

MEETING OF THE ASSEMBLY OF THE ACADEMIC SENATE

February 8, 2017

MINUTES OF TELECONFERENCE MEETING

I. ROLL CALL OF MEMBERS

Pursuant to the call, the Assembly of the Academic Senate met on Wednesday, February 8, 2017. Academic Senate Chair James Chalfant presided and called the meeting to order at 10:00 am. Senate Director Hilary Baxter called the roll of Assembly members and confirmed a quorum. Attendance is listed in Appendix A of these minutes.

II. MINUTES

ACTION: The Assembly approved the minutes of the April 13, 2016 meeting as noticed.

III. ANNOUNCEMENTS BY THE CHAIR

▪ **Jim Chalfant**

Council Resolutions on Tuition and Enrollment: Council passed two resolutions in December 2016. The [first](#) resolution supports a proposed 2.5% increase to in-state undergraduate tuition approved at the January 2017 Regents meeting. It emphasizes that no student with family income below \$150,000 will pay any of the increase. The [second](#) resolution anticipates the nonresident enrollment policy to be discussed by the Regents in March, and urges the Regents to reject any policy establishing a fixed numerical or percentage limit on nonresident enrollment on any campus. The resolution notes that a proposed 20% cap on nonresident enrollment would represent a large budget cut for the three UC campuses above 20% and affect financial aid on all campuses. In his January [remarks](#) to the Regents, Chair Chalfant also focused on the harm a nonresident enrollment cap would have on the UC budget. He proposed that decisions to establish caps be made on an individual campus basis, following an analysis of how resident undergraduates would or would not be disadvantaged by expansion of nonresident enrollments on that campus.

Immigration Policy Concerns: The Academic Council is aware that the national election results have increased stress and uncertainty, particularly for undocumented members of the UC community. Shortly after the election, UCOP briefed the Academic Council on the University's efforts to protect undocumented students and the resources available to those students on each campus. In early December, Council [endorsed](#) the University's *Statement of Principles in Support of Undocumented Members of the UC Community*. Council later [expressed support](#) for a [statement](#) from President Napolitano and the ten Chancellors opposing President Trump's January 27 Executive Order barring citizens of seven Muslim-majority countries entry into the United States. Council also endorsed a [longer statement](#) originating with the University Committee on Faculty Welfare condemning the Executive Order and the harm it does to UC's values and mission.

Systemwide Committee Business: UCEP has responded to President Napolitano's request to review current campus policies, procedures, and best practices for student-led courses. BOARS is considering the President's request to review its "compare favorably" policy for nonresident admission, and BOARS and UCAADE are considering her request to develop a systemwide policy on the use of Letters of Recommendation in undergraduate admissions.

IV. ANNOUNCEMENTS BY THE PROVOST AND CHIEF FINANCIAL OFFICER

- **Aimée Dorr, Provost & Executive Vice President, Academic Affairs**
- **Nathan Brostrom, Executive Vice President & Chief Financial Officer**

Framework for UC Growth and Support: The "Framework for UC Growth and Support" project seeks to develop a long-range vision for the optimal growth and support of the University. UCOP is asking each campus to prepare at least one planning scenario to the year 2040 that includes the campus's expectation for its ultimate size and shape, and the academic, financial, and capital resources needed to achieve the vision and sustain the campus as a high-quality research institution that helps UC meet the needs of the state. The Framework is part of UC's larger effort to develop a comprehensive systemwide financial model for its operating and capital budgets during a time of dwindling state resources. To help campuses assemble their scenarios, UCOP has provided them with a variety of demographic data, including data projecting the number of eligible CA resident high school graduates and "a-g" completion rates over time. The models project increasing demand for a UC education and increasing eligibility rates for specific groups. UCOP is encouraging campuses to incorporate creative pedagogical approaches and non-traditional uses of capital into their visioning scenarios. Final campus scenarios are due to UCOP by June 30, and further steps will be discussed at an all-campus meeting in September.

Discussion: Assembly members noted the difficulty of projecting a specific vision for the University 24 years into the future when so much is unknown about the state and university budgets. They noted that the scenarios should be grounded in reality, but the exercise is predicated on immense capital needs that are unlikely to be met. They also urged UC to engage younger faculty and others who have a greater direct stake in the UC of 2040, and not to neglect the needs of students who are currently enrolled.

Overview of Operating Budget: Governor Brown's proposed 2017-18 budget for UC is consistent with the existing state budget framework agreement. It provides UC with a 4% base budget adjustment and the last of three installments of Proposition 2 funding for UCRP that were committed under the budget framework agreement. The budget also includes \$18.5 million to support the enrollment of 2,500 new California undergraduates. It does not include UC's request to fund graduate student enrollment growth and deferred maintenance. UC will seek a continuation of Proposition 2 funding for UCRP, and renewed support for capital projects, including seismic corrections and the deferred maintenance program. The University notes that it received \$3.7 billion in state support for capital projects between 2001 and 2010, but only \$342 million since 2010 and none since 2011.

Nonresident Enrollment Policy: The 2016 Budget Act requires UC to develop a policy that limits nonresident undergraduate enrollment. UCOP is working with the Regents on policy options for discussion in March. UCOP's current preference is for a policy that permits campuses above a

20% proportion of nonresidents to remain at current levels and that allows other campuses to rise to a 20% level.

Discussion: Assembly members noted that the proposed policy would immediately harm the three campuses above 20%, would over the long term harm other campuses that wish to increase nonresident enrollment, and would also institute tiering of campuses in the form of unequal funding by establishing different caps for different campuses. A member recommended that the policy include an “escape clause” to account for the possibility of additional state cuts, and also require the Regents to revisit the policy at least every five years.

Teaching Professor Policy: UCOP is reviewing comments from the management consultation review of proposed revisions to the Lecturer with Security of Employment (LSOE) APM series, a proposed new title for faculty in the series (proposals have included “Teaching Professor” or “Professor of Teaching”), and new criteria for appointment and advancement in the series. UCOP will release the policy for a full systemwide review later this year.

Professional Degree Supplemental Tuition: UCOP is reviewing comments from the systemwide review of proposed revisions to Regents *Policy on Professional Degree Supplemental Tuition* (PDST). Under current policy, the president can approve any increase to a PDST up to 5%, including, as exceptions to current policy, PDST increases in which the total cost for CA resident students exceeds the total cost to students in comparable programs at other public institutions. The revisions being considered would require programs to provide a specific justification for the PDST—identifying how the revenues would be used to meet program goals—and allow programs to use both private and public comparators as a basis for a PDST, remove a fixed cap on the PDST level or proposed increase, and codify the requirement that each PDST have an approved multi-year plan. In general, reviewers accepted these revisions, and UCOP plans to incorporate comments into a final policy for Regental review and approval in March.

V. REPORTS OF STANDING COMMITTEES

A. Academic Council

▪ Jim Chalfant, Chair

1. Amendments to APMs 015 and 016

At its January 18, 2017 meeting, the Academic Council voted unanimously to recommend to the Assembly the approval of proposed revisions to APM sections 015 (the Faculty Code of Conduct) and 016 (University Policy on Faculty Conduct and the Administration of Discipline). The revisions implement policy revisions recommended by the Administration-Senate Joint Committee on investigation and adjudication processes for sexual violence and sexual harassment (SVSH) cases involving faculty. Amendments proposed for APM 015 add new language explicitly prohibiting sexual violence and sexual harassment as well as language clarifying that the “three-year rule” is not a statute of limitations for complainants to report alleged violations of the Faculty Code of Conduct, but that a Chancellor must initiate related disciplinary action no later than three years after s/he is deemed to have known about an alleged violation.

The Office of Academic Personnel proposed the language and distributed it for [systemwide review](#) in September 2016, and made subsequent revisions based on [Senate comments](#) during that review. The final revisions respond to the Senate's concerns about a proposal to shift authority to suspend the pay of a faculty member placed on involuntary leave from the Regents to the President, by leaving the current policy in place. UCOP has agreed to consider additional revisions to APM 015 and 016 proposed by Senate reviewers in a separate evaluation next year. The Assembly will consider conforming amendments to Senate Bylaw 335 and 336 at a future meeting.

ACTION: A motion was made and seconded to approve the amendments to APMs 015 and 016. The motion passed 49-0 in a unanimous roll call vote.

2. Amendments to Senate Bylaw 125.b

The Academic Council recommended at its October 26, 2016 meeting that Senate Bylaw 125.B, which defines the authority and duties of the Academic Council, be amended to give Council the authority to select a Senate nominee to the Health Services Committee of the Board of Regents. The authority is described in new Section 14 of 125.B. It is expected that when a vacancy appears on the Committee, Council will request nominations of candidates from the campuses and select the final nominee. Candidates must be Senate members who hold a clinical appointment at a UC School of Medicine. The successful candidate also will serve as an ex-officio member of the University Committee on Faculty Welfare Task Force on the Future of UC Health Care Plans.

An Assembly member noted that the Council should consider appointing representatives to multi-year terms to help ensure the strength and continuity of the faculty voice on the Committee.

ACTION: A motion was made and seconded to approve the amendments. The motion passed unanimously in a voice vote.

B. Coordinating Committee on Graduate Affairs

- Kwai Ng, Chair

1. CCGA Recommendation to Approve new Doctor of Nursing Practice Degree Program at UCSF

As required by Senate Bylaw 116.C and Standing Order of the Regents 110.1, CCGA is submitting its recommendation to the Assembly for a new Doctor of Nursing Practice (DNP) degree title and program at UC San Francisco.

The DNP is a professional practice-focused program that will be the first of its kind in the UC system. UCSF is one of only two campuses in the top ten ranked U.S. nursing schools without a DNP program. The curriculum will be offered in a hybrid format with about half of the coursework delivered online, and the program will require a capstone project supported by a three-member faculty mentor committee. The School of Nursing has a strong fundraising program that will help the program attract a competitive and diverse student population.

ACTION: A motion was made and seconded to approve the program. It passed unanimously in a voice vote.

VI. NEW BUSINESS [None]

VII. UNIVERSITY COMMITTEE ON FACULTY WELFARE REPORT [None]

VIII. SPECIAL ORDERS

A. Consent Calendar [None]

IX. REPORTS ON SPECIAL COMMITTEES [None]

X. PETITIONS OF STUDENTS [None]

XI. UNFINISHED BUSINESS [None]

The meeting adjourned at 12:45 pm

Minutes Prepared by: Michael LaBriola, Academic Senate Analyst

Attest: Jim Chalfant, Academic Senate Chair

Attachments: Appendix A – Assembly Attendance Record, Meeting of February 8, 2017

Appendix A – 2016-2017 Assembly Attendance Record, Meeting of February 8, 2017

President of the University:

Janet Napolitano

Henry Weinstein (absent)

Academic Council Members:

James Chalfant, Chair

Shane White, Vice Chair

Robert Powell, Chair, UCB

Jon Rossini, Vice Chair, UCD (alt for Chair

Rachael Goodhue)

William Parker, Chair, UCI

Susan Cochran, Chair, UCLA

Susan Amussen, Chair, UCM

Dylan Rodriguez, Chair, UCR (absent)

Kaustuv Roy, Chair, UCSD

Ruth Greenblatt, Chair, UCSF

Henning Bohn, Chair, UCSB

Olof Einarsdottir, Chair, UCSC

Henry Sanchez, Chair, BOARS (absent)

Kwai Ng, Chair, CCGA

Amani Nuru-Jeter, Chair, UCAAD

Theofanis Tsoulouhas, Chair, UCAP

Barbara Knowlton, Chair, UCEP

Lori Lubin, Chair, UCFW

Isaac Martin, Chair, UCORP

Bernard Sadoulet, Chair, UCPB

Los Angeles (8)

Roman Koropecjkj

Purnima Mankekar

Hanna Mikkola (absent)

Frank Petrigliano (absent)

Ninez Ponce

E. Richard Stiehm (absent)

Dorothy Wiley (absent)

Kym Faull

Merced (1)

Patricia LiWang

Riverside (2)

Thomas Cogswell

Jodi Kim

San Diego (5)

Lorraine Pillus

Anna Joy Springer (absent)

Nadine George

Gail Heyman

Gentry Patrick

Berkeley (5)

Alexis T. Bell

Kristie Boering

Jennifer Bussell (alt for Peter R. Glazer)

Christopher Kutz

Miryam Sas

San Francisco (4)

Janine Cataldo (alt for Marek Brzezinski)

Steven Cheung (alt for John Feiner)

Leah Karliner

Laura Wagner

Davis (6)

William Casey

Stephanie Dungan

Robert L. Powell

Brenda Schildgen

Scott Stanley

Richard Tucker

Santa Barbara (3)

Bjorn Birnir

Julie Carlson

Andrew Norris

Santa Cruz (2)

Kimberly Lau

Dorian Bell

Irvine (4)

John Dobrian

Karamet Reiter (absent)

Timothy Tait

Secretary/Parliamentarian

George J. Matthey