact, however, no HBCUs or HSIs make the list of the leading institutions enrolling top underrepresented minority "no shows."<sup>6</sup>

#### II. College Destinations of Those Denied Admission at Berkeley and UCLA

Another issue that has been raised in connection with recent UC admissions initiatives concerns their impact on students applying to the most selective campuses in the UC system, Berkeley and UCLA. In discussions leading up to adoption of comprehensive review, for example, concerns were expressed that, by giving greater weight in admissions decisions to educational disadvantage and other background factors affecting student achievement, Berkeley and UCLA might deny admission to many high-achieving students who previously would have been admitted. Rather than attend another UC campus, these students might choose to exit the system entirely and enroll at other colleges and universities, with the result that many top students would be lost to UC.

The NSC data permit examination of this hypothesis. Display 5 below shows the college destinations of students in the top third of the UC applicant pool who were denied admission at Berkeley and UCLA between 1997 and 2002:

Display 5
College Destinations of Top Applicants*
Denied Admission to Berkeley and UCLA, 1997 to 2002

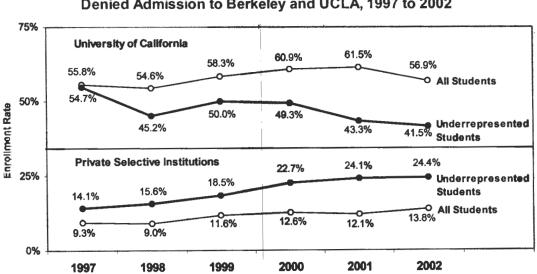
	1997	1998	1999	2000	2001	2002	hange in Proportion, 1997 to 2002
UC	55.8%	54.6%	58.3%	60.9%	61.5%	56.9%	1.1%
Private Selective	9.3%	9.0%	11.6%	12.6%	12.1%	13.8%	4.5%
CSU	5.8%	7.6%	5.7%	5.5%	5.8%	6.9%	1.1%
CCC	2.3%	2.2%	1.7%	1.3%	1.5%	1.0%	-1.3%
Other	7.2%	7.3%	6.8%	6.7%	6.5%	7.3%	0.1%
Unknown	19.6%	19.2%	15.9%	13.1%	12.6%	14.1%	-5.5%

\* Students in top third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores. Source: UC Admissions and National Student Clearinghouse data.

<sup>&</sup>lt;sup>6</sup> Further data on enrollment of UC "no shows" at HBCUs and HSIs are shown in Appendix 2.

Though some year-to-year variation is evident, Display 5 indicates that the majority of top applicants denied admission at Berkeley and UCLA remain within the system and enroll at other UC campuses, and this pattern has held relatively steady over time: While the proportion of such students enrolling at other UC campuses did decline slightly, from 61.5% to 56.9%, between 2001 and 2002, the proportion has actually increased over the five-year period covered by this study, from 55.8% in 1997 to 56.9% in 2002. And while the proportion of students choosing to attend private selective institutions also increased, from 9.3% in 1997 to 13.8% in 2002, that change did not diminish the overall rate at which students denied admission at Berkeley and UCLA continued to enroll elsewhere within the UC system between 1997 and 2002.<sup>7</sup>

Again, however, the overall UC enrollment rate masks substantial variations across different racial and ethnic groups. As shown in Display 6 below, since SP-1 took effect in UC admissions in 1998, there has been a growing difference between underrepresented minorities and other students in the rate at which those denied admission at Berkeley and UCLA choose to remain within the UC system:



# College Destinations of Top Applicants\* Denied Admission to Berkeley and UCLA, 1997 to 2002

**Display** 6

Students in top third of UC applicant pool based on combined HSGPA, SAT I (or ACT) and SAT II scores.
 Source: UC Admissions and National Student Clearinghouse data.

<sup>&</sup>lt;sup>7</sup> It should be noted that these trends are independent of the decline between 1997 and 2002 in the proportion of students whose college destinations were "unknown." In order to ensure that enrollment trends reported here are due to actual shifts in attendance patterns and not merely an artifact of more institutions joining the Clearinghouse during this period, the study did not classify the college destination of a student as "known" unless the college began participating in the Clearinghouse in 1997 or earlier. Thus, increases during this period in the proportion of students attending private selective colleges or CSU are *not* attributable to decreases in the proportion of students with "unknown" college destinations.

By 2002, only 41.5% of top underrepresented minority students chose to remain at UC, and the great majority (58.5%) of African American, American Indian and Latino students denied admission at Berkeley and UCLA were now electing to attend other colleges and universities.<sup>8</sup>

Consistent with trends observed earlier in this report, it appears that selective private colleges and universities have been the main beneficiaries of these changes: The proportion of underrepresented applicants denied admission to Berkeley and UCLA who chose to attend private selective institutions rose from 14.1% in 1997 to 24.4% in 2002, according to Clearinghouse data. The increase in the proportion of top underrepresented minority applicants attending private selective institutions corresponds closely to the decline in the proportion of these students attending UC after SP-1 and Proposition 209 took effect in 1998.

## Summary

Contrary to concerns that comprehensive review and other UC admissions reforms enacted after SP-1 and Proposition 209 might divert top students to other colleges and universities, the Clearinghouse data provide little evidence of such impact. Overall, the University of California continues to be successful in attracting and enrolling a majority of top applicants from California high schools. But overall enrollment patterns mask substantial variations across different racial and ethnic groups. Top underrepresented minority applicants enroll at UC at considerably lower rates than other students, and the gap has widened noticeably since SP-1 and Proposition 209 took effect in UC undergraduate admissions. The Clearinghouse data suggest that private selective institutions have increasingly drawn away top underrepresented minority California high school graduates who previously would have attended UC.

Different policy implications can be drawn from these findings, depending on one's political perspective. For supporters of affirmative action, these findings will underscore the importance of higher education institutions' ability to consider race and ethnicity both in admissions and in financial aid in order to attract and enroll a diverse student body. For opponents, the fact that virtually all underrepresented minority "no shows" at UC were admitted and enrolled at other top colleges and universities may suggest much ado about nothing, as it would be difficult to argue that opportunities for these students have been diminished.

But perhaps the main policy implication of this study derives not from trends in the college destinations of top underrepresented minority applicants to UC, but from the realization of just how few in number these students are to begin with: Less than 10 percent of those who rank within the top third of the UC applicant pool are Latino, African American or American Indian, although these groups comprise over 40 percent of California high school graduates. This finding underscores the responsibility of public

<sup>&</sup>lt;sup>8</sup> It should be noted that the percentages for top underrepresented minority applicants are based on relatively small numbers, which can result in random, year-to-year fluctuations. See Appendix 2 for complete data.

higher educational institutions to engage with K-12 schools, and of state legislatures to support such outreach efforts, in order to improve the quality of teaching and learning in our lowest-performing schools, where those who are underrepresented in higher education remain most heavily concentrated. Outreach, more than admissions, may be the key to expanding the limited pool of highly qualified minority applicants for whom UC and other leading institutions now compete.

## Appendix 1: Methodology

This study combined data from a variety of sources to track the first-year college destinations of graduating high school seniors from California who applied to UC for the years 1997 through 2002. The University of California Corporate Student System database provides system-wide and campus-specific application, admission, and enrollment information for students who apply to the University of California. By matching these files to data from the National Student Clearinghouse (NSC), the most comprehensive source for postsecondary school enrollment information in the United States, we examined the college choices of all applicants, including those who did not enroll at a UC campus.

Data Source	Population								
Student-level data									
University of California Corporate Student System Data	UC applicants, admits, and enrollments for the years 1997-2002								
National Student Loan Clearinghouse	Student enrollment data from more than 2,500 postsecondary schools								
Institutional-level data	a on colleges and universities								
College Board Annual Survey of Schools	Annual survey of over 3,500 colleges and universities, 1997-2001								
Integrated Postsecondary Education Data System	Data on 6,412 postsecondary institutions from 2000								

#### **Population**

Age and applicant status: In order to examine the enrollment trends of students applying to UC directly out of high school, we limited the analysis to fall first-time freshman applicants to the University of California, ages seventeen and a half through nineteen as of June of their application year.

**Top third of applicants:** The focus of this analysis is on admitted and denied students in the top third of UC applicants. Applicants from the entire UC applicant pool for each year were ranked based on an academic index comprised of high school grade point average (capped at eight extra honors points) times 1000, SAT I or ACT score, and three SAT II scores. Students were assigned to the top, middle, or bottom third of the applicant pool based on this ranking.

## **College Destinations**

Currently, more than 2,500 American college and university campuses participate in the NSC (representing 1,868 separate institutions), capturing almost all major American colleges and universities. Because of this high rate of participation, we are able to capture college destinations for nearly 90 percent of UC applicants in 2002; however, in

1996 fewer than half of these postsecondary institutions participated in the Clearinghouse (see final page of appendix 2 for a summary of institutional participation over time).<sup>9</sup> As a result, we are not comfortable displaying or drawing conclusions from the trends revealed by Clearinghouse data prior to 1997.

Using two national surveys of postsecondary institutions, the College Board Annual Survey of School and the Integrated Postsecondary Education Data System, we were able to look not just at the individual schools selected by UC applicants, but also at the common characteristics of these schools. We grouped the college destinations into five broad categories:

- 1. University of California: All eight undergraduate UC campuses are included in this classification, and enrollment numbers are derived from UC data.
- 2. *Private Selective Institution:* Private colleges or universities with a mean admission rate for the years 1997-2001, derived from the College Board Annual Survey of Schools, that is less than or equal to fifty percent. There were forty-one schools in this category participating in the Clearinghouse in 1997.
- California State University: Thirteen of the twenty-three California State University campuses participated in the Clearinghouse in 1997.
- 4. *California Community Colleges:* Thirty-seven of the 108 California Community College campuses participated in the Clearinghouse in 1997.
- 5. *All other:* The other category includes two-year and proprietary institutions, as well as less selective four-year private institutions and out-of-state public colleges and universities. More than 1,250 institutions in this category have participated in the Clearinghouse since 1997.

The growth in the number of institutions participating in the Clearinghouse in recent years posed a methodological challenge for the study. In examining trends over time, we needed to identify true shifts in attendance patterns, as distinct from increases resulting from greater institutional participation in the Clearinghouse. For example, the number of private selective institutions participating in the Clearinghouse increased by 34 percent between 1997 and 2002, from 41 to 55 schools. As a result, we would expect the number of students classified as attending private institutions to increase by a similar percentage over this period. To eliminate these increases that are an artifact of more institutions participating in the Clearinghouse rather than a result of underlying shifts in student behavior, we did not classify the college destination of a student unless the institution began participating in the Clearinghouse in 1997 or earlier. If the Clearinghouse data shows a student as enrolling at an institution that began participating in NSC after 1997, the college destination of this student is classified as "unknown".

<sup>&</sup>lt;sup>9</sup> These numbers group institutions that participate in the Clearinghouse but prohibit release of their data (schools that "block" release of their data) with non-participants, because in both cases they cannot be included in the study.

## **Appendix 2: Supporting Data**

Enrollment Trends for UC CA Freshman Admits, 1997-2002

Enrollment Trends for UC CA Underrepresented Minority Freshman Admits, 1997-2002

Enrollment Trends for UC CA Low SES Freshman Admits, 1997-2002

Enrollment Trends for CA Freshman Applicants Denied at UCB and UCLA, 1997-2002

Enrollment Trends for CA Underrepresented Minority Freshman Applicants Denied at UCB and UCLA, 1997-2002

Enrollment Trends for CA Low SES Freshman Applicants Denied at UCB and UCLA, 1997-2002

Institutional Participation in the National Student Clearinghouse by Institution Type, 1993-2002

	19	1997		1998		1999		2000		01	20	02
	#	%		%	#	%	#	%	#	%	#	%
UC	7,982	64.0%	8,505	64.4%	9,008	65.3%	8,996	63.9%	9,787	63.3%	10,160	63.4%
CSU	352	2.8%	440	3.3%	453	3.3%	492	3.5%	577	3.7%	656	4.1%
CCC	73	0.6%	83	0.6%	76	0.6%	59	0.4%	92	0.6%	71	0.4%
Private Selective¥	1,532	12.3%	1,506	11.4%	1,593	11.6%	1,928	13.7%	2,076	13.4%	2,209	13.8%
All Other	646	5.2%	657	5.0%	642	4,7%	701	5.0%	757	4.9%	851	5.3%
Unknown	1,894	15.2%	2,012	15.2%	2,019	14.6%	1,900	13.5%	2,161	14.0%	2,071	12.9%
Total	12,479	100.0%	13,203	100.0%	13,791	100.0%	14,076	100.0%	15,450	100.0%	16,018	100.0%

Enrollment Trends for UC CA Freshman Admits, 1997-2002

College destinations restricted to institutions participating in the NSC since 1997

#### Enrollment Trends UC CA Freshman Admits in Middle Third of Applicant Pool

	19	1997		1998		1999		2000		2001		2002	
	#	%		%	#	%	#	%	#	%	#	%	
UC	8,279	63.2%	8,775	62.3%	9,208	61.7%	9,206	60.7%	10,162	\$9.7%	10,578	59.2%	
CSU	788	6.0%	1,013	7.2%	1,218	8.2%	1,339	8.8%	1,722	10.1%	1,893	10.6%	
CCC	270	2.1%	336	2.4%	316	2.1%	299	2.0%	369	2.2%	339	1.9%	
Private Selective¥	821	6.3%	979	6.9%	919	6.2%	957	6.3%	861	5.1%	880	4.9%	
All Other	966	7.4%	1,016	7.2%	1,068	7.2%	1,087	7.2%	1,399	8.2%	1,433	8.0%	
Unknown	1,980	15.1%	1,969	14.0%	2,202	14.7%	2,284	15.1%	2,518	14.8%	2,733	15.3%	
Total	13,104	100.0%	14,088	100.0%	14,931	100.0%	15,172	100.0%	17,031	100.0%	17,856	100.0%	

#### Enrollment Trends UC CA Freshman Admits in Bottom Third of Applicant Pool

·	1997		1998		1999		2000		2001		2002	
DOLLAR STREET	#	%	#	%	#	%	#	%	#	%		%
UC	5,784	58.9%	6,152	\$7.6%	6,444	\$6.5%	6,836	56.1%	6.975	50.7%	7,591	50.8%
CSU	1,057	10.8%	1,284	12.0%	1,540	13.5%	1,768	14.5%	2,431	17.7%	2,520	16.9%
CCC	383	3.9%	392	3.7%	441	3.9%	444	3.6%	507	3.7%	647	4.3%
Private Selective¥	306	3.1%	308	2.9%	208	1.8%	170	1.4%	181	1.3%	142	1.0%
All Other	730	7.4%	826	7.7%	888	7.8%	957	7.9%	1,156	8.4%	1,314	8.8%
Unknown	1,554	15.8%	1,723	16.1%	1,894	16.6%	2,002	16.4%	2,494	18.1%	2,732	18.3%
lotal	9,814	100.0%	10,685	100.0%	11,415	100.0%	12,177	100.0%	13,744	100.0%	14,946	100.0%

## Enrollment Trends UC CA Freshman Admits\*

	19	1997		1998		1999		2000		2001		02
the second s		%		%	#	%		%	#	%	#	%
UC	22,013	61.3%	23,885	60.8%	25,146	60.3%	25,670	59.4%	27,575	57.9%	28,913	57.0%
CSU	2,429	6.6%	2,942	7.5%	3,492	8.4%	3,916	9.1%	4,935	10.4%	5,283	10.5%
CCC	830	2.2%	893	2.3%	916	2.2%	889	2.1%	1.023	2,1%	1.117	2.2%
Private Selective¥	2,718	7.4%		7.3%	2,797	6.7%	3,116	7.2%	3,164	6.6%	3,266	6.5%
All Other	2,501	6.8%	1.	6.7%	2,773	6.7%	2,935	6.8%	3,458	7.3%	3,721	7.4%
Unknown	5,829	15.8%	6,083	15.5%	6,544	15.7%	6,701	15.5%	7,500	15.7%	7,915	15.8%
Total	36,922	100.0%	39,303	100.0%	41,668	100.0%	43,227	100.0%	47,655	100.0%	50,215	100.0%

8 Includes both in-state and out-of-state bachelor-granting private institutions with a mean admit rate for 1997-2001 of less than or equal to 50%.
• Includes students with unknown academic index

## Enrollment Trends for UC CA Underepresented Minority Freshman Admits, 1997-2002

College destinations restricted to institutions	participating in the NSC since 1997

# Enrollment Trends CA URM Freshman Admits to UC in Top Third of Applicant Pool 1997 1998 1999 2000 2001 2002 # % <t

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UC	583	52.6%	646	55.6%	662	55.7%	611	51.1%	683	48.4%	763	50.1%
CSU	30	2.7%	42	3.6%	42	3.5%	40	3.3%	49	3.5%	63	4.1%
CCC	5	0.5%	5	0.4%	8	0.7%	7	0.6%	12	0.9%	6	0.4%
Private Selective¥	212	19.1%	189	16.3%	187	15.7%	265	22.2%	332	23.5%	363	23.9%
All Other	45	4.1%	53	4.6%	54	4.5%	52	4.4%	56	4.0%	68	4.5%
Unknown	233	21.0%	226	19.5%	236	19.8%	220	18.4%	279	19.8%	259	17.0%
Total	1,108	100.0%	1,161	100.0%	1,189	100.0%	1,195	100.0%	1,411	100.0%	1,522	100.0%
HBCUs (% of Black admit		0.7%		0.6%	2	1.3%	2	1.3%	2	1.2%	- 1	0.5%
HSIs (% of Hispanic admi	4	0.4%	6	0.6%	1	9.1%	4	0.4%	3	0.3%	1	0.1%

#### Enrollment Trends CA URM Freshman Admits to UC in Middle Third of Applicant Pool

	19	1997		98	19	99	20	00	20	01	20	02
- 11 - 2	#	%	#	%	#	%		%	#	%	#	%
UC	1,428	62.5%	1,366	59.9%	1,430	60.2%	1,537	60.0%	1,777	57.8%	1,857	55.8%
CSU	133	5.8%	146	6.4%	148	6.2%	197	7.7%	248	8.1%	329	9.9%
CCC	37	1.6%	44	1.9%	46	1.9%	38	1.5%	50	1.6%	45	1.4%
Private Selective	193	8.4%	226	9.9%	224	9.4%	225	8.8%	261	8.5%	262	7.9%
All Other	154	6.7%	161	7.1%	168	7.1%	165	6.4%	266	8.6%	259	7.8%
Unknown	340	14.9%	339	14.9%	359	15.1%	399	15.6%	474	15.4%	573	17.2%
Total	2,285	100.0%	2,282	100.0%	2,375	100.0%	2,561	100.0%	3,076	100.0%	3,325	100.0%
HBCUs (% of Black admin	9	2.4%	2	0.6%	10	2.9%	0	0.0%	9	2.0%	9	1.7%
HSIs (% of Hispanic admin	10	0.6%	16	0.9%	10	0.5%	11	0.5%	19	0.8%	8	0.3%

# Enrollment Trends CA URM Freshman Admits to UC in Bottom Third of Applicant Pool

	19	1997		98	19	99	20	00	20	01	20	02
The second se	#	%	#	%	#	%	#	%	#	%	#	%
UC	1,738	58.0%	1,560	56.8%	1,763	58.8%	1,846	57.4%	2,043	51.8%	2,315	53.3%
CSU	353	11.8%	342	12.4%	349	11.6%	440	13.7%	640	16.2%	635	14.6%
CCC	107	3.6%	75	2.7%	96	3.2%	76	2.4%	130	3.3%	141	3.2%
Private Selective¥	89	3.0%	90	3.3%	78	2.6%	63	2.0%	59	1.5%	59	1.4%
All Other	215	7.2%	198	7.2%	232	7.7%	244	7.6%	327	8.3%	369	8.5%
Unknown	494	16.5%	482	17.5%	480	16.0%	548	17.0%	748	19.0%	822	18.9%
Total	2,996	100.0%	2,747	100.0%	2,998	100.0%	3,217	100.0%	3,947	100.0%	4,341	100.0%
HBCUs (% of Black admin	19	2.7%	14	2.4%	22	3.4%	13	1.9%	19	2.4%	18	2.1%
HSIs (% of Hispanic admit	30	1.4%	23	1.1%	33	1.5%	24	1.0%	39	1.3%	37	1.1%

#### Enrollment Trends CA URM Freshman Admits to UC\*

	19	1997		98	19	99	20	00	20	01	20	02
and and the second second		%		%	#	%		%	#	%	#	%
UC	3,971	56.9%	3,723	55.8%	4,013	56.6%	4,217	55.3%	4,709	52.8%	5,133	53.0%
CSU	616	8.8%	601	9.0%	647	9.1%	787	10.3%	1,020	11.4%	1,117	11.5%
CCC	189	2.7%	162	2.4%	175	2.5%	149	2.0%	211	2.4%	212	2.2%
Private Selective¥	517	7.4%	540	8.1%	511	7.2%	573	7.5%	566	7.5%	693	7.2%
All Other	466	6.7%	455	6.8%	522	7.4%	529	6.9%	692	7.8%	737	7.6%
Unknown	1,223	17.5%	1,194	17.9%	1,224	17.3%	1,375	18.0%	1,625	18.2%	1,800	18.6%
Total	6,982	100.0%	6,675	100.0%	7,092	100.0%	7,630	100.0%	8,923	100.0%	9,692	100.0%
HBCUs (% of Black admit	35	2.6%	21	1,7%	40	3.1%	20	1.5%	32	2.1%	35	2.0%
HSIs (% of Hispanic admit	48	0.9%	49	0.9%	51	0.9%	48	0.8%	66	0.9%	56	0.7%

¥ includes both in-state and out-of-state bachelor-granting private institutions with a mean admit rate for 1997-2001 of less than or equal to 50%.

§ Historically Black College and Universities and Hispanic Serving Institutions not including CA public colleges

· Includes students with unknown academic index

	19	97	199	18	195	19	200	00	20	01	200	32
		%	1	%	#	%	#	*	#	%	#	%
UC	602	67.6%	620	73.3%	606	75:1%	611	71.9%	677	73.4%	704	72.4%
CSU	21	2.4%	31	3.7%	22	2.7%	21	2.5%	21	2.3%	41	4.2%
CCC	6	0.7%	2	0.2%	1	0.1%	5	0.6%	7	0.8%	5	0.5%
Private Selective¥	105	11.8%	83	9.8%	61	7.6%	88	10.4%	105	11.4%	104	10.7%
All Other	42	4.7%	19	2.2%	21	2.6%	37	4.4%	26	2.8%	25	2.6%
Unknown	114	12.8%	91	10.8%	96	11.9%	88	10.4%	86	9.3%	94	9.7%
Total	890	100.0%	846	100.0%	807	100.0%	850	100.0%	922	100.0%	973	100.0%

Enrollment Trends for UC CA Low SES\* Freshman Admits, 1997-2002

College destinations restricted to institutions participating in the NSC since 1997

## Enrollment Trends CA Low SES Freshman Admits to UC in Middle Third of Applicant Pool

	19	97	195	38	199	99	20	00	20	01	20	02
	#	%	#	%		%		%		%		%
UC	1,126	68.2%	1,167	69.4%	1,323	72.8%	1,313	70.0%	1,462	71.2%	1,533	72.2%
CSU	98	5.9%	110	6.5%	124	6.8%	133	7.1%	154	7.5%	166	7.8%
CCC	33	2.0%	36	2.1%	32	1.8%	38	2.0%	42	2.0%	26	1.2%
Private Selective¥	98	5.9%	97	5.8%	80	4,4%	74	3.9%	60	2.9%	63	3.0%
All Other	88	5.3%	85	5.1%	72	4.0%	93	5.0%	110	5.4%	85	4.0%
Unknown	207	12.5%	187	11.1%	187	10.3%	224	11.9%	224	10.9%	251	11.8%
Total	1,650	100.0%	1,682	100.0%	1,818	100.0%	1.875	100.0%	2,052	100.0%	2,124	100.0%

#### Enrollment Trends CA Low SES Freshman Admits to UC in Bottom Third of Applicant Pool

	1997		199	38	19	99	20	00	20	01	20	02
and the second s	#	%		%		%	#	%		%	#	%
UC	1,309	61.8%	1,258	\$9.8%	1.447	61.7%	1,549	61.5%	1,636	59.0%	1,722	58.8%
CSU	269	12.7%	305	14.5%	316	13.5%	353	14.0%	-4-46	16.1%	460	15.7%
CCC	82	3.9%	47	3.2%	73	3.1%	75	3.0%	79	2.8%	100	3.4%
Private Selective	39	1.8%	35	1.7%	27	1.2%	10	0.4%	8	0.3%	9	0.3%
All Other	100	4.7%	120	5.7%	143	6.1%	114	4.5%	161	5,8%	153	5.2%
Unknown	320	15.1%	338	16.1%	338	4.4%	417	16.6%	442	15.9%	485	16.6%
Total	2,119	100.0%	2,103	100.0%	2,344	100.0%	2,518	100.0%	2,772	100.0%	2,929	100.0%

Enrollment Trends CA Low SES F	reshman A	Admits to U	-
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	199	97	199	8	199	99	200	00	200	01	200	32
Sector Contractor Sector	#	%	#	%		%	#	%	#	%	. #	%
UC	3,178	63.3%	3,132	63.9%	3,464	65.6%	3,615	63.7%	3,887	64.3%	4,045	64.3%
CSU	450	9.0%	503	10.3%	536	10.1%	596	10.5%	686	11.4%	727	11.6%
CCC	148	2.9%	104	2.1%	121	2.3%	144	2.5%	141	2.3%	139	2.2%
Private Selective¥	252	5.0%	229	4.7%	179	3.4%	183	3.2%	176	2.9%	180	2.9%
All Other	249	5.0%	248	5.1%	271	5.1%	273	4.8%	323	5.3%	283	4.5%
Unknown	740	14.7%	689	14.0%	713	13.5%	862	15.2%	829	13.7%	917	14.6%
Total	5,017	100.0%	4,905	100.0%	5,284	100.0%	5,673	100.0%	6,042	100.0%	6,291	100.0%

\* Family income less than or equal to \$30,000 a year in 1999 dollars and first generation college.

¥ Includes both in-state and out-of-state bachelor-granting private institutions with a mean admit rate for 1997-2001 of less than or equal to 50%.

\*\* Includes students with unknown academic index

# Enrollment Trends for CA Freshman Applicants Denied at UCB and UCLA, 1997-2002

	19	97	19	98	19	39	20	00	20	01	20	02
And the second second	#	%	#	%	#	%		%	#	%	#	- %
UC	1,081	55.8%	1,250	54.6%	1,791	58.3%	1,891	60.9%	2,493	61.5%	2,797	56.9%
CSU	113	5.8%	175	7.6%	175	5.7%	172	5.5%	234	5.8%	341	6.9%
CCC	44	2.3%	51	2.2%	52	1.7%	40	1.3%	61	1.5%	49	1.0%
Private Selective¥	181	9.3%	207	9.0%	358	11.6%	391	12.6%	490	12.1%	681	13.8%
All Other	140	7.2%	166	7.3%	209	6.8%	207	6.7%	265	6.5%	357	7.3%
Unknown	380	19.6%	440	19.2%	489	15.9%	406	13.1%	511	12.6%	694	14.1%
Total	1,939	100.0%	2,289	100.0%	3,074	100.0%	3,107	100.0%	4,054	100.0%	4,919	100.0%

# College destinations restricted to institutions participating in the NSC since 1997

#### Enrollment Trends UCB/UCLA CA Freshman Denles in Middle Third of Applicant Pool

	1997		19	98	19	99	20	00	20	01	20	02
and the second second	4	%	#	%		%		%	#	%	#	%
UC	4,169	55.7%	4,858	55.7%	5,101	55.7%	5,094	55.7%	5,731	56.6%	6,319	56.2%
CSU	527	7.0%	667	7.6%	760	8.3%	847	9.3%	969	9.6%	1,171	10.4%
CCC	248	3.3%	311	3.6%	289	3.2%	263	2.9%	300	3.0%	297	2.6%
Private Selective¥	587	7.8%	796	9.1%	752	8.2%	762	8.3%	616	6.1%	706	6.3%
All Other	562	7.5%	618	7.1%	682	7.5%	665	7.3%	824	8.1%	928	8.3%
Unknown	1,390	18.6%	1,473	16.9%	1,568	17.1%	1,517	16.6%	1,682	16.6%	1,826	16.2%
Total	7,483	100.0%	8,723	100.0%	9,152	100.0%	9,148	100.0%	10,122	100.0%	11,247	100.0%

#### Enrollment Trends UCB/UCLA CA Freshman Denies in Bottom Third of Applicant Pool

	1997		19	98	19	99	20	00	20	01	20	02
and the second se	#	%	#	%		%		%	#	%	#	%
UC	2,707	37.7%	3,041	37.7%	3,045	37.7%	3,387	38.7%	3,411	36.2%	3,989	37.9%
CSU	1,293	18.0%	1,471	18.2%	1,525	18.9%	1,668	19.0%	2,044	21.7%	2,097	19.9%
CCC	518	7.2%	609	7.5%	611	7.6%	609	7.0%	628	6.7%	762	7.2%
Private Selective¥	321	4.5%	340	4.2%	193	2.4%	181	2.1%	155	1.6%	125	1.2%
All Other	638	8.9%	714	8.8%	730	9.0%	751	8.6%	840	8.9%	888	8.4%
Unknown	1,695	23.6%	1,894	23.5%	1,970	24.4%	2,163	24.7%	2,345	24.9%	2,664	25.3%
Total	7,172	100.0%	8,069	100.0%	8,074	100.0%	8,759	100.0%	9,423	100.0%	10,525	100.0%

## Enrollment Trends UCB/UCLA CA Freshman Denies\*

	1 19	97	19	98	19	99	20	00	20	01	20	02
termine and the	#	%	#	%	#	%	#	%	#	%	#	%
UC	8,099	45.4%	9,250	45.0%	10,077	45.4%	10,542	45.9%	11,772	47,4%	13,254	47.0%
CSU	2.215	12.4%	2,709	13.2%	2,943	13.3%	3,168	13.8%	3,532	14.2%	3,973	14.1%
CCC	968	5.4%	1,147	5.6%	1,150	5.2%	1,115	4.9%	1,101	4.4%	1.264	4.5%
Private Selective¥	1,131	6.3%	1,389	6.8%	1,359	6.1%	1,375	6.0%	1,294	5.2%	1,541	5.5%
All Other	1,474	8.3%	1,661	8.1%	1,831	8.3%	1,824	7.9%	2,070	8.3%	2,321	8.2%
Unknown	3,947	22.1%	4,406	21.4%	4,818	21.7%	4,957	21.6%	5,092	20.5%	5,856	20.8%
Total	17,834	100.0%	20,562	100.0%	22,178	100.0%	22,981	100.0%	24,861	100.0%	28,209	100.0%

¥ Includes both in-state and out-of-state bachelor-granting private institutions with a mean admit rate for 1997-2001 of less than or equal to 50%.

\* Includes students with unknown academic index

# Enrollment Trends for CA Underrepresented Minority Freshman Applicants Denied at UCB and UCLA, 1997-2002

Enrollmen	the second second	the second s	the second s	the state of the s							_	
	19	97	19	98	19	99	2000		2001		2002	
A REPORT OF A R	#	%	#	%	*	%	#	%	#	%	#	%
UC	35	54.7%	90	45.2%	111	50.0%	102	49.3%	122	43.3%	177	41.5%
CSU		1.6%	12	6.0%	17	7.7%	15	7.2%	18	6.4%	33	7.7%
CCC		1.6%	4	2.0%	4	1.8%	2	1.0%	4	1.4%	4	0.9%
Private Selective¥	9	14.1%	31	15.6%	41	18.5%	47	22.7%	68	24.1%	104	24.4%
All Other	5	7.8%	13	6.5%	8	3.6%	12	5.8%	18	6.4%	30	7.0%
Unknown	13	20.3%	49	24.6%	41	18.5%	29	14.0%	52	18.4%	79	18.5%
Total	64	100.0%	199	100.0%	222	100.0%	207	100.0%	282	100.0%	427	100.0%
HBCUs (% of Blacks)§	1	50.0%	0	0.0%	1	4.5%	0	0.0%	1	3.6%	1	1.8%
HSIs (% of Hispanics)§	0	0.0%	2	1.3%	0	0.0%	2	1.1%	1	0.4%	0	0.0%

## Enrollment Trends CA URM Freshman Denials at UCB/UCLA in Middle Third of Applicant Pool

N	19	97	19	98	19	99	20	00	20	01	20	02
and the second se	#	%		%	#	%		%	#	%	#	%
UC	222	44.5%	560	45.8%	545	46.9%	590	47.0%	738	48.5%	848	46.8%
CSU	51	10.2%	91	7.4%	85	7.3%	135	10.8%	157	10.3%	223	12.3%
CCC	19	3.8%	40	3.3%	31	2.7%	28	2.2%	39	2.6%	36	2.0%
Private Selective¥	49	9.8%	157	12.8%	149	12.8%	158	12.6%	158	10.4%	188	10.4%
All Other	52	10.4%	124	10.1%	107	9.2%	110	8.8%	145	9.5%	166	9.2%
Unknown	106	21.2%	250	20.5%	244	21.0%	233	18.6%	285	18.7%	351	19.4%
Total	499	100.0%	1,222	100.0%	1,161	100.0%	1,254	100.0%	1,522	100.0%	1,812	100.0%
HBCUs (% of Blacks)§	1	3.1%	3	1.6%	5	3.4%	0	0.0%	4	1.9%	5	1.8%
HSIs (% of Hispanics)§	1	0.2%	13	1.3%	5	0.5%	9	0.9%	8	0.7%	5	0.3%

# Enrollment Trends CA URM Freshman Denials at UCB/UCLA in Bottom Third of Applicant Pool

	19	97	19	98	19	99	20	00	20	01	20	02
and the second se		%	#	%		%		%		%	#	%
UC	697	33.8%	841	34.2%	793	35.8%	981	37.2%	1,058	33.2%	1,305	35.3%
CSU	466	22.6%	546	22.2%	484	21.9%	540	20.5%	800	25.1%	778	21.0%
CCC	129	6.2%	135	5.5%	107	4.8%	124	4.7%	158	5.0%	194	5.2%
Private Selective	77	3.7%	97	3.9%	77	3.5%	67	2.5%	53	1.7%	53	1.4%
All Other	189	9.2%	207	8.4%	216	9.8%	238	9.0%	304	9.5%	344	9.3%
Unknown	507	24.6%	634	25.8%	537	24.3%	688	26.1%	817	25.6%	1,024	27.7%
Total	2,065	100.0%	2,460	100.0%	2,214	100.0%	2,638	100.0%	3,190	100.0%	3,698	100.0%
HBCUs (% of Blacks)§	20	4.0%	22	3.5%	21	3.8%	27	4.0%	27	3.4%	28	3.2%
HSIs (% of Hispanics)§	37	2.5%	22	1.3%	36	2.2%	28	1.5%	37	1.6%	43	1.6%

#### Enrollment Trends CA URM Freshman Denials at UCB/UCLA\*

	19	97	19	98	19	99	20	00	20	01	20	02
		%	#	%		%	#	%	#	%	#	%
UC	1,010	32.0%	1.531	33.5%	1,499	34.0%	1,740	34.9%	1,970	35.5%	2,381	35,8%
CSU	666	21.1%	850	18.6%	820	18.6%	901	18.1%	1.115	20.1%	1,231	18.5%
CCC	208	6.6%	251	5.5%	217	4.9%	231	4.6%	243	4.4%	284	4.3%
Private Selective¥	150	4.8%	306	6.7%	281	6.4%	286	5.7%	289	5.2%	353	5.3%
All Other	294	9.3%	411	9.0%	417	9.5%	450	9.0%	535	9.6%	614	9.2%
Unknown	825	26.2%	1,218	26.7%	1,173	26.6%	1,374	27.6%	1,403	25.3%	1,793	26.9%
Total	3,153	100.0%	4,567	100.0%	4,407	100.0%	4,982	100.0%	5,555	100.0%	6,656	100.0%
HBCUs (% of Blacks)§	33	4.5%	35	3.3%	43	4.3%	38	3.3%	43	3.5%	52	3.6%
HSIs (% of Hispanics)§	46	2.0%	48	1.4%	57	1.8%	49	1.3%	62	1.5%	62	1.2%

¥ Includes both in-state and out-of-state bachelor-granting private institutions with a mean admit rate for 1997-2001 of less than or equal to 50%. § Historically Black College and Universities and Hispanic Serving Institutions not including CA public colleges

\* Includes students with unknown academic index

# Enrollment Trends for UC CA Low SES\* Freshman Applicants Denied at UCB and/or UCLA, 1997-2002

Family		ge destina					1.11				22-14	
Enrolime	191		19		ne Fres	and the second se	200	the second data and the second data	Third of	and the second se	t Pool	12
	#	%	#	%	#	%		%	#	%		%
UC	75	52.1%	83	63.4%	77	74.0%	72	62.1%	106	76.8%	119	65.7%
CSU	9	6.3%	12	9.2%	9	8.7%	8	6.9%	6	4.3%	15	8.3%
CCC	5	3.5%	0	0.0%	0	0.0%	2	1.7%	2	1.4%	4	2.2%
Private Selective¥	16	11.1%	9	6.9%	5	4.8%	9	7.8%	8	5.8%	16	8.8%
All Other	10	6.9%	7	5.3%	2	1.9%	7	6.0%	4	2.9%	10	5.5%
Unknown	29	20.1%	20	15.3%	11	10.6%	18	15.5%	12	8.7%	17	9.4%
Total	144	100.0%	131	100.0%	104	100.0%	116	100.0%	138	100.0%	181	100.0%

# Enrollment Trends LICB/LICLA CA Low SES Erectomon Admits to LIC in Middle Third of Applicant Bool

	199	37	199	18	19	99	200	00	200	01	200	02
	#	%		*/*		%		%		%	#	%
UC	460	59.8%	541	60.6%	496	63.5%	545	62.5%	\$65	62.6%	623	61.7%
CSU	61	7.9%	76	8.5%	71	9.1%	.93	10.7%	100	11.1%	114	11.3%
CCC	26	3.4%	35	3.9%	27	3.5%	30	3.4%	34	3.8%	27	2.7%
Private Selective¥	44	5.7%	60	6.7%	46	5.9%	33	3.8%	23	2.5%	36	3.6%
All Other	42	5.5%	54	6.0%	34	4.4%	52	6.0%	45	5.0%	40	4.0%
Unknown	136	17.7%	127	14.2%	107	13.7%	119	13.6%	136	15.1%	169	16.7%
Total	769	100.0%	893	100.0%	781	100.0%	872	100.0%	903	100.0%	1,009	100.0%

## Enrollment Trends UCB/UCLA CA Low SES Freshman Admits to UC in Bottom Third of Applicant Pool

	199	97	199	98	19	99	20	00	200	15000	20	02
		%	#	%		%		%		%	#	%
UC	602	39.4%	681	40.2%	713	44.4%	870	44.3%	866	40.4%	1,007	41.2%
CSU	352	23.1%	368	21.7%	319	19.9%	392	19.9%	497	23.2%	511	20.9%
CCC	101	6.6%	96	5.7%	85	5.3%	111	5.6%	106	4.9%	155	6.3%
Private Selective¥	32	2.1%	32	1.9%	20	1.2%	9	0.5%	6	0.3%	9	0.4%
All Other	95	6.2%	99	5.9%	110	6.8%	108	5.5%	129	6.0%	138	5.6%
Unknown	344	22.5%	416	24.6%	360	22,4%	475	24.2%	\$40	25.2%	626	25.6%
Total	1,526	100.0%	1,692	100.0%	1,607	100.0%	1,965	100.0%	2,144	100.0%	2,446	100.0%

	199	7	199	18	199	39	200	00	200	11	200	12
	#	%	#	%	#	%	#	%	#	%	#	%
UC	1,189	43.0%	1,333	43,4%	1.321	45.2%	1,542	44.5%	1,572	44.6%	1,786	44.3%
CSU	502	18.2%	565	18,4%	537	18.4%	632	18.2%	698	19.8%	747	18.5%
CCC	171	6.2%	170	5.5%	156	5.3%	192	5.5%	172	4.9%	220	5.5%
Private Selective¥	99	3.6%	110	3.6%	78	2.7%	56	1.6%	39	1.1%	63	1.6%
All Other	165	6.0%	186	6.1%	174	6.0%	202	5.8%	205	5.8%	211	5.2%
Unknown	638	23.1%	708	23.0%	655	22.4%	842	24.3%	836	23.7%	1,006	24.9%
Total	2,764	100.0%	3,072	100.0%	2,921	100,0%	3,466	100.0%	3,522	100.0%	4,033	100.0%

# Enrollment Trends UCB/UCLA CA Low SES Freshman Admits to UC\*\*

Family income less than or equal to \$30,000 a year in 1999 dollars and first generation college.

¥ Includes both in-state and out-of-state bachelor-granting private institutions with a mean admit rate for 1997-2001 of less than or equal to 50%.

\*\* Includes students with unknown academic index

Y	19	93	19	994	-19	995	-19	996	19	97	19	998	1999		20	000	20	)01	20	002
J	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%
UC	0	0.0%	2	25.0%	6	75.0%	7	87.5%	7	87.5%	7	87.5%	7 8	7.5%	7	87.5%	7	87.5%	7	87.5%
CSU	0	0.0%	6	28.6%	7	33.3%	11	52.4%	13	61.9%	15	71.4%	15 71	1.4%	15	71.4%	15	71.4%	15	71.4%
CCC	6	5.7%	6	5.7%	9	8.5%	23	21.7%	37	34.9%	48	45.3%	65 61	1.3%	75	70.8%	84	79.2%	94	88.7%
Private Selective	2	2.2%	9	9.9%	17	18.7%	30	33.0%	41	45.1%	44	48.4%	47 51	.6%	50	54.9%	52	57.1%	55	60.4%
Other	55	2.2%	128	5.0%	332	13.1%	861	33.9%	1280	50.4%	1435	56.5%	1526 60	).1%	1569	61.8%	1632	64.3%	1697	66.8%
Total	63	2.3%	151	5.5%	371	13.4%	932	33.7%	1378	49.8%	1549	56.0%	1660 60	).0%	1716	62.1%	1790	64.7%	1868	67.6%
HBCU*	5	5.2%	7	7.3%	7	7.3%	15	15.6%	26	27.1%	33	34.4%	43 44	1.8%	44	45.8%	50	52.1%	52	54.2%
HSI*	4	2.6%	4	2.6%	12	7.7%	26	16.8%	44	28.4%	51	32.9%	57 36	5.8%	60	38.7%	66	42.6%	68	43.9%

Institutional Participation<sup>¥</sup> in the National Student Clearinghouse by Type, as Percent of Accredited Institutions in College Board Annual Survey of Schools, 1993-2002

¥ Does not include insitutions that participate in the NSC but whose students' records are not released for research (are "blocked") at the schools request \* Does not include California public institutions

## Appendix D

**BOARS Report on Faculty Review** of Fall 2002Admission Files

## UNIVERSITY OF CALIFORNIA

BERKELEY + DAVIS + IRVINE + LOS ANGELES + MERCED + RIVERSIDE + SAN DIEGO + SAN FRANCISCO



SANTA BARBARA + SANTA CRUZ

BOARD OF ADMISSIONS AND RELATIONS WITH SCHOOLS (BOARS) Chair Barbura Sawrey

Assembly of the Academic Senate 1111 Franklin Street, 12<sup>th</sup> Floor Oakland, CA 94607-5200 Phone: (310) 587-6138 Fax: (310) 763-0309

August 27, 2003

GAYLE BINION Chair, Academic Council

Dear Gayle:

I am writing to inform you of the conclusions of the review of Fall 2002 admission files conducted earlier this year by faculty admissions committees on the six UC campuses that implemented the comprehensive review admission policy for Fall 2002.

#### **Background and Methodology**

The comprehensive review admission policy was adopted for Fall 2002, following approval by the Academic Senate and the Board of Regents in November 2001. The Board of Admissions and Relations with Schools (BOARS) presented its report, "First Year Implementation of Comprehensive Review in Freshman Admissions: A Progress Report from the Board of Admissions and Relations with Schools," to The Regents in November 2002. At that meeting, some Regents expressed a desire for additional information that would confirm that comprehensive review is being implemented in accordance with UC policy on all campuses that employ it. Accordingly, you recommended that faculty members conduct an additional review of Fall 2002 files, especially those "on the borderline" between admission and denial, to determine whether the scoring given to those files was reasoned, consistent, and defensible.

At its December 2002 meeting, BOARS acted to request campus admission committees to review a sample of applicant files and address the following questions:

- 1) Was the scoring given the application consistent with campus policy and guidelines?
- 2) Is the faculty comfortable that the decisions resulting from these scores were reasoned, consistent, and defensible?
- 3) To the degree that this review identified any anomalies in the admission process, how does the campus plan to address these in the future?

#### Gayle Binion Page 2

To conduct this review, BOARS suggested that campuses review a minimum of 60 files, with 30 selected from the full applicant pool and 30 selected from the "borderline" between admission and denial. BOARS requested that campus admission committees complete the necessary file reviews in January and report back to BOARS at its February 2003 meeting.

## Findings

Campus representatives reported the results of their reviews to BOARS at the February 2003 meeting. Across all six campuses, BOARS members reported that their review confirmed that the scores assigned to individual files were consistent with campus policy and guidelines and that the decisions resulting from those scores were reasoned, consistent, and defensible. More specifically, faculty members noted the following.

- In a very large majority of cases, faculty reviewers would have assigned the same score to a file that it received from admissions readers. In some cases, scores differed by a point or two, but nearly all were well within the narrow range of variance that each campus considers normal for multiple reads. In cases where discrepancies were noted, there was no particular pattern to the differences, i.e. faculty did not find that they were consistently "harder" or "easier" readers.
- 2. In the small number of cases where faculty reading resulted in a materially different score from that assigned by readers, faculty concluded that the reader scores represented correct interpretation of faculty guidelines. In some cases, the faculty-assigned scores reflected the faculty's less extensive experience with the reading process; in a few they indicated actual differences in the assessment of the applicant, but faculty felt that the reader scores were fully defensible. None of the cases of discrepant scores indicated failure on the part of admission readers to interpret campus policy correctly; nor, as in the case of finding #1 above, was there any particular pattern to them in terms of the direction of the difference.
- 3. Perhaps even more important, examination of files by faculty did not result in any cases where discrepancies between the scores assigned by faculty and those assigned by the readers would have resulted in a different admission decision. In one case, at Berkeley, faculty would have assigned a score resulting in a decision to admit to the Fall term a student who was admitted to Spring.
- 4. In some cases, faculty concluded that while admission readers were correctly interpreting faculty policy as written, the policy was somewhat vague and the selection process could be improved by increasing the precision and clarity of guidelines provided by the faculty or by including in written policy practices that were incorporated in staff procedures but not specifically addressed in faculty policy. On campuses where this occurred, these changes have already been made or are in the process of being made.
- 5. Faculty from all six campuses commented that they thought the file-reading process had been a productive one, that they had learned more about the reading process and the relationship between policy and its implementation, and that this experience would beneficially inform the development of admission policy.

Gayle Binion Page 3

In summary, BOARS is pleased to report that its review of individual student files at the six campuses using comprehensive review reaffirms the conclusions of the November 2002 report that comprehensive review has been implemented in full compliance with University policy, that the processes developed to implement the policy are characterized by a high degree of integrity and consistency, and that the admission decisions resulting from these processes are reasoned, consistent, and defensible.

I would be happy to answer any additional questions you may have.

Sincerely,

Darbara a. Sourcy

Barbara A. Sawrey U Chair, Board of Admissions and Relations with Schools

cc: BOARS Members Lawrence Pitts, Academic Council Vice Chair

# Appendix E

**Descriptions of Campus Processes** 

### **Berkeley Campus Description**

#### Overview

Readers scored all undergraduate applications to Berkeley on a seven-point scale (1-5, including a score of 2.5, plus an additional score for ineligibles). This year the intermediate score of 2.5 was added to the scale to provide readers with a finer resolution near the ultimate admit/deny score divide. This change was widely regarded as a success by readers, who had sought a finer scoring gradation, particularly for use in this part of the pool, where applicant qualifications are very similar.

The comprehensive score reflects applicants' relative levels of achievement in both academic and non-academic areas. Academic factors are weighted very heavily in the scoring process, although there were no fixed weights governing the score. Among academic factors, Berkeley faculty policy states that the greatest weight should be given to the high school record (courses taken and grades earned), with lesser weight given to test scores and SAT II scores weighted more heavily than SAT I's. Admissions staff generated "read sheets" for each individual applicant that included student rankings and school information, such as ELC status and course offering lists, to allow readers to consider achievement in context. Readers could refer applicants who were close to being competitive for admission to an augmented review process, in which applicants were requested to submit supplemental information in the form of a questionnaire and letters of recommendation. Less than six percent (about 2,100 out approximately 37,000 applicants) were reviewed under augmented review. Final admission decisions for all applicants are based on a linear ranking of scores, with students with the best scores admitted.

#### Reader Training, Monitoring and Reliability

Readers participated in a total of 30-40 hours of training before any actual files were read, and participated in mandatory three-hour norming sessions each week during the reading process. Readers received information on how to evaluate grades and test scores, in addition to how to interpret other information provided on the read sheet. Admissions staff considers training and reader preparation, while labor-intensive, to be crucial to the success of the review process. Each application was scored by at least two readers, and scores that differed by one point were averaged. When scores assigned by the two readers differed by more than one point, applications were referred to a third reader; this occurred in less than two percent of all cases. During the reading process, admissions staff management provides weekly reports to supervisors to monitor individual readers and counsel readers who may assign divergent scores. In addition, this spring an interreader reliability study was conducted. An analysis of the results shows the process to be highly reliable.

## Role of Faculty

The Berkeley Academic Senate is responsible for setting admission policy and overseeing its implementation. Individual faculty members attended and participated in the reader training and in norming sessions for senior readers (where normed scores are

set). Three emeriti faculty served as regular readers during the Fall 2003 admission cycle.

## Future Directions

UC Berkeley has been developing and using comprehensive application review for six years, and is planning only minor adjustments to the process for 2004. The campus plans to continue to improve its reader training process by enhancing written training materials and providing to novice readers more opportunities for discussion of complex cases with senior readers. In addition, it also plans to use web technology to speed up collection of supplemental materials from applicants undergoing augmented review, in order to allow readers more time for review of these cases.

## **Davis Campus Description**

#### Overview

For the 2003 admission process, Davis read all undergraduate applications that met UC eligibility criteria. Applications were assigned a maximum of 14,000 points based on a fixed weight formula, which includes:

- High School GPA Capped at 4.50 GPA (up to 4,500 points)
- Required entrance exam scores (up 4,000 points)
- Number of "a-g" courses beyond the minimum of 35 (20 for each course with a maximum of 1000 points)
- Achieving UC ELC (Eligibility in the Local Context) (1,000 points)
- Participation in a pre-collegiate motivational program (500 points)
- Membership in the EOP Educational Opportunity Program (500 points)
- Individual Initiative factors as defined by reaching UC eligibility from an educationally disadvantaged high school environment (500 points)
- First generation of university attendance (250 points)
- Demonstrated leadership (250 points)
- One or more special talents (250 points)
- Demonstrated perseverance (250 points)
- Significant disability (250 points)
- Veteran/ROTC scholarship (250 points)
- Nontraditional university applicant (250 points)
- Marked Improvement in 11<sup>th</sup> grade (250 points)

Some of these factors can be evaluated electronically, while others had to be assessed and assigned by readers. Each file was reviewed by at least one reader (either admissions staff, professional staff from Student Affairs, faculty members, or professional staff from deans' and college offices); multiple reads were made when necessary. All factors except for the first three are dichotomous, meaning that students either received all the points for this characteristic if it was present in their application or no points if it was not. Students with the highest scores based on this comprehensive formula were admitted, and cut-offs were determined by the enrollment targets for each college/division and in some majors.

## Role of Faculty

Faculty designed the admission policies and draft policy documents, which were then approved by the Enrollment Work Group chaired by the Chancellor. Faculty created the formula for scoring applicants, including the factors to be included and their weights. Faculty also provided feedback on reader training materials. Some faculty members participated in internal reader training, and ten faculty members read applications.

#### Future Directions

Davis is not considering any changes for Fall 2004; however, this fall the faculty committee will begin studying and proposing refinements for Fall 2005.

## **Irvine Campus Description**

#### Overview

Irvine's 2003 admission process ranked applicants on two dimensions: the academic profile and the personal profile. In the initial computer-assisted review, applicants were assigned one of eight academic profile rankings; an additional H category was utilized for applicants who were not UC-eligible. The placement of each profile or cohort was determined by specific criteria, including minimum requirements for GPA, test scores, the number of courses beyond the minimum subject requirements, and the quality of the applicant's senior year program, with one ranking reserved for ineligible applicants. For all applicants not assigned in one of the top three rankings, reviewers checked the accuracy of the electronic coding to ensure correct profile placement. No applicant was denied without an individualized academic review.

Applicants who met the criteria of the highest academic profile were admitted without further review, as were all ELC students. (The Departments of Dance and Music and the School of Engineering did not accept all ELC applicants; if not selected by their first choice major, these applicants were offered an alternate major.) Applicants assigned an academic profile ranking below the highest also received a Personal Profile Review. Readers considered multiple elements of the application in the Personal Profile Review, such as outstanding performance in one or more academic areas, exceptionally rigorous academic program, trends in academic performance, potential to contribute to campus life, sustained participation in UC outreach programs, and academic achievements in light of personal circumstances. For most applications, a minimum of two readers reviewed each application. Eligible applicants in the lower academic rankings received a Personal Profile Review by one reader. Ineligible applicants received further review *only* if they were low-income or first-generation students who attended a low performing or partnership school.

The final admissions decision was made by considering both the academic profile and the personal profile of the applicant. Depending on the capacity of individual academic units to accommodate students, applicants with the highest combination of scores were offered admission.

## Reader Training, Monitoring and Reliability

Readers participated in an initial four-hour training and were given fifteen sample files to review, discuss, and score. After scoring ten sample files independently, readers were required to submit their results to Admissions staff before receiving additional applications to read and score; admission staff monitored scoring trends though weekly reports on scoring variance.

## Role of Faculty

Faculty reviewed all admission documents and articulated the minimum requirements for each academic profile. The Chair of the Irvine faculty admission committee and several faculty members read applications.

#### Future Directions

Irvine has been developing its comprehensive application review process since 1997, and anticipates only minor adjustments for next year's process. Academic factors that determine rankings at the lower end of the academic profile spectrum will be reexamined. In addition, the campus's letter to ELC students will clarify that, while they are guaranteed admission to the Irvine campus, they are not assured a spot in the School of Engineering or in the Departments of Dance and Music.

## **Los Angeles Description**

#### Overview:

For 2003, UCLA conducted a comprehensive review of all freshman applications for undergraduate admissions, comprising an evaluation in three areas: academic achievements, personal achievements, and life challenges. Decisions were then made by the faculty.

The academic review evaluates: A-G GPA (capping at a maximum of eight semesters of honors/AP/IB or CL courses), standardized test scores (SAT I or ACT and three SAT II's), completion of the required college preparatory courses, strength of the high school academic program, number of completed courses that are Honors, Advanced Placement (AP), International Baccalaureate (IB) or college level classes, number of AP/IB tests with passing scores, strength of the senior year, and the performance within the school context. The result of the academic review is the assignment of an Academic Rank (AR) to each applicant.

In the academic review, primary importance is placed on the (capped) GPA, since it represents performance in a select set of courses and is the best academic predictor of college-level performance. Test scores are also important; SAT II scores weigh more heavily than SAT I or ACT scores. Strength of the program, in terms of the number of courses taken and the challenging content of the courses, is next in importance. UCLA takes performance on AP and IB tests into account in determining academic achievement. UCLA also recognize students who are Eligible in the Local Context (ELC.

The academic review is performed separately by two independent readers. All applicants are evaluated in the context of their high school, allowing for differences in opportunities and, therefore, not penalizing students who attend schools with fewer honors and advanced courses. At the same time, this review allows for expectations that students will take advantage of programs offered within their schools. Thus, students are reviewed within the same academic environment.

The assessment of personal achievements includes: extracurricular activities, honors and awards, leadership positions, volunteer work and community service, employment and other special accomplishments. The emphasis in this part of the review is on the quality and level of commitment and not on any particular activity. The result of the personal achievement assessment is the assignment of a Personal Achievement Rank (PAR) to each applicant.

The review and consideration of life challenges covers three areas: environmental factors, family environment. and personal situations. Factors in this review may include, but are not limited to, disadvantaged neighborhoods (L.A.County), rural settings, limited curricular or advising opportunities, physical disabilities, serious family illnesses or challenging behavior, low socio-economic status, parents' educational level, and participation in special academic enhancement programs (such as EAOP, MESA, Puente, Upward Bound, AVID, etc.). In the life challenges review, there is no specific emphasis

on any identified situation, but rather the uniqueness of the experience an applicant might have, while striving to achieve academically.

In the review of personal achievements and life challenges, each application is read by a trained reader who evaluates the information provided on the application form, the personal statement, and additional data on the school environment and local neighborhood.

#### Additional School Reviews:

The School of the Arts and Architecture and the School of Theater, Film and Television also evaluate applicants' special talents through a review of portfolios and/or auditions, which are the most significant admission factors in these schools. The Henry Samueli School of Engineering and Applied Science pays special attention to the math test scores and the strength of the student course work in the sciences and math curriculum.

#### Reader Training and Quality Control Measures:

All new and returning readers for the academic review were required to attend a fourhour training session. All new and returning readers for the personal achievements and life challenge levels were required to go through a <u>three-step</u> training program. Readers were first required to read all the policy and training materials (at home). Then they were required to attend a four-hour overview session. At this session, trainers went over the materials, answered questions, and explained to the readers how the policy was applied on three sample cases. Readers were required to go through an exercise applying what they had learned on two other sample cases. Readers were sent home with twenty "homework" cases which were the basis for the last session. Readers returned in small groups of a dozen or fewer, for the "norming" session, the last step in the training process. Discussion focused on how these twenty cases were evaluated and why.

Quality controls measures included: 1) re-read of the first 20 files by senior admissions readers (professional admissions staff); 2) using one "pre-normed" quality control batch of twenty applications during the read period; 3) identification and re-read of academic ranking for readers identified as "hard" or "soft"; 4) reread of academic ranking for all applicants where the rankings by two independent readers differed by more than one; and 5) reread of all folders, almost 5000, in "borderline" cells, to verify the scores.

## Role of Faculty:

The Committee on Undergraduate Admissions and Relations with Schools set admissions policies, reviewed written materials (policy, training instructions, etc.) published by admissions staff and made final decisions, upon consultation with admissions staff, on which cells of students to admit, including which borderline cells were re-read for quality control purposes.

#### San Diego Campus Description

#### Overview

UCSD's review of each application for undergraduate admission included a review of academic, personal characteristics and achievement factors. Each applicant received two reviews by independent readers. At least one of the reviews was conducted by an admissions officer. Second reviews were conducted by either an admissions officer or an external reader. If the consideration of any factor varied more than one point value, a third review was conducted by a senior admissions officer.

The comprehensive review score was calculated using fixed weights for twelve factors: GPA, test scores, courses beyond minimum "a-g" requirements, ELC status, educational environment, low income, first-generation college attendance, demonstrated leadership, special talents/achievement/awards, community service, personal circumstances, and participation in pre-collegiate programs. Academic achievement factors comprised 75 percent of the total maximum possible points of the comprehensive review score. Applicants were ranked based on the comprehensive review score, and the top 17,616 (16,280 fall and 1,336 winter) were admitted.

#### Reader Training, Monitoring and Reliability

Readers attended two half-day training sessions, which also included the scoring of sample files. Admissions officers met for one to two hours each week to clarify questions, insure consistency in the read process, and review special cases. External readers met weekly with a senior admissions officer to clarify questions and submit completed reviews. Both readers and individual factors were monitored for consistency and reliability. Periodic reviews of reader-assigned factors were conducted throughout the process with clarification provided to internal and external readers.

## Role of Faculty

The Committee on Admissions (COA) developed the admissions policy and was consulted in the development of training materials for readers. Members of the committee reviewed sample files and provided feedback on the outcomes. Several faculty members participated in reader training, although none served as an official reader for Fall 2003.

#### Future Directions

For the next admissions cycle and per the direction of the Committee on Admissions, the San Diego campus will not conduct two reviews of applicants in the highest and lowest bands of academic achievement, but will conduct a single review by a senior admissions officer. In addition, the Office of Admissions and Relations with Schools will continue to implement additional technological advancements to streamline the review process and provide additional data to reviewers.

In 2003, 83 readers—27 admissions officers and 56 external readers—assisted with the review process. External readers were employed with the primary goal of building and

training a larger pool of experienced readers to draw from in subsequent years. For next year, the staff is reviewing ways to make additional technological enhancements to the online review system, improve training materials and the reader monitoring process, and reduce the rate of third reads.

#### Santa Barbara Campus Description

#### Overview

Santa Barbara had a two-part review process, consisting of an initial and an augmented review. Readers reviewed all 2003 applications, checking and revising the GPA, number of "a-g" and honors courses, and other data derived from the freshman application centerfold. Following this initial review, all applicants were assigned an academic index score called the ADM (academic decision model), determined by a combination of GPA and test scores. One ADM has been developed for engineering applicants and another for Letters and Science applicants. In both cases, test scores and grade point averages are weighted based on predictive validity studies.

Applicants were awarded up to 36 total points, 18 for academic preparation and 18 for academic promise. Applicants were electronically assigned a maximum of 18 points for the academic preparation review, based on their relative standing in their high school and also based on their relative standing within the entire applicant pool. For the academic promise review, two readers reviewed each application and assigned up to nine points using selection criteria established by the faculty, including such characteristics as leadership, motivation, persistence, honors and awards, and intellectual vitality. Applicants were admitted based on a ranking of total score.

#### Reader Training, Monitoring and Reliability

Readers included professional admission staff, other professional staff from within the Santa Barbara Division of Student Affairs, and retired teachers and counselors. Santa Barbara held separate trainings for the readers who perform the academic preparation review, those who check and correct data from the freshman academic information, and the readers who conducted the academic promise review. Academic preparation readers trained for 20 to 25 hours, and then were paired with an admissions staff evaluator to whom they took unusual cases. Academic promise readers attended twenty hours of intensive training in large and small group sessions on admissions guidelines and scoring. They were also required to participate in weekly norming sessions throughout the reading process and their scoring was monitored on a daily basis.

At least one reader for each application was an experienced admission professional. Applications that received scores that differed by more than one point were referred to a third senior admission officer. This occurred in less than ten percent of cases.

#### Role of Faculty

Faculty set admission policy and drafted admission policy documents; admission staff helped refine the documents, and drafted the reader training materials, which faculty reviewed and approved. Faculty members participated in reader training and read a sampling of student applications.

#### Future Directions

Santa Barbara plans to institute changes suggested by analysis of the fall 2003 process, to optimize limited resources and minimize efforts in areas where a positive admission

decision is highly likely. To accomplish this, Santa Barbara will automate some of the existing elements of the current process and, if an applicant's total points exceed a threshold, the applications would not require further review. All remaining eligible applicants will undergo the full academic promise review.